

BANKNOTES, BONDS & SHARES AND COINS OF CHINA AND HONG KONG

股票及債券、紙幣及錢幣

Spink Hong Kong | 4/F, Hua Fu Commercial Building | 111 Queen's Road West | Sheung Wan 斯賓克中國 | 香港皇后大道西111號 | 華富商業大廈4樓

Sale Details | CSS57

拍賣會詳情 Friday 8 January 2021 at 10.00 a.m. 2021年1月8日早上10時 Lots 1-529

Saturday 9 January 2021 at 10.00 a.m. 2021年1月9日早上10時 Lots 1001-1450

Viewing of Lots | SPINK Hong Kong 斯賓克中國 預展時間 By appointment only 敬請預約

To make an appointment please contact us at

Tel: +852 3952 3000 | Email: china@spink.com | whatsapp: +852 5121 9179

Live platform

互聯網平台



Your Specialist for this sale 斯賓克銷售團隊



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Payment Enquiries 付款方法 Sue Pui spui@spink.com tel +852 3952 3010

NOTICE TO BIDDERS

Dear Bidder.

We welcome you to our auction house. Please note the points below to assist you with the registration, bidding and payment at our auctions.

REGISTRATION FOR BIDDING:

If you are registering with us for the first time or have not bid successfully in the past, we require a deposit of HK\$50,000. If you intend to bid more than HK\$200,000 the deposit may be higher. The deposit will be deducted from your invoice should your bid be successful. If you are unsuccessful, your deposit will be returned by the same means by which it was paid. In addition we will ask for your bank and trade references.

We encourage new clients to register for bidding at least 48 hours prior to the commencement of the sale to allow sufficient time to process the registration.

Prior to the auction, some lots may be designated as "Premium Lots", which means a deposit may be required before placing a bid on the item for sale. Information will be posted on our website in such an event.

Spink have the right at our own discretion to refuse admission to our premises, attendance at an auction and decline submitted bids.

BIDDING METHODS:

In person

You will be given a registration form that you will need to fill in providing your up to date address and contact details. Please always have your photo ID with you and, if you are bidding on behalf of a company, your business registration. ID information is collected for registration purposes only and will be kept confidential.

Submitting a bid form by email/post/fax

Again please always provide your full up-to-date contact details together with the lot numbers and the amounts up to which you would like us to bid on your behalf exclusive of Buyer's Premium/tax/postage. Should you not receive our email confirmation of your bids having been received please call our office to make sure they have been received and processed.

Submitting bids via our website

To submit bids via our website www.spink.com you need to be registered and logged in. You can leave bids via this method up to 3 hours before the commencement of the sale.

Bidding live on Spink Live

You must be registered and logged into either our website www.spink.com or the Spink Live App that can be downloaded from App Store. On our website access the sale via Spink Live button on the home page or through Auctions – Auction Calendar page. In the Spink Live App select the sale from the list. The live bidding platforms are available from one week prior to the sale and made active for live bidding shortly before the commencement of the sale. Please check that you are approved for bidding. During the live feed you will be able to see and hear the auctioneer.

Telephone bidding

We reserve this service for bidding on lots of value exceeding HK\$10,000.

Bidding via agent

Your agent has to inform us prior to the sale that he or she will be bidding on your behalf, and the usual sale registration has to take place. He or she has to provide a letter of authorisation signed by you.

Please place your bids following our usual bidding increments published in the Terms and Conditions for Buyers.

Please note that commission and telephone bids are undertaken subject to other commitments at the time of the sale, and the conduct of the auction may be such that we are unable to bid as requested. Since this is undertaken as free service we cannot accept liability for failure to make a commission bid.

Buy bids and plus one bids will not be accepted.

Please make yourself aware of any Saleroom notices published on lots you are interested in bidding on.

If your bid is successful the Purchase Price will be the sum of the final bid and Buyer's Premium as a percentage of final bid, a shipping charge if applicable and a fee for paying by card. Buyer's Premium rate is 20% of the hammer price.

EXTENSIONS (STAMPS ONLY):

Prospective buyers, who wish to obtain an expert opinion on any lot other than a mixed lot or lot containing un-described stamps, are requested to notify Spink in writing no less than 48 hours before the commencement of the sale. Reason why such opinion is required must be stated together with the identity of an expert for Spink to consider such a request.

Extensions requested on account of condition will not be accepted for expert opinions.

PAYMENT METHODS:

Payments should be made by the registered buyer and not by third parties, unless it has been agreed upon at the time of registration that you are acting as an agent on behalf of a third party.

Payments are due within seven days after the date of the sale unless it has been confirmed to you in writing since July 2013 that you are an approved credit client. If payment is not received by Day 35, the sale will be cancelled. If you are also a vendor in the sale, please note offsets are not available.

Payment may be made by one of the following methods:

Direct bank transfer to the following account, quoting invoice and client number as reference. All bank charges should be met by you.

Account Name: Spink China Limited
Bank: HSBC Hong Kong
Account No.: 652-438458-838
SWIFT code: HSBCHKHHHKH

Bank address: 1 Queen's Road Central, Hong Kong

Credit card (VISA, Mastercard and CUP): A 4% fee will be applied...

For all card payments there are limits to the amounts we will accept depending on the type of card being used and whether the cardholder is present. To make a payment by card please call our office at +852 3952 3000 or email china@spink.com.

Hong Kong cheque drawn on HK branch of bank: Cheques should be made payable to Spink China Limited and must have cleared before your purchases can be collected or sent to you.

Bankers draft/cashier's cheque: If you provide suitable proof of identity and we are able to make checks that satisfy ourselves as to the genuineness of the draft or cheque then you may collect your purchases immediately.

Cash: You may pay for lots purchased by cash in the currency of the sale. We may accept small amounts in GBP, US\$ and EUR.

COLLECTION OF LOTS AND SHIPPING:

All lots have to be paid for in full before they can be collected or shipped. If an invoice is partly paid no lots will be released from that invoice.

It is the responsibility of the buyer to be aware of any import duties or import restrictions in the final destination. Spink will not accept return of any package in order to avoid these duties.

Please be aware that your purchased lots will be at your risk in all respects from the time of collection or the expiry of seven days from the date of sale, whichever is sooner.

If you require sending your lots to an address different from the address on the invoice, this will be carried out at the discretion of Spink.

FOR ANY FURTHER INFORMATION PLEASE CONTACT OUR AUCTION TEAM AT +852 3952 3000 or china@spink.com.



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Order of Auction 目錄

LOT NUMBER	SECTION
8 January 2021 10a.m.	一月八日星期五早上十時
1-28	Bonds and Share Certificates 債券、股票、紙雜及其他雜項
29 – 122	Qing and Republic of China Banknotes 清朝及民國紙幣
123 – 164	Foreign Banks in China 外商鈔票
165 – 278	People's Bank of China Banknotes 中國人民銀行紙幣
279 – 506	Chinese Coins and Medals (Pre 1949) 中國錢幣及勳章 (1949年前)
507 – 529	People's Republic of China Coins (Post 1949) 中國錢幣 (1949年後)
9 January 2021 10a.m.	一月九日星期五早上十時
1001 to 1028	World Banknotes 世界紙幣
1029 to 1064	World Coins 世界錢幣
1065 to 1075	Macau Banknotes 澳門紙幣
1076 to 1331	Hong Kong Banknotes 香港紙幣
1076Agra	a and United Service Bank Limited 呵加剌匯理銀行
1077 – 1088 Gov	ernment of Hong Kong 香港政府
1089 – 1110 Ban	k of China 中國銀行
1111Orio	ental Bank Corporation 東藩滙理銀行
1112 – 1133 Mer	cantile Bank 有利銀行
1134 – 1227 Cha	rtered Bank 中國渣打銀行
1228 – 1331 Hor	ngkong and Shanghai Banking Corporation 匯豐銀行
1332 – 1416	Hong Kong Coins 香港錢幣
1417 – 1450	British Trade Dollars 英國貿易銀圓

10 January 2021 - Ending from 2 p.m. 一月十日 下午2時開始完結

eAuction part 1 網上限時拍賣-部份一

World and Hong Kong Banknotes and Coins 世界·香港紙幣及錢幣

11 January 2021 - Ending from 2 p.m. 一月十一日 下午2時開始完結

eAuction part 2 網上限時拍賣-部份二

China Bonds, Banknotes and Coins 中國紙幣及錢幣

Each lot ending at 30 second intervals with time extensions if bids are placed within 30 seconds of the lot ending 每項拍品每隔30秒陸續結束。若於拍品最後30秒以內投標,該拍品結束時間將會延遲,但不影響其他拍品的結束時間。

SPINK

THE NUMISMATIC E-AUCTION 10th & 11st January 2021

A good selection of numismatic items will be available in the online auctions, don't miss the chance to bid! Details of sales are as follows:

DAY 1: World and Hong Kong Banknotes and Coins

The first lot ending on 14:00, SUNDAY 10 January 2021

DAY 2: China Bonds, Banknotes and

Coins

The first lot ending on 14:00, MONDAY 11 January 2021

Both sales will commence on the 21th December, 2020, check them out with our Spink Live APP!

Don't forget to download our Spink Live APP for a more optimised mobile bidding experience.

If you're new to this APP, please refer to our How To Bid With Spink Live APP.











關注**斯賓克** 更多拍賣資訊 盡在掌握

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SPINK

錢幣及紙幣網上時限拍賣 二零二一年一月十至十一日

除現在拍賣外‧網拍會提供更多的錢幣精品讓各位選購‧切勿錯過連續四天的拍賣良機!網拍詳情如下:

部份一:

世界及香港紙幣及錢幣

首項拍品將於2021年1月10日星期日下午三時 正完結

部份二

中國紙幣及錢幣

首項拍品將於2021年1月11日星期日下午二時 正完結

兩場網上限時拍賣將於2020年12月21日正式開始·請即上SPINK LIVE APP查看拍品進行競投!

如欲了解更多關於SPINK LIVE APP的操作詳情,請查閱如何使用SPINK LIVE APP進行網上競投



destronant





關注**斯賓克** 更多拍賣資訊 盡在掌握

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HOW TO BID WITH THE SPINK LIVE APP?

Please Note: In order to participate in any of our auctions you need to log in and register for the auction in which you will be placing bids. For registration, please refer to the *Instructions for downloading SPINK LIVE APP*.

TIMED AUCTIONS:

Timed auctions allow a single lot to be up for sale for a fixed time. The amount of time left is displayed by the timer which counts down till the end of the auction. The first lot in each timed auction on 1th and 11th January 2021 will end on 14:00:00 and each subsequent lot is timed to end at 30 second intervals if there are no last minute bidders. However please note the following:

- 1. During the auction, the bid price gets updated in real time so you will know right away when you get outbid by another buyer.
- 2. If there is more than 1 bidder bidding on the same lot at the same time, the time will automatically extended. If there are multiple time extensions the following lot may end before current lot.
- 3. If you have the highest bid when the listing ends, you win! For best results, monitor the lot before the sale ends to see if you have been outbid and the time has been extended for further bidding. Please note that there is <u>NO SLIDE BAR</u> for timed auction, you must submit your bid by selecting the amount. Once submitted, <u>no</u> cancellation is allowed. The current bid will be shown.

LIVE AUCTIONS:

Bid during a live auction event from your mobile or any other devices. Simply log in, select the sale and click on the 'View Live' button. The 'View Live' button will only be available when the sale is about to commence. During the live auction, you will be able to see and hear the auctioneer. Begin bidding with the <u>SLIDE BAR</u>. Once you slide the bar to your desired bid price, you have already successfully placed a bid. <u>The slide bar will ONLY appear</u> in LIVE AUCTIONS.

- *Note there is NO SLIDE BAR in TIMED AUCTION
- *Slide bar only available in LIVE AUCTION

Instructions for downloading SPINK LIVE APP and Signing Up

If you wish to bid via the SPINK LIVE APP, you MUST BE registered and logged into our APP.

- 1. Download "Spink Live" in App Store (iOS) or Play Store (Android).
- 2. If you have not registered yet, click "Login" on the left menu to create account.
- 3. Fill in all the information required.
- 4. Set up a password that is at least 7 characters in length and it must also include: AT LEAST 1 letter, 1 capital letter, 1 number
- 5. Once successfully signed up, a Client ID will be sent to your registered email.
- 6. Log in with the Client ID and password.
- 7. Enjoy bidding!

You may also register and bid via our official website at www.spink.com for the same integrated experience.

For any queries, please contact us on 3952 3000 or email to china@spink.com



如何使用 SPINK LIVE APP 進行網上競投?

請注意:如欲參與本公司的任何拍賣,閣下需預先登記註冊才可成功登入程式進行網上競投。詳情請留意 SPINK LIVE APP 下載指引。

網上時限拍賣:

網上時限拍賣的每一項拍品也會有時間限制的。使用裝置屏幕的上方會顯示該場拍賣的剩餘時間並同時進行倒數。 2021 年 1 月 10 與 11 日的兩場網拍,首項拍品都會在拍賣當日下午 2 時正起結束,如無競拍,每項的拍品也會以大約 3 0 秒時限計時陸續結束。請注意以下事項:

- 1. 網上時限拍賣進行時,最高競投價會即時顯示以便競投者知道出價被超越。
- 2. 如同時有其他競拍者競價,該項拍品拍賣時間會稱為自動延長。如同項拍品時間被延長多次,下一項拍品拍賣時間有可能率先完結。
- 2. 在每項拍品拍賣結束時,出價最高者會贏得拍品。建議競投者密切留意心儀拍品及等待該項拍品結束拍賣,以緊貼自己的出價有否被超越和時間有否被延長。請注意網上時限拍賣不設向右滑動出價功能,競投者必須手動下標。 由於最高競投價是即時更新和顯示,一經確認出價,本公司並不接受任何競投者取消出價。

現場拍賣:

你可透過電話,電腦等裝置去進行網上競投。只需登入到 SPINK LIVE APP,選取指定拍賣專場,按"觀看直播", 請注意直播功能只會在現場拍賣正式開始前才出現。你能從直播中看到拍賣官的現場拍賣。如欲在直播時參與即時 競投,可拉動屏幕下方的滑動鍵作即時投標,請注意滑動鍵顯示的是下一口價。一經向右滑動即代表你成功出價。

*網上時限拍賣不設向右滑動出價功能

*向右滑動出價功能只適用於現場拍賣直播

SPINK LIVE APP 下載及註冊指引

如閣下欲在 SPINK LIVE APP 參與網上競投,請先註冊成為會員並登入到程式內。

- 1. 在 App Store (iOS)或 Play 商店 (Android)下載 SPINK LIVE
- 2. 如未曾登記, 請在左面清單選取"登入"然後建立新賬户
- 3. 填寫所需的個人資料
- 4. 設立一個最少 7 位字元的密碼且必須包含以下各項: 最少 1 個英文字母, 1 個大階英文字母以及 1 個數目字, 缺一不可
- 5. 一經成功註冊,新會員號碼便會發送到閣下的註冊郵箱
- 6. 使用會員號碼和密碼登入 SPINK LIVE APP
- 7. 即時競投!

你亦可從我們的官方網站 www.spink.com 完成註冊和參與競投。

如有任何查詢,請致電 3952 3000 與我們聯絡或電郵到 china@spink.com



Preface 前言

The year 2020 will be forever deeply etched in my memory. COVID-19 has affected us deeply in most areas of life, and for me working at Spink China this has been no exception.

Social distancing rules have meant that our last floor auction was held in January 2020, The Hong Kong Coin Show postponed twice, our March auction moved to May and that two additional e-auctions were held to accommodate the large number of items consigned to us due to vendors being unable to buy and sell in coin shows. It has been a scary, busy and fulfilling year; truly a roller coaster of a year.

Spink China's next rostrum auctions will be held on Friday 8th, Saturday 9th and provisionally Sunday 17th January. Two internet sales will be held on Sunday 10th and Monday 11th January.

The first day will see China material being offered. Highlights include a near complete set of 1913 Bank of China specimens and a Kwangtung Currency Bureau \$5 in high grades. In the 'Foreign Banks in China' section we have a superb Agra and Masterman's Bank 10 tael obverse proof dated from the early 1860s which is quite likely to be one of the first banknotes in this genre. There is also a complete group of Banque Industrielle de Chine specimens from \$1 to \$500 bearing the name 'Peking' and a generally scarce group of Chartered Bank \$100 specimens. Our 'Renminbi' section is also nice, with a fairly comprehensive group of specimens including the 'Zhan De Cheng' 500 yuan and issued notes including the 'Mongolian Yurt' 5000 yuan. On the more modern issues we were very fortunate to be consigned a set seven progressive proofs for the 5th series 100 yuan dated 1999.

The second day will see Hong Kong and World material sold. As usual this is our area of strength, and highlights include an Agra Bank \$200 proof, a superb 1858 Chartered Mercantile \$5 specimen, a 1912 Mercantile Bank \$5 in highest grade, a superb group of 1930 Chartered Bank 'Small Five' \$5s as well as many other scarce and choice quality banknotes. The star note is the Oriental Bank Corporation \$50, which is likely to be unique.

A two day e-auction totalling about 1,500 lots will then follow on 10th and 11th January.

The third catalogue consisting of choice South East Asian material will be sold provisionally on Sunday 17th January. This catalogue is built on the foundation of two individual collections of banknotes and coins. The banknote collection, consisting of British Borneo, Straits Settlements and Malaya, was built over the last 20 years by a discerning collector from the region and is generally fresh and choice, which these days is a bonus rather than the norm. Highlights include date runs of early large-sized \$5s and \$10s as well as choice examples of the later issues.

The coins are just as, if not more spectacular. These are consigned by the legendary collector **Mr William Barrett** who acquired most of the coins in this collection 30 to 40 years ago from the earliest auctions in Asia such as Spink Singapore and Spink-Taisei. Needless to say we are extremely happy and honoured to be able to offer them again to collectors who will appreciate their beauty and rarity.

Mr Barrett first came to Singapore and Kuala Lumpur in 1966 and then regularly to Hong Kong from 1970, and even lived in Osaka, Japan for five months while dealing and collecting. He started collecting paper money in 1969 and his collection of pre-1900 Hong Kong banknotes and Foreign Banks in China remains unrivalled. For the past two years Mr Barrett has been selling material selections through Spink China and justly achieved great results under the supervision of Dr Kelvin Cheung. Spink China is looking forward to many more years of co-operation with Mr Barrett as this is the beginning of a fantastic series of South East Asian coin auctions. We anticipate a fantastic sale as this is truly a once in a generation opportunity to acquire some of the very best coins extant from this region.

Needless to say, the January auction is full of choice and rare material and I hope that you will find it exciting too! We hope you and your family remain safe and healthy, and good luck with bidding.

Kelvin, Paul and Kin Choi December 2020



BANKNOTES, BONDS & SHARES AND COINS OF CHINA AND HONG KONG FRIDAY 8 JANUARY 2021

Commencing at 10.00 a.m. 一月八日星期五早上10時 China Bonds, Banknotes and Coins 中國紙幣及錢幣 (Lot 1-529)

SATURDAY 9 JANUARY 2021

Commencing at 10.00 a.m. 一月九日星期六早上10時 World and Hong Kong Banknotes and Coins 世界及香港錢幣及紙幣 (Lot 1001-1450)

SATURDAY 16 JANUARY 2021

Commencing at 1.00 p.m. 一月十六日星期六下午1時 South East Asian Banknotes and Coins

東南亞紙幣及錢幣

All sales are subject to Terms and Conditions for Buyers printed at the back of this catalogue

Estimates

The estimated selling price of each lot is printed below the lot description and does not include Buyer's Premium.

Bidders should bear in mind that estimates are prepared well in advance of the sale and are not definitive.

They are subject to revision.

Please note that 'Spink Live' is now running on a new and improved on-line bidding platform, which is accessible through www.spink.com and also as a SpinkLive app available for download from App Store. You can continue bidding online free of charge.

We will not accept return of lots that have been authenticated by the third party grading company. Any disputes will need to be settled between the buyer and the respective company.

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Reserve Bank of Australia, \$5, 2005, solid serial number DE05 222222, (Pick 57c), PMG 66EPQ Gem Uncirculated

2005年澳洲儲備銀行5元·幸運號DE05222222·PMG66EPO

Estimate HK\$1,800-2,400



1002

The Bahamas Government, 10 shillings, 1936 (ND 1954), serial number A/1 754986, (Pick 14b), PMG 55 About Uncirculated

1936年巴哈馬政府10先令·編號A/1 754986·PMG 55

Estimate HK\$3,000-4,500

HIGHLY DESIRABLE BELGIAN CONGO 500 FRANCS IN PMG 30 比利時剛果500法郎, PMG 30, 大熱門



1003

Banque Centrale Du Congp Belge Et Du Ruanda-Urundi, 500 Francs, 1.1.1955, serial number A623342, purple and orange underprint, lion's head watermark at right, girl at left, reverse purple, Okapi at centre, (Pick 28b), PMG 30 Very Fine (Restoration), very rare.

1955年剛果中央銀行500法郎·編號A623342·PMG 30·有修補

Estimate HK\$24,000-30,000





Banque De France, 5000 Francs, 19.10.1939, serial number J.400 555, (Pick 97b), PMG 63 Choice Uncirculated (Pinholes). Very difficult to find in this condition given its large size and delicate paper

1939年法國銀行5000法郎·編號J.400 555·PMG 63· 有細孔

Estimate HK\$3,000-5,000



1005

Banque De France, 10000 Francs, 5.1.1956, serial number X.10136 653, (Pick 132d), PMG 55 About Uncirculated (Pinholes). A beautiful large sized note. Scarce in this condition

1956年法國銀行10000法郎·編號X.10136 653 · PMG 55 · 有細孔

Estimate HK\$3,000-5,000



1006

French Indo China/ Japanese Military WWII, 10 yen, ND(1942), block number 4, brown on light blue, (Pick M7), *PMG 65EPQ*.

1942年日佔法屬安南10圓·編號4·PMG 65EPQ

Estimate HK\$1,800-3,000



1007

Bank of England, 5 pounds, 20.5.1947, serial number M22 058381, signed by Peppiatt, (Pick 343), PMG 65EPQ Gem Uncirculated. An ever popular note and difficult with an EPQ status

1947年英倫銀行5鎊·編號M22 058381·PMG 65EPQ·大熱版別·罕見EPQ評級

Estimate HK\$2,800-4,000



1008

El Banco Americano De Guatemala, 100 specimen, ND (1913-1925), D 000000, black on grey and orange underprint, two women representing Agriculture and Commerce seated at centre left, reverse brown, village and train scene at centre, arms of Guatemala at left, arms of USA at right, (Pick S114s), PMG 55 About Uncirculated, slightly uneven paper edge at right

1913-1925年危地馬拉美國銀行100元樣票·編號D 000000·PMG 55·右紙邊輕微不勻

Estimate HK\$8,000-12,000



A NICE 1000 RUPEES, BOMBAY IN PMG 35 孟買1000盧比, PMG 35



1009

Reserve Bank of India, 1000 Rupees, Bombay, ND (1937), serial number A/7 227938, lilac, violet and green, Georve VI at right, reverse similar colours, mountainscape at centre, (Pick 21a), PMG 35 Choice Very Fine (Minor Restoration) 1937年印度儲備銀行1000盧比·無日期·編號A/7 227938 · PMG 35 · 輕微修補

Estimate HK\$24,000-30,000



1010

Banca D'Italia, 10,000 Lire, 2.11.1961, serial number U1968 0275, brown, orange and multicolour, two women seated at lower centre, watermark at left and right, reverse similar colours, side portrait of bust at centre, (Pick 89d), PMG 50 About Uncirculated

1961年意大利銀行10000里拉·編號 U1968 0275 · PMG 50

Estimate HK\$9,000-14,000



1011

Japan, lot of 4x 200 yen, undated, serial numbers (6) 102540, 563, 622, 624, choice almost uncirculated and scarce (4)

日本200元一組4枚·無日期·編號(6) 102540, 563, 622, 624·AU品相·罕見

Estimate HK\$5,000-7,500



1012

Japan, 1000 yen, ND(1993), specimen, serial number AA000000B 227, (Pick 100bs), PMG 67EPQ, superb gem uncirculated.

1993年日本1000元樣票·編號AA000000B 227·PMG 67EPQ

Estimate HK\$4,000-10,000



LOT 1013-1014 - A PAIR OF KEIJO-PUSAN RAILWAY COMPANY 50 AND 100 MUN 日治韓國京釜鐵道株式會社50及100文



1013

Korea: Keijo-Pusan Railway Company, 50 mun, 1900, serial number 389948/389947, vertical format, blue, steam locomotive at upper centre, reverse orange vignette, Kanji text, (Pick S101a), PCGS Gold Shield Grading 35Details Choice Very Fine (Pinhole). Rare

1900年韓國京城釜山鐵路公司50文·編號389948/389947·日本漢字·PCGS Gold Shield Grading 35Details·有細孔·罕見 Estimate HK\$20,000-30,000



1014

Korea: Keijo-Pusan Railway Company, 100 mun, 1900, serial number 006627, vertical format, brown, steam locomotive at upper centre, reverse orange vignette, Kanji text, (Pick S102a), PCGS Gold Shield Grading 25Details Very Fine (Pinhole). Rare

1900年韓國京城釜山鐵路公司100文·編號006627·日本漢字·PCGS Gold Shield Grading 25Details·有細孔

Estimate HK\$20,000-30,000





North Korea: Russian Army Headquarters, 1 won, 1945, without serial numbers, green, (Pick 1), PCGS Gold Shield Grading 35 Choice Very Fine and rare in this grade

1945年駐朝鮮紅軍1元·無編號·PCGS Gold Shield Grading 35·罕見高分

Estimate HK\$5,000-7,500



1016

North Korea: Russian Army Headquarters, 5 won, 1945, EB961364, brown on blue, (Pick 2), PCGS Gold Shield Grading 53 About Uncirculated and extremely difficult in this grade

1945年駐朝鮮紅軍5元·編號EB961364·PCGS Gold Shield Grading 53·此高分實屬難得

Estimate HK\$5,000-7,500



1017

North Korea, 1 won, specimen, 1959, serial number 000000, (Pick 13s), PCGS Gold Shield Grading 670PQ

1959年北韓1園樣票 · 編號000000 · PCGS Gold Shield Grading 670PQ

Estimate HK\$2,000-3,000



1018

North Korea, 50 won, specimen, 1959, serial number 000000, (Pick 16s), PCGS Gold Shield Grading 66OPO and scarce

1959年北韓50圜樣票·編號000000·PCGS Gold Shield Grading 66OPQ·罕見

Estimate HK\$5,000-7,500



1019

North Korea, lot of 3x specimens, 2008, 500, 1000 and 5000 won, commemorative notes for the 60th Anniversary of the DPRK, (Pick 44s to 46s), PCGS Gold Shield Grading 66OPQ, 67OPQ and 66OPQ respectively and scarce (3)

2008年北韓樣票3枚·500, 1000及5000團·北韓成立60週年紀念版·分別評PCGS Gold Shield Grading 66OPQ, 67OPQ 及 66OPQ·罕見

Estimate HK\$9,000-12,000





Malaya, a pair of 20 cents, 1.7.1941 (ND 1945), brown on multicolour underprint, George VI at left, (Pick 9a, 9b), graded PMG 65EPQ and 66EPQ (2) 1941年馬來亞2毫一對·分別評PMG 65EPQ 及 66EPQ

Estimate HK\$1,500-2,500





1021

Nepal, group of 6, consisting of 10 Mohru (4) and 100 Mohru (2), all type II heading and signed by Narendra Raja, serial numbers 340790, 794, 795, 798, 217440 and 237248, (Pick 6, 7), uncirculated with minor edge creasing, one of the 100 Mohru with ink smudge at obverse left watermark (6)

尼泊爾一組6枚·10盧比4枚及100盧比2枚·Narendra 簽名·編號340790, 794, 795, 798, 217440 及 237248·UNC·邊有軟折·一枚100盧比印刷多墨

Estimate HK\$2,000-3,000



1022*

Netherlands Indies, 5 gulden, uniface obverse and reverse archivial photograph, 1.6.1921, an unissued design, similar to Pick 69 but the obverse and date different, graded PMG 62 and 64EPQ respectively (1 pair)

1921年荷屬東印度5盾正反面檔案照片·未發行設計·正面評PMG 62·背面評64EPQ

Estimate HK\$2,000-4,000



1023

New Zealand, 1 pound, 1934, serial number 9B 764632, violet, kiwi at left, Moari at right, (Pick 155), PMG 50EPQ About Uncirculated. Very scarce in this choice condition with only 6 notes graded higher in the PMG population report!

1934年新西蘭1鎊 · 編號9B 764632 · PMG 50 · 罕見好品相 · 僅有6枚獲更高評分

Estimate HK\$3,000-4,500





Singapore, \$10,000 specimen, ND (1999), serial number 8PN004967, (Pick 44s), PMG 66EPQ Gem Uncirculated

1999年新加坡10000元樣票·無日期·編號8PN004967·PMG66EPO

Estimate HK\$7,000-9,000



1025

Singapore, \$10,000, specimen, serial number 8PN004498, Yusof bin Ishak at right, (Pick 44s), PMG 66EPQ Gem Uncirculated

1999年新加坡10000元樣票·無日期·編號8PN004498·PMG66EPQ

Estimate HK\$7,000-10,000



1026

Southern Rhodesia, 1 pound, 1950, serial number B/123 010877, green and multicolour, George VI at right, (Pick 10f), *PMG 58EPQ*.

1950年南羅德西亞1鎊·編號B/123 010877·PMG 58EPQ

Estimate HK\$2,400-5,000



1027

Thailand, Japanese Intervention, 50 Baht, ND (1945), serialn umber O/4 73652, black overprint at lower centre, (Pick 57a), *PMG 30 Very Fine, rare.*

1945年日本入侵時期泰國50泰銖·無日期·編號O/473652·PMG 30·罕見

Estimate HK\$3,000-6,000



A TIMOR 5 PATACAS IN NICE GRADE 東帝汶5元·PMG 40美品



1028

Timor, 5 patacas, 1924(ND 1945), serial number 198155, overprint on Macau 5 patacas, green and yellow underprint, steam ship at left, junks at right, (Pick 10), *PMG 40, extremely fine*.

1924年東帝汶5元加蓋澳門5元·編號198155·PMG 40. 少見高分

Estimate HK\$20,000-40,000









1029

Austria, silver thaler, 1620, PCGS AU55, according to PCGS population, only 2 coins graded, the other is AU58.

1620年奧地利銀幣·PCGS AU55·PCGS紀錄中僅有2枚·另一枚AU58

Estimate HK\$5,000-10,000





1030

Austria, silver taler, *NGC AU53*, only 2 coins at this grade in *NGC population and 4 coins graded higher*. 奥地利銀幣·NGC AU53·NGC紀錄中有2枚同分·另有4枚評分高於AU53

Estimate HK\$4,000-8,000









1031

France, nickel bronze 25 centimes, 1939, also Russia, silver 10 Kopeks, 1915-BC, graded PCGS MS66 and MS64+ respectively (2)

1939年法國25仙銅幣及1915年俄羅斯10戈比銀幣·分別評PCGS MS66 及 MS64+

Estimate HK\$600-900





1032

Great Britain, countermarked dollar on a Mexican 8 reales, (1797), oval countermark of George III, (KM-634), *PCGS XF45 and a scarce type*

1797年英國加鑄墨西哥8R銀元 · PCGS XF45 · 罕版 Estimate HK\$7,000-10,000





1033

Great Britain, silver 1 crown, 1819, George III on obverse, *toned, extremely fine*.

1819年英國克朗銀元·EF·有包漿

Estimate HK\$800-2,000





1034

Great Britain, silver 1 crown, 1821, George IIII on obverse, *toned, extremely fine*.

1821年英國克朗銀元·EF·有包漿





1035

India/East India Company, a lot of 6x silver coins, consisting of 2x 2 annas, 1841, 1882, 2x 1/4 rupee, 1840, 1894 and 2x 1 rupee, 1840, 1877, all with Victoria on obverse, from extremely fine to uncirculated.(6)

東印度公司銀幣6枚 · 1841及82年2安娜一對 · 1841及 1894年1/4盧比一對 · 及1840及1877年1盧比一對 · EF 至UNC

Estimate HK\$800-1,500





1036

Great Britain, silver 4 shillings, 1887, Roman I in date, *NGC MS63 and most lovely toning* 1887年英國4先令銀幣·NGC MS63·帶包漿

Estimate HK\$2,000-3,000





1037 **Great Britain,** silver double florin, 1889, Victoria on obverse, *light toned*, *PCGS MS63*. 1889年英國4先令銀幣·輕微包漿·PCGS MS63 Estimate HK\$1,500-3,000





Great Britain, matte proof set, 1902, from the gold 5 pounds to the silver maundy 1 pence, total of 13 coins, all NGC graded. The 5 pounds PF60, 2 pounds Proof Details (Cleaned), sovereign Proof Details (Cleaned), 1/2 sovereign Proof Details (Clened), Crown PF63, Halfcrown PF64, Florin PF62, Shilling PF63, Sixpence PF63, Fourpence PF63, Threepence PF61, Twopence PF64 and Penny PF62 with the red box of issue (13)

1902年英國樣幣一組13枚·1便士銀幣至5鎊金幣不等·5鎊評NGC PF60、2鎊、1鎊及1/2鎊Proof Details(有清洗)、1克朗PF63、半七朗PF64·1弗林PF62、1先令PF63、6便士PF63、4便士PF63、3便士PF61、2便士PF64及1便士PF62·連原裝紅盒

Estimate HK\$42,000-50,000







1039 Japan, silver 10 sen, Meiji Year 18 (1885), (JNDA 01-24), *PCGS MS64*

Estimate HK\$500-1,000



日本明治18年10錢銀幣 · PCGS MS64



1040 Japan, silver 10 sen, Meiji Year 9 (1876), (JNDA 01-24), *PCGS MS64* 日本明治9年10錢銀幣 · PCGS MS64

Estimate HK\$500-1,000





Japan, silver 10 sen, Meiji Year 20 (1887), (JNDA 01-24), *PCGS MS65*日本明治20年10錢銀幣 · PCGS MS65
Estimate HK\$800-1,600





1042 Mexico, 8 reales, 1770FM, Carolus III, about extremely fine. 1770FM墨西哥8里爾·AEF

Estimate HK\$1,200-2,500





Straits Settlements, silver dollar, 1904-B, NGC
MS61 and nice
1904-B海峽殖民地銀元 · NGC MS61 · 美品
Estimate HK\$3,500-5,000





1044 Straits Settlements, \$1, 1920, George V on obverse, (Prid 10), PCGS MS63 1920年海峽殖民地1元 · PCGS MS63 Estimate HK\$800-1,000







1045

Spanish Netherlands, a silver ducat, 1652, Philip IIII on obverse, scratch at upper rim, dark toned, very fine or better.

1652年西屬尼德蘭銀幣·VF以上品相·深色包漿及上方 邊緣有損

Estimate HK\$1,000-2,000





1046 **USA**, silver trade \$1, 1875-S, *PCGS AU55* 1875-S美國貿易銀元 · PCGS AU55

Estimate HK\$2,500-3,500





1047* Austria, gold 8 florin/8 francs, 1892, RESTRIKE, 0.1867 oz AGW, NGC MS68 1892年奧地利8福林金幣,後鑄版,含金重量0.1867盎 司·NGC MS68

Estimate HK\$2,500-3,200





1048* Austria, gold 4 ducat, 1915, restrike from 1920-1936, 0.4427 oz AGW, NGC MS66

1915年奧地利4杜卡特金幣 · 1920-36年後鑄版 · 含金 重量0.4427盎司·NGC MS66

Estimate HK\$5,800-7,000





1049* Austria, gold 4 ducat, 1915, restrike from 1920-1936, 0.4427 oz AGW, NGC MS67 1915年奧地利4杜卡特金幣 · 1920-36年後鑄版 · 含金 重量0.4427盎司, NGC MS67

Estimate HK\$6,000-8,000





1050*

Austria, gold 4 ducat, 1915, restrike from 1920-1936, 0.4427 oz AGW, NGC MS67 1915年奧地利4杜卡特金幣 · 1920 - 36年後鑄版 · 含金

重量0.4427盎司, NGC MS67

Estimate HK\$6,000-8,000







1051* Austria, gold 4 ducat, 1915, restrike from 1920-1936, 0.4427 oz AGW, *NGC MS67*

1915年奧地利4杜卡特金幣 · 1920-36年後鑄版 · 含金重量0.4427盎司 · NGC MS67

Estimate HK\$6,000-8,000





1052* Austria, gold 4 ducat, 1915, restrike from 1920-1936, 0.4427 oz AGW, NGC MS68 1915年奧地利4杜卡特金幣 · 1920-36年後鑄版 · 含金 重量0.4427盎司 · NGC MS68

Estimate HK\$6,000-8,000





1053* Austria, gold 100 corona, 1915, restrike, 0.9802 oz AGW, NGC MS64 1915年奧地利100克朗金幣·後鑄版·含金重量0.9802 盎司·NGC MS64

Estimate HK\$12,800-15,000





1054* **Austria**, gold 100 corona, 1915, restrike, 0.9802 oz AGW, *NGC MS67* 1915年奧地利100克朗金幣·後鑄版·含金重量0.9802

1915年奧地利100元的並常·後鑄版·召並重重0.9802 盎司·NGC MS67

Estimate HK\$13,500-18,000





1055 France, gold 20 Francs, 1866-BB, 0.1867 oz AGW, (Gad-1062), *PCGS AU55* 1866-BB法國20法郎金幣 · PCGS AU55 Estimate HK\$3,200-5,000





1056*
Germany, gold medal, 1963, in commemoration of President Kennedy, 0.0984 oz AGW, NGC PF65 Ultra Cameo

1963年德國紀念甘迺迪總統金章·含金重量0.0984盎司·NGC PF65 Ultra Cameo

Estimate HK\$1,200-1,800







1057 Great Britain, gold sovereign, 1910, 0.2354 oz. AGW, (S-3969), PCGS AU58 1910年英國一英鎊金幣 · PCGS AU58

Estimate HK\$4,000-6,000





1058 Great Britain, proof gold half sovereign, 1911, George V on obverse, 0.1177 oz. AGW, NGC PF62 and lovely

1911年英國半鎊精鑄金幣·重0.1177盎司·NGC PF62·美品

Estimate HK\$1,600-2,000





Great Britain, proof gold sovereign, 1911, George V on obverse, 0.2354 oz. AGW, NGC PF63 and lovely

1911年英國1鎊精鑄金幣,重0.2354盎司,NGC PF63, 美品

Estimate HK\$3,200-4,000





1060

Great Britain, proof gold 5 pounds, 1911, George V on obverse, 1.177 oz. AGW, NGC PF63 and lovely 1911年英國5鎊精鑄金幣·重1.177盎司·NGC PF63·美品

Estimate HK\$16,500-20,000





1061 Isle of Man, set of 4 gold coins, 1977PM, containing 1/2, 1, 2 and 5 sovereigns, together with the gilt silver medallion, 1.9955 AGW, graded NGC PF69, 67, 67 and 70 Ultra Cameo respectively. The medallion not graded. (4 coins + 1 medal)

1977年人島金幣一組4枚·包括1/2, 1, 2 及 5索維林·及 鍍銀章一枚·除鍍銀章無評級外·其餘分別NGC PF69, 67, 67 及 70 Ultra Cameo

Estimate HK\$28,000-35,000



1062

Isle of Man, set of 4 gold coins, 1977PM, containing 1/2, 1, 2 and 5 sovereigns, together with the gilt silver medallion, 1.9955 AGW, all graded NGC PF70 Ultra Cameo except for the 1 sovereign which is PF69 Ultra Cameo. A lovely high graded set (4 coins + 1 medal)

1977年人島金幣一組4枚·包括1/2, 1, 2 及 5索維林·及 鍍銀章一枚·除1索維林NGC PF69 Ultra Cameo外·其 餘均NGC PF70 Ultra Cameo

Estimate HK\$30,000-40,000





Lot 1003 (

1063

Spain, gold 8 escudos, 1711/OS M, coat of arms at centre on obverse, cross in quaterfoil on reverse, with retrograde 'S' at right legend, 0.917 gold, 0.7982 oz, NGC AU 55, very rare.

1711/OS M西班牙8士姑度金幣 · NGC AU55 · 十分罕見

Estimate HK\$40,000-60,000



1064 Switzerland, gold 20 Francs, 1949B, 0.1867 oz AGW, *PCGS MS65* 1949B瑞士20法郎金幣 · PCGS MS65

Estimate HK\$3,500-5,000





1065
Macau, Private Issue, The Yik Kee Bank, \$10, 1927, vertical format, green on orange underprint, (Pick S176), about fine and scarce
1927年澳門益記銀號10元·AF品相
Estimate HK\$8,000-12,000



Macau: Banco Nacional Ultramarino, 10 patacas, indeterminable date but looks to be 1912, serial number 9457, red on pale green, steamship seal at upper centre, handsigned at lower left and printed signatures at lower right, reverse in similar colours, Bank title and denomination, arms at centre, a rare Barclay and Fry printing, (Pick 3), very good, repaired at centre and other places along the heavy creases. With annotations and handstamps. An extremely rare note in any shape or form

無日期大西洋國海外匯理銀行10元·編號9457·應為1912年版別·VG·中央有修補·有書寫及蓋印·十分稀見

Estimate HK\$10,000-20,000





ONE THE FINEST KNOWN 1919 100 PATACAS! 最高評分之一的1919年100元



1067

Macau: Banco Nacional Ultramarino, 100 patacas, 1919, serial number 21870, brown on multicolour underprint, ship seal at right, arms at top left, steam ship at upper centre left, (Pick 9), PMG 30 Very Fine (Annotations). The single highest graded note in the PMG population report and in original condition too. Extremely rare

1919年澳門大西洋海外匯理銀行100元·編號21870·PMG 30·有書寫·紀錄中為一最高分·原裝美品·十分罕見

Estimate HK\$110,000-150,000





Macau: Banco Nacional Ultramarino, 100 patacas, colour trial, 1945, red-orange, Chinese temple at right, Waterlow and Sons printing, reverse red-orange, steamship seal at centre, (Pick 33cts), *PMG 64 Choice Uncirculated* 1945年大西洋國海外匯理銀行100元試色樣票·華德路版·PMG 64

Estimate HK\$40,000-50,000



1069

Macau: Banco Nacional Ultramarino, 100 patacas, specimen, 13.12.1973, serial number 000000. blue on pale grey-green, Ruins of St. Paul at right, control number 335, (Pick 53a), PMG 64 Choice Uncirculated

1973年大西洋國海外匯理銀行100元樣票·控號335·PMG 64

Estimate HK\$4,000-6,000



1070 **Macau,** 10 patacas, 1977, Specimen, serial number 0000000, (Pick 55s), *PMG 64, choice uncirculated.* 1977年大西洋國海外匯理銀行10元樣票 · PMG 64 Estimate HK\$1,200-2,400





書寫) 及 65EPO

Macau: Banco Nacional Ultramarino, 10 patacas specimen, 1981, serial number FR00000, also 10 patacas, 1984 with 1988 Grand Prix overprint, (Pick 59s, 64), the specimen note graded PMG 64 (Printer's Annotation Lightened) and the other note 65EPQ (2) 1981年澳門大西洋國海外匯理銀行10元樣票,FR00000、及1984年10元、賽車版、分別評PMG 64(有

Estimate HK\$3,000-4,000



1072

Macau: Banco Nacional Ultramarino, 10 patacas, 12.5.1984, consecutive run of 100, serial number JS28901-9000, with Presidente, (Pick 59c), uncirculated, the few outermost notes have very light toning (100)

1984年大西洋國海外匯理銀行10元連號100枚·編號 JS28901-9000·UNC·最外面的數枚有微黃

Estimate HK\$1,500-2,000



1073

Macau: Banco Nacional Ultramarino, 50 patacas, 8.8.1981, serial number KY33315, without Presidente at lower left, (Pick 60b), PMG 68EPQ Superb Gem Uncirculated

1981年大西洋國海外匯理銀行50元·編號KY33315·PMG 68EPQ

Estimate HK\$1,200-1,800



1074

Macau, 100 patacas, 1981, specimen, serial number LZ00000, (Pick 61s2), *PMG 64, choice uncirculated*. 1981年大西洋銀行100元樣票·編號LZ00000·PMG 64

Estimate HK\$1,500-3,000



1075

Macau: Banco Nacional Ultramarino, 1000 Patacas, 8.7.1991, serial number AP90115, (Pick 70a), PMG 68EPQ Superb Gem Uncirculated 1991年大西洋國海外匯理銀行1000元 · 編號 AP90115 · PMG 68EPQ

Estimate HK\$3,600-4,800



AN EXTREMELY RARE AND ELUSIVE AGRA AND UNITED SERVICE BANK \$200! 絕無僅有呵加剌匯理銀行200元



1076

The Agra and United Service Bank, Limited, \$200 Hong Kong ca.1862, Proof on card.

Believed to be one of two known.

Certified by PMG as PF 63 Choice UNC EPQ, and the only note on this bank thus far certified.

Engraved by John Biden, Se., 37 Cheapside, London.

Historical records show the following circulation figures for the "Chartered Agra & United Service Bank, Limited":

 Jan 1864
 378,000

 June 1864
 480,065

 Dec 1864
 422,898

After this the institution was renamed "The Agra & Masterman's Bank, Limited', and showed issue figures from January 1865 to May of 1866. At this latter date the Crisis of 1866 hit, and the bank ran into considerable difficulties. While all its notes were paid (the June 1866 figure shows no circulation), the Bank was unable to issue notes in Hong Kong during the remainder of its (reformed) existence.

To our knowledge no issued nor canceled or other form of note has survived on either name of the Bank.

This is accordingly the rarest bank of Hong Kong, surpassing even the legendary Oriental.

Interestingly the Commercial Bank Corporation of India & the East had an even shorter issue span of February 1866 to May 1866, prior to collapsing in the crash of the summer of 1866. No survivor of the Commercial Bank's issue has been seen to date.

1862年呵加剌匯理銀行200元黑白樣票,無日期及編號, PMG 63EPQ

香港鈔票中的經典逸品。除了極罕見200元面額之外·亦是由香港最早成立但壽命最短的發鈔機構印製。呵加剌匯理銀行在香港於1862年成立·並於1863年9月發行了首張鈔票。其後它與馬士打文·彼德·米特公司合併·並更名為阿加剌與馬士打文銀行。闊別多年再度登場·如此珍品·定必吸引高階藏家爭奪

Estimate HK\$400,000-600,000



BANKNOTE ISSUES BY THE (CHARTERED) AGRA & UNITED SERVICE BANK LIMITED

呵加剌匯理銀行

As can be seen by the banknote issue figures published here for the first time, the Agra & United Service Bank commenced issuing banknotes in September of 1863. The colonial "blue books" show an immediate circulation of 328,851, which is a remarkable accomplishment within a month.

The Bank's issues rose by June 1864 to 480,065, its highest point. The final figure for the Bank in December 1864 was a circulation of 422,898.

From January 1st 1865 after a merger the Bank was known as Agra & Masterman's Bank Limited, and in that first month showed a circulation of 609,308. This circulation clearly included the notes issued by the predecessor bank. The figure climbed slightly in June of 1865 to 615,348, before gradually declining to a low of 246,165 in August 1865, and finally in May to 274,443.

With the "Crash of '66" the Bank ceased issuing notes, and in fact for June 1866 shows a balance of "nil." No new circulation was made.

It can be seen by the figures extracted for William Barrett during the early 1980's by IBNS member Richard "Dick" Leader that the Oriental Bank note issue dropped to about one fifth in November 1866 before with the lessening of the crisis commencing to climb. The Chartered Mercantile Bank's figure, which had been as high as 788,270 in January 1865 dropped to less than one third this total by September 1866, while the Chartered Bank of India, Australia & China (today's Standard Chartered) went from a high of 660,427 in January 1866 to a post-Crash low of only 33,800! But as with the Oriental and Chartered Mercantile, the bank survived.

The newly founded Hong Kong & Shanghai Bank had only been established about one year at the time of the Crash, and was in the fortunate position of not having paid out much of its capital in the way of loans. Its circulation figures dropped from just under a million in February 1866 to a post-Crash low 607,050. And the Commercial Bank Corporation of India and the East went from 127,147 in February 1866 to 120,000 in May 1866 before crashing out and disappearing from history.

It should be noted that for notes of 1866 and earlier only a tiny handful of pieces are known:

Of the Oriental Bank no note earlier than 1882 is known;

Of the Chartered Mercantile Bank the earliest known note is 1860 of the classic Batho style, a specimen of which is offered in this same auction;

Of the Chartered Bank -- as can recently be seen by Spink China devotees -- the earliest issued notes were dated 1862, but apparently not issued until the spring of 1863, two issued \$5 and two issued \$10 being known to have

remarkably survived from this period.

Of the Chartered Agra & United Service Bank Limited and its successor the Agra & Masterman's Bank Limited, no note issued in any form (i.e. uncanceled or canceled) has ever been reported. And the same would apply to notes of the Commercial Bank Corporation of India and the East. However, of the Commercial Bank at least one note has survived from its Japanese operation.

It is recorded that some thirteen banks operating in Hong Kong crashed and disappeared during the severe upheavals of mid-1866, which were triggered by events in England, most of these banks being established in London.

It can be seen by these issue figures that no other bank, however, was authorized to issue banknotes in Hong Kong in this period, the finalists being the National Bank of China in the early 1890's, followed by the reconstructed Chartered Mercantile Bank, of which notes appeared under the new name of Mercantile Bank of India in 1912.

This note is thus an exceptionally rare and important survivor of the earliest period of Hong Kong banking and note issue. Its denomination of \$200 is remarkable, but it should be noted that even Great Britain's Bank of England issued notes in denomination of 100, 200, 300, 500, and 1000 Pounds, thus it is not a unique concept.

The condition of the note is exceptional.

With the circulation figures it can be seen that this bank or its two successor banks are not enigmatic, but rather they served Hong Kong in a historic period. This exciting note survives as a testimony to Hong Kong's early history and its link to banking and commerce.

從首次發鈔數據可以看到·阿加剌匯理銀行於1863年9月首度 發行紙幣。殖民地《藍皮書》顯示同月發行了328851元。翌年6 月·發行量上升至最高點480,065元。1865年1月1日與馬士打 文·彼德·米特公司合併·可惜最終亦於1866年結束發鈔。

值得注意的是·只有極少數於1866年或更早出現的紙鈔是已知的:

東藩滙理銀行最早紀錄為於1882年

有利銀行最早於1860年發行紙鈔,亦於本次拍賣會中亮相

至於渣打銀行·則最早於1862年印製紙幣·但直到1863年春天才發行·已知存世有兩張5元及兩張10元流通票。

加剌與馬士打文銀行及前身呵加剌匯理銀行從未有過以任何形式發行鈔票·不論有否經注鎖·匯隆銀行亦然。但是·匯隆銀行至少有一張鈔票在其日資經營時期中倖存下來。

據記錄·在1866年中期發生的嚴重動盪期間·約有13家在香港經營的銀行倒閉·大多為英資銀行·受當時英國時局不穩所影響。

因此·本鈔是香港銀行和最早發鈔時期中極為罕見且重要的一枚·加上面額為200元是港鈔中絕無僅有的。但應注意·英倫銀行也發行了面額為100、200、300、500和1000鎊的紙鈔·因此出現這面值並非奇事。



TOP OF THE POPULATION \$1 最佳品相1元



1077

Government of Hong Kong, \$1, no date (1935), serial number H080631, purple and multicolour, George V at right, (Pick 311), PMG 67EPQ Superb Gem Uncirculated. The highest grade for this type in the PMG report! 1935年香港政府1元·無日期·編號H080631·PMG 67EPQ·此版別最高評級

Estimate HK\$65,000-80,000

LOT 1078-1079 - A SUPERB PAIR OF WARTIME EMERGENCY NOTES IN HIGH GRADES!

戰時緊急票一對,頂尖品相



1078

Government of Hong Kong, \$5, emergency issue printed on The Central Reserve Bank of China 1000 yuan, 1945, serial number L067880, (Pick 319), PMG 50 About Uncirculated. An extremely rare note and increasingly popular. Only 3 notes in the PMG population report and this note the second highest grade. Rare and choice

1945年中央儲備銀行1000元改香港政府5元·編號L067880·PMG 50·罕見版別·愈趨大熱·本鈔於PMG 3枚紀錄中屬第二高分·不容錯過

Estimate HK\$60,000-80,000





Government of Hong Kong, \$10, emergency issue on Central Reserve Bank of China 5000 yuan, 1945, serial number A000056, red overprint on grey, (Pick 320), graded PMG 63 Choice Uncirculated. An extremely rare and choice note. Only 3 notes in the PMG population report and this the single highest graded. Incidentally, this is the only note in the whole series (\$5's and \$10's) that has been awarded an 'uncirculated' grade. A simply splendid note

1945年中央儲備銀行5000元改香港政府10元·編號A000056·PMG 63·極珍罕·只有3枚送評PMG·此鈔為最高分·整個系列(包括5元及10元)僅得本鈔獲評UNC級別·萬中無一之經典美品

Estimate HK\$120,000-150,000





Government of Hong Kong, \$1, colour trial specimen, nd (1952-59), control number 2, grey green and multicolour, Queen Elizabeth II at right, 'SPECIMEN' overprinted in red at centre, (Pick 324Acts), PMG 64NET Choice Uncirculated (Thining). Rare

1949年香港政府1元試色樣票 · 編號2 · PMG 64NET · 罕見

Estimate HK\$12,000-18,000



1081

Government of Hong Kong, \$1, 1.6.1956, serial number 2G 619262, (Pick 324Ab), PMG 68EPQ Superb Gem Uncirculated

1956年香港政府1元 · 編號2G 619262 · PMG 68EPQ Estimate HK\$1,000-1,500



1082

Government of Hongkong, 2x \$1, 1.7.1959, serial number 6E702302-303, (Pick 324Ab), both graded PMG 67EPQ Superb Gem Uncirculated (2)

1959年香港政府1元連號2枚·編號6E702302-303·均PMG 67EPQ

Estimate HK\$800-1,600



1083

Government of Hongkong, 2x \$1, 1.7.1959, serial number 6E702304-305, (Pick 324Ab), both graded PMG 67EPQ Superb Gem Uncirculated (2)

1959年香港政府1元連號2枚·編號6E702304-305·均PMG 67EPQ

Estimate HK\$800-1,600





Government of Hongkong, 2x \$1, 1.7.1959, serial number 6E702307-308, (Pick 324Ab), both graded PMG 67EPQ Superb Gem Uncirculated (2)

1959年香港政府1元連號2枚·編號6E702307-308·均PMG 67EPQ

Estimate HK\$800-1,600



1085

Government of Hongkong, \$1, 1.7.1959, serial number 6E702309, (Pick 324Ab), *PMG 68EPQ Superb Gem Uncirculated*

1959年香港政府1元 · 編號6E702309 · PMG 68EPQ Estimate HK\$600-1,200



1086

Government of Hongkong, 2x \$1, 1.7.1959, serial number 6E702332-333, (Pick 324Ab), both graded PMG 66EPQ Gem Uncirculated (2)

1959年香港政府1元連號2枚·編號6E702332-333·均PMG 66EPQ

Estimate HK\$700-1,400





Government of Hongkong, \$10, 1.7.2002, solid serial number AA222222, (Pick 400a), PMG 66EPQ Gem Uncirculated. A superb first 'AA' prefix solid number!

2002年香港政府10元·首冠幸運號AA222222·PMG 66EPQ

Estimate HK\$23,000-28,000



1088

Government of Hong Kong, \$10, 1.1.2012, low serial number RD000069, (Pick 401c), PMG 70EPQ* the highest grade possible!

2012年香港政府10元·細編號RD000069·PMG 70EPQ*·最高評分

Estimate HK\$3,000-4,500





Bank of China, \$20 specimen, 1.1.1996, serial number BF000000, 'SPECIMEN' printed diagnonally in red, (Pick 329s), PMG 66EPQ Gem Uncirculated. Rare

1996年中國銀行20元樣票·編號BF000000·PMG 66EPQ, 罕見

Estimate HK\$6,000-8,000



1090

Bank of China, \$50 specimen, 1.1.1996, serial number AD000000, 'SPECIMEN' printed diagnonally in red, (Pick 330s), PMG 66EPQ Gem Uncirculated. Rare

1996年中國銀行50元樣票 · 編號AD000000 · PMG 66EPQ · 罕見

Estimate HK\$8,000-10,000



1091

Bank of China, \$100 specimen, 1.1.1996, serial number BF000000, 'SPECIMEN' printed diagnonally in blue, (Pick 331s), PMG 66EPQ Gem Uncirculated. Scarce

1996年中國銀行100元樣票·編號BF000000·PMG66EPQ, 罕見

Estimate HK\$7,000-10,000



1092

Bank of China, \$500 specimen, 1.1.1996, serial number BF000000, 'SPECIMEN' printed diagnonally in red, (Pick 332s), PMG 66EPQ Gem Uncirculated. Rare

1996年中國銀行500元樣票 · 編號BF000000 · PMG 66EPO · 罕見

Estimate HK\$8,000-10,000



1093

Bank of China, \$1000 specimen, 1.1.1996, serial number AH000000, 'SPECIMEN' printed diagnonally in red, (Pick 333s), PMG 65EPQ Gem Uncirculated and rare

1996年中國銀行1000元·編號AH000000·PMG65EPQ·罕見

Estimate HK\$10,000-15,000







Bank of China, set of \$20, \$50, \$100, \$500 and \$1000, 1994, commemorative issue without prefix, serial number 007630, (Pick 329a-333a) all graded PMG 67EPQ apart from the \$500 which is 66EPQ, with original certificate of issue (5)

1994年中國銀行一組5枚·20、50、100、500及1000元·相同無字軌編號007630、除500元PMG 66EPQ外·其餘均評PMG 67EPQ、附證書

Estimate HK\$4,500-6,000



1095

Bank of China, \$1000, 1.1.1995, rotator serial number AC688889, (Pick 333b), PMG 64 Choice Uncirculated and a key date of the series 1995年中國銀行1000元·可倒置編號AC688889·

1995年中國銀行1000元·可倒直編號AC688888 PMG 65EPQ·少見年份

Estimate HK\$4,000-6,000



1096*

Bank of China, an uncut sheet of 30x \$100, 2012, the last four serial number '4481', uncirculated, with its original cardboard tube and certificate of authenticity 2012年中國銀行100元30連體·最尾4位編號4481·UNC·連原裝紙筒及證書

Estimate HK\$10,000-15,000



LOT 1097-1107 - A SUPERB GROUP OF BOC FANCY SERIAL NUMBERS 中銀趣味號一組



1097

Bank of China, 3x \$100, 24.9.2017, 100th Anniversary of the Bank in Hong Kong, identical fancy serial numbers with different prefixes, AA011111, BC011111 and HK011111, (Pick 347), graded PMG 67EPQ, 66EPQ and 67EPQ respectively (3)

2017年中國銀行成立一百週年紀念鈔100元一組3枚·不同字軌相同趣味號AA011111, BC011111 及 HK011111·分別評 PMG 67EPQ, 66EPQ 及 67EPQ

Estimate HK\$40,000-50,000



1098

Bank of China, \$100, 24.9.2017, 100th Anniversary of the Bank in Hong Kong, fancy serial number HK022222, (Pick 347), PMG 65EPQ Gem Uncirculated

2017年中國銀行成立一百週年紀念鈔100元·趣味號 HK022222·PMG 67EPO

Estimate HK\$10,000-18,000



1099

Bank of China, \$100, 24.9.2017, 100th Anniversary of the Bank in Hong Kong, fancy serial number BC066666, (Pick 347), PMG 68EPQ Superb Gem Uncirculated

2017年中國銀行成立一百週年紀念鈔100元·趣味號 BC066666·PMG 68EPQ

Estimate HK\$13,000-20,000





Bank of China, \$100, 24.9.2017, 100th Anniversary of the Bank in Hong Kong, fancy serial number BC099999, (Pick 347), PMG 67EPQ Superb Gem Uncirculated

2017年中國銀行成立一百週年紀念鈔100元·趣味號 BC099999·PMG 67EPQ

Estimate HK\$12,000-18,000



1101

Bank of China, 3x \$100, 24.9.2017, 100th Anniversary of the Bank in Hong Kong, identical fancy serial numbers with different prefixes, AA118888, BC118888 and HK118888, (Pick 347), graded PMG 66EPQ, 67EPQ and 66EPQ respectively (3)

2017年中國銀行成立一百週年紀念鈔100元一組3 枚·不同字軌相同趣味號AA118888, BC118888 及 HK118888·分別評PMG 66EPQ, 67EPQ 及 66EPQ Estimate HK\$30,000-40,000



1102

Bank of China, \$100, 24.9.2017, 100th Anniversary of the Bank in Hong Kong, fancy serial number AA228888, (Pick 347), PMG 68EPQ Superb Gem Uncirculated

2017年中國銀行成立一百週年紀念鈔100元 · 趣味號 AA228888 · PMG 68EPO

Estimate HK\$13,000-20,000



1103

Bank of China, \$100, 24.9.2017, 100th Anniversary of the Bank in Hong Kong, fancy serial number HK228888, (Pick 347), PMG 69EPQ Superb Gem Uncirculated

2017年中國銀行成立一百週年紀念鈔100元·趣味號 HK228888·PMG 69EPO

Estimate HK\$13,000-20,000



1104

Bank of China, \$100, 24.9.2017, 100th Anniversary of the Bank in Hong Kong, fancy serial number BC338888, (Pick 347), PMG 67EPQ Superb Gem Uncirculated

2017年中國銀行成立一百週年紀念鈔100元·趣味號 BC338888·PMG 67EPQ

Estimate HK\$12,000-18,000





Bank of China, \$100, 24.9.2017, 100th Anniversary of the Bank in Hong Kong, fancy serial number HK338888, (Pick 347), PMG 67EPQ Superb Gem Uncirculated

2017年中國銀行成立一百週年紀念鈔100元·趣味號 HK338888·PMG 67EPO

Estimate HK\$12,000-18,000



1106

Bank of China, \$100, 24.9.2017, 100th Anniversary of the Bank in Hong Kong, serial number BC668888, (Pick 347), PMG 67EPQ Superb Gem Uncirculated

2017年中國銀行成立一百週年紀念鈔100元·趣味號 BC668888·PMG 67EPQ

Estimate HK\$12,000-18,000



1107

Bank of China, \$100, 24.9.2017, 100th Anniversary of the Bank in Hong Kong, fancy serial number HK668888, (Pick 347), PMG 67EPQ Superb Gem Uncirculated

2017年中國銀行成立一百週年紀念鈔100元·趣味號 HK668888·PMG 67EPQ

Estimate HK\$12,000-18,000



1108

Bank of China, \$100 commemorative gold note, 24.9.2017, 100th Anniversary of the Bank in Hong Kong, weighs 2g, .999 gold, *PMG 70 Seventy Gem Uncirculated*

2017年中國銀行成立一百週年紀念100元999金鈔·重2克·PMG 70

Estimate HK\$3,000-5,000



1109

Bank of China, \$20, 1.1.2013, solid serial number CW111111, (Pick 341c), PMG 66EPQ Gem Uncirculated

2003年中國銀行20元樣票·幸運號CW111111·PMG 66EPQ

Estimate HK\$3,000-4,000



1110

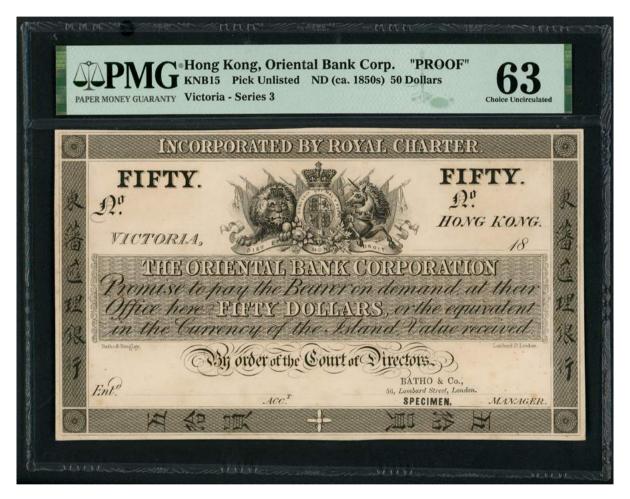
Bank of China, \$1000, 1.1.2018, solid serial number BH555555, PMG 66EPQ Gem Uncirculated and scarce

2018年中國銀行1000元·幸運號BH555555·PMG 66EPQ

Estimate HK\$15,000-20,000



A MAGNIFICENT ORIENTAL BANK \$50! 東藩滙理銀行50元美品



1111

The Oriental Bank Corporation, \$50, specimen, undated (1860's), black printing thick paper, lion and unicorn supporting arms at upper centre, 'FIFTY' with 'VICTORIA' and 'HONG KONG' at upper left and right, bank title and denomination in Chinese within borders, printed by Batho & Co, London, (Pick not listed), PMG 63 Choice Uncirculated and fabulously rare

1860年代東藩滙理銀行50元樣票·由倫敦Batho and Company印刷

Estimate HK\$750,000-900,000



HONG KONG 19TH CENTURY: MERCANTILE BANK OF INDIA, LONDON AND CHINA AND

CHARTERED MERCANTILE BANK OF INDIA, LONDON & CHINA

MERCANTILE BANK OF INDIA, LONDON AND CHINA

ORIGINAL FIRST ISSUE, BATHO TYPE 1 WITHOUT "THE CHARTERED"

The earliest of the notes of the Chartered Mercantile Bank of India, London and China dates from 1857 according to official Hong Kong records.

At present the author is unaware of any issued note with the original name "Mercantile Bank of India, London and China", though these notes were clearly in circulation in considerable numbers.

An example of a specimen note of this original issue -- without the words "THE CHARTERED" can be seen in Boon as KNB2.

CHARTERED MERCANTILE BANK OF INDIA, LONDON AND CHINA

TRANSITIONAL ISSUE, BATHO TYPE 2

As Type 1, but with the words "THE CHARTERED" engraved above the vignette at upper center. Without inscriptions of the Bank's name in Chinese in the left and right borders.

After the Mercantile's Charter was obtained, the existing Batho & Company plates were re-engraved to reflect this important change change of status.

Accordingly, above the central vignette the words "THE CHARTERED" were engraved, apparently onto plates of each denomination.

CHARTERED MERCANTILE BANK OF INDIA, LONDON AND CHINA

TRANSITIONAL ISSUE, BATHO TYPE 3

As Type 2, but the Bank's name now engraved in Chinese in the left and right borders.

CHARTERED MERCANTILE BANK OF INDIA, LONDON AND CHINA

FOURTH ISSUE, BATHO TYPE 4

Notes printed from plates with the Bank's new name, "Chartered Mercantile Bank of India, London and China" fully engraved across the center bar.

Note: only one example of an issued note of these remarkable first series of issues by the Mercantile from Batho plates are presently recorded, with a second issued example rumoured.

As all notes of these four types for the Mercantile are exceptionally rare, i.e. unknown, one known, or at most two known, the full story of the Bank's issue remains to be told.

The Bank itself illustrates in "Money in the Bank" the top half of an issued \$5 note of Type 3 on page ____. But otherwise of the first four inaugural issues by Batho, HSBC has no issed example.

Virtually all of the "specimen" notes known to exist of the Mercantile Bank of India, London & China and of the Chartered Mercantile Bank of India, London & China Batho issues are held in either the HSBC corporate archive, or in the Barrett collection. And virtually every one of these HSBC collection examples is illustrated in KN Boon.



CHARTERED MERCANTILE BANK OF INDIA, LONDON & CHINA 香港最早期鈔票之一 - 印度倫頓中國三處匯理銀行



1112*

KNB1a \$5, ca.1859-1860, Specimen, UNC.

- *The borders at left and right lacking the Bank name inscription.
- *Note: the words "THE CHARTERED" engraved on the plate up upper center subsequent to the preparation of the original plate.
- *It is not yet known whether notes were issued under the original name of "Mercantile Bank of India, London & China" prior to the charter being granted.
- *Provenance: obtained in exchange from the HSBC Archives in 1988.
- *Note of the first transitional Batho & Company second printing, with transitional wording "THE CHARTERED" added at top.
- *Pick unrecorded.
- *Cribb ____.
- *Ma
- *Boon KNBla.
- *Believed one of two specimens.

The Chartered Mercantile Bank of India, London and China, \$5, specimen, 18_ (ca.1858), grey and blue on

white paper, Britannia at upper centre, denomination in Chinese characters within border, 'FIVE' on either side, blue 'FIVE' at lower centre, a uniface note printed by Batho and Co., (Pick 82), PMG 58 Choice About Uncirculated. A superb note of the highest rarity and likely the earliest note designed for Hong Kong available. Of the highest historical importance.

18__年印度倫頓中國三處匯理銀行5元樣票·PMG 58·極罕之香港早期鈔票·深具歷史意義·絕無僅有

Estimate HK\$500,000-750,000



MERCANTILE BANK OF INDIA, LONDON & CHINA, \$5 ca.1858-1860

The newly established Mercantile Bank of India, London & China is first recorded as issuing notes from 1 August 1857. These earliest notes are without "THE CHARTERED" either in the Bank's name or added at the top centre. A \$10 of this first issue is contained in the HSBC collection, and is illustrated by KN Boon as KNB2.

It should be noted that the Boon illustrations for the Mercantile Bank and the Chartered Mercantile Bank in the early days are out of order. But they are illustrated.

The records indicate that from 31 December 1857, as the Bank had received a Royal Charter and become The Chartered Mercantile Bank of India, London & China, a new issue of notes was prepared. These transitional notes had the words "The Chartered" engraved in small black characters at the upper centre, just below the upper border, but lack any inscription in the margin. This type Boon denotes KNB1a.

The next issue featured an added border inscription at left and right. This type KN Boon illustrates as KNB1b.

By October of 1858 the Bank -- now listed as The Chartered Mercantile Bank of India, London & China -- had a circulation of 202,246 dollars, in contrast to the only other issuing bank, the Oriental Bank Corporation, which listed in the same month a circulation of 547,716 dollars.

These were followed by a final series with the Bank's name fully engraved as "The Chartered Mercantile Bank of India, London & China". It would appear that these notes were issued until at least 1865 when new notes were printed by a new printer, Perkins Bacon Co. of London, ca.1865.

These earliest notes are exceptionally rare and are effectively impossible to collect, with only one issued note confirmed. The HSBC collection does not contain an issued note, but has a fragment of one.

The "Chartered Mercantile" name stayed with the Bank until the early 1890's when the Mercantile -- having survived the crash of 1866, and another crisis in 1883 -- ran into capital difficulties and was forced to reorganize, in the process of which losing its Charter. At that time it became the Mercantile Bank of India Limited, however it did not

regain the right to issue notes until the well-known series of 1912.

Much later, in 1959, the Bank was to shorten its name to "The Mercantile Bank Limited", and was taken over by HSBC in the same year.

The Bank's main competitor in the earliest days was the Oriental Bank Corporation, the only issuing bank that preceded it. The Oriental had apparently commenced issuing notes in 1846, and on January of 1847 reported a total circulation of 24,650 dollars.

By the time the Mercantile began to issue in the summer of 1857, the Oriental Bank Corporation's issue was reported as 309,270 dollars.

It was not until March of 1863 that the Chartered Mercantile Bank Corporation enjoyed a circulation equal to that of the Oriental Bank Corporation. And within a month, a new competitor, the Chartered Bank of India, Australia & China, was circulating its notes, though its circulation was only a quarter of either the circulation of the Oriental Bank Corporation or the Chartered Mercantile Bank at its inception.

The note offered here is from the second issue, KNB1a, and accordingly is not only of the greatest rarity but also the highest historical significance.

據記錄·新建立的印度倫頓中國三處匯理銀行於1857年8月 1日首次發鈔。這些最早期的鈔票在銀行名稱或上方中央位 置都沒有加上"THE CHARTERED"字樣·KN Boon於其 書目中標為KNB2。

自1857年12月31日起·該行獲皇家特許狀·同時銀行也準備發行新紙鈔·上方中央位置印有黑色 "The Chartered"字樣·但空白處沒有任何文字·此版別Boon標為KNB1a。

1892年·該行改組並放棄發鈔特許·並改名為有利銀行 (Mercantile Bank of India)·以有限公司註冊。1959 年·銀行易名為「有利銀行」·並於同年被匯豐銀行接管。

本拍品是KNB1a之第二版,珍罕無比,意義非凡



BANKNOTE CIRCULATION FIGURES FOR ALL HONG KONG BANKS 1846-1878

The following figures were extracted by early British collector and IBNS member Richard "Dick" Leader, on instruction of William Barrett, Montreal.

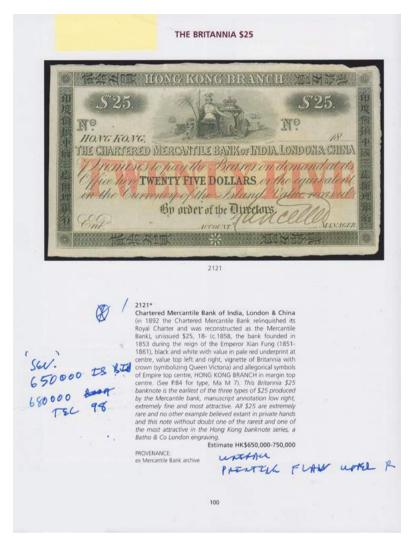
While the figures cannot predict how rare any banknote may be with certainty, what they do show is which banks issued commencing when, and for how long.

	ORIENTAL	MERCANTILE			
	BANK	BANK OF INDIA			
	(CORPORATION	A STATE OF THE PARTY OF THE PAR	A		
	\$	\$			
1846	no figures			where	ver a statement
Jan 1847	24650			in th	ese tables - or
Dec 1847	56495			elsew	here for that
1848	no figures	as		matte	r - is within
1849	Manager			quote	signs then it/
1850	objected to				is/are as writte
1851	furnishing	same.			e Blue Book(s)
1852	56362				
Jan 1853	54360				
Dec 1853	73600				
Jan 1854	* 71368				
Dec 1854					
Jan 1855		"Average Amount			
Dec 1855		Notes of the Me			
Jan 1856		Bank of India,			
Dec 1856		China during th			
		from 1st. Augus			
Jan 1857		(date of commen			
Jun 1857		of Issue) to 31	st. Dec. 1857"		
Dec 1857	* 342965	+ 141467			
		CHARTERED MERCA	NTILE		
		BANK OF INDIA.			
		LONDON & CHINA			
		Carlo and the Ca			
Oct 1858		+ 202246			
Dec 1858		+ 313283			
Jun 1859	492085	+ 251438			
Dec 1859	614299	+ 295060			
Jan 1860	627970	+ 342291			
Jun 1860	729241	+ 397445			
Dec 1860	952795	+ 494950			
June 1861	797248	+ 586044			
Dec 1861	814499	+ 642131	CHARTERED	BANK	
Jun 1862	910837	712849	OF INDIA,	The state of the s	
Dec 1862	890098	775191	& CHINA		
Jan 1863	825398	700746	o, online		
Feb 1863	829524	778075			
Mar 1863	771114	771797		CF	HARTERED AGRA
Apr 1863	782213	748065	240151		ND UNITED
May 1863	826536	737935	298197		ERVICE BANK
Jun 1863	881114	2,000,000			IMITED
Aug 1863	890602	738606	330792		
Oct 1863		749913	342870		-
001 1005	912522	633084	363623	Sep 1863	328851
Nov 1863	1093937	777410	413267	000 100)	488680
Dec 1863	848931	786307	438311		471981
	The state of the s		4/4/11		411/01



	Note	COMMERCIAL		ION OF INDIA	AND THE EA	ST appear for	only 4 months
							lso HK & SHANGHAI
		ORIENTAL BANK	CHARTERED MERCANTILE	CHARETERED BANK OF	CHARTERED AGRA AND		BANKING COMPAN
		CORPORATION	BANK OF	INDIA,	UNITED		
			INDIA, LONDON	AUSTRALIA	SERVICE		
			& CHINA	& CHINA	BANK LIMITED		
Jan	1864	763421	730750	386168	378000		
Jun	1864	837336	777321	489718	480065		
Dec	1864	686497	775962	491232	422898		
					AGRA & MASTERMANS	HONG KONG & SHANGHAI	COMMERCIAL BAN
					BANK Ltd.	BANKING	INDIA AND THE
Jan	1865	817445	788270	617954	609308	COMPANY	EAST
	1865	781684	588238	560590	615348	-	-
	1865	613630	605113	456088	487499	-	_
	1865	536699	714472	339596	246165	582595	-
	1865	526945	489726	481757	290799	747690	-
	1865	653822	529784	533641	341936	796384	-
	1866	799586	531250	660427	256761	803569	-
Feb	1866	847607	530415	631145	318660	956574	127147
	1866						127919
	1866		WWW. To Carrie		-		180000
	1866	583709	420290	275868	274443	719944	120000
	1866			*****	-	(00000	-
	1866	329600	335441	33800	-	607050	-
	1866	363520	272375	30120	-	670850	-
	1866	224000	253715	29100	-	650334	
	1866	156000	296744	30280	-	807016	AL ANT LESS.
рес	1866	230800	323524	69900		697559 HONG KONG &	
						SHANGHAI	
						BANKING	
						CORPORATION	
Jan	1867	289000	336774	91935	-	721330	-
	1867	585000	313655	180605	-	943037	-
	1867	419000	312455	303065	-	933749	-
Jan	1868	570000	384603	327165	-	1092690	-
Jun	1868	349300	395810	494090	-	876515	-
Dec	1868	495732	399709	291465	-	858567	-
	1869	513584	392969	309445	-	813112	-
	1869	661697	551584	347400	-	1078183	
	1869	569390	642642	464575	-	1104008	-
	1870	577694	590473	426890	-	1268651	-
	1870	472196	608320	384475	-	1309128	-
	1871	262363	558993	189300	-	1546180	-
	1871	463504	323504	180050	-	1370510	-
	1871	556618	614552	409990	-	993518	
n/Dec		591935 **	422099** 616271**	399701 **	-	1243803 **	
n/Dec	1874	572022 **	616271**	475524 **		1529170 **	-
	1920000	358815 343852	749750 729265	538423 505810	2	1478675	
	1875 1875		612650	471330	-	2068937 1432497	-
	1875	499471 491954	648954	542084	-	1468702	
	1876	303127	520774	439424	-	1238700	
	1877	373016	407298	544670	_	1516781	_
	1878	310825	531611	562742	_	1647726	
200	1010	,,,,,,	221011	200140		.041120	





A PAGE FROM THE SPINK HONG KONG SALE CATALOGUE OF 20 JAN 2007

The only other note of the first colourful Chartered Mercantile Bank issues known in private hands, and the only note of this early Chartered Mercantile series that has ever been sold, is a \$25 offered in 2007.

The consignors comments to the left can be explained as follows:

"Sev." = Several. This is auctioneer Barnaby Faull's manner of stating at the opening of the lot that he has several bids on the book. The comment denotes more or much more than average interest in a lot.

This was followed by "650000 is bid" -- Mr. Faull crying out that "650000" is bid.

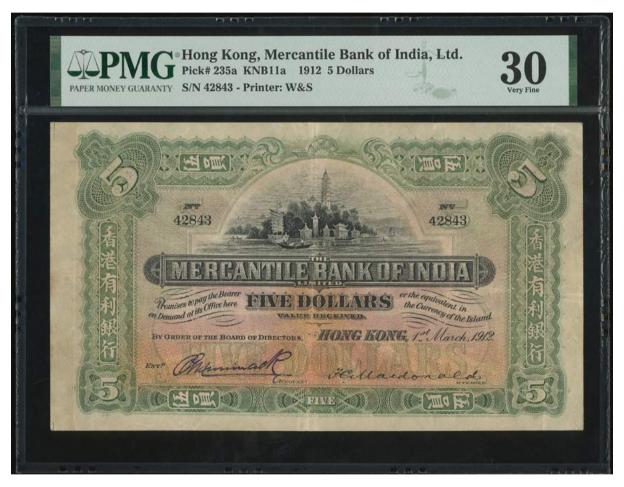
"680000" was then asked as the next bid. However, the bidding stopped at the 650,000 level. It was in fact hammered down to telephone bidder #98, and the note has not been seen since!

The \$5 being offered today was also acquired in exchange from the HSBC, this in 1988 when William Barrett acquired essentially all the bank's duplicates in return for their being able to choose from his collection of banks (notes of Hong Kong & Shanghai Banking Corporation, the Mercantile Bank of India, and Mercantile Bank Limited, which had been acquired by HSBC in 1959, the British Bank of the Middle East, founded in 1889).

It may be noted that the exchange was vetted by the highest level of the bank, the Chairman Michael Sandberg (who takes credit for the present Hong Kong Bank head office building) actually vetted it, and in fact refused to let some of the bank's notes go. One such grouping being a set of nine Deutsche-Asiatische Bank specimens on different branches, which he stated he was going to offer in exchange to the then head of Deutsche Bank.



THE FINEST KNOWN EXAMPLE OF THIS FIRST DATE OF ISSUE 首發年份美品

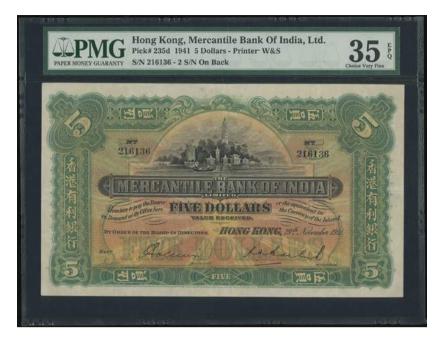


1113

The Mercantile Bank of India, \$5, 1.3.1912, serial number 42843, green, black and orange, pagoda and sampans at upper centre, handsigned at lower left, reverse purple-red, Mercury at centre, (Pick 235a), PMG 30 Very Fine (Minor Rust). An excellent first year of issue, only 5 notes in the PMG population report and this is the only note in the highest grade! 1912年有利銀行5元·編號42843·PMG 30·原裝紙·輕微銹漬·首發年份·PMG記錄中僅得5枚·本鈔評分最高

Estimate HK\$300,000-450,000





The Mercantile Bank of India, \$5, 29.11.1941, serial number 216136, green on yellow and orange underprint, sampan, houses and bridge near lakeside at centre, reverse purple and black, Mercury with winged helmet at centre, (Pick 235d), PMG 35EPQ Choice Very Fine and a lovely fresh note

1941年有利銀行5元,編號216136,PMG 35EPQ,美品

Estimate HK\$55,000-70,000



1115

The Mercantile Bank of India, \$5, 29.11.1941, serial number 200615, green on yellow and orange underprint, sampan, houses and bridge near lakeside at centre, reverse purple and black, Mercury with winged helmet at centre, (Pick 235d), PMG 50 About Uncirculated. A fresh and original note is high grade! The third highest grade in the PMG population report for this year with only two notes graded higher in 55 and 58!

1941年有利銀行5元·編號200615·PMG 50·高分原裝美品! 本年紀錄中第三高分·最高分為58·其後55分

Estimate HK\$75,000-100,000



A POSSIBLY UNIQUE COLOUR TRIAL SIGNED BY MACDONALD 麥當勞署名試色樣票孤品



1116

The Mercantile Bank of India, \$10, colour trial, no printed dated but liley to be 1912 as the printed siganture is Macdonald, green and black, sampan, houses and bridge near lakeside at centre, reverse purple-brown and black, Mercury with winged helmet at centre, (Pick 236cts), PMG 62 (Toned). An extremely rare early colour trial. Although a very few have come out to the market in recent years the catalogue is quite certain that this is the only one example bearing the Macdonald signature (and hence should be dated 1912). Most of the other notes are either dated 1930 or bear the signature from that date. Possibly unique!

有利銀行試色樣鈔10元·無日期·應為1912年版別·PMG 62·有黃·極罕見的早期試色樣票·近年市場出現過數枚類似版別·但Macdonald簽名卻是絕無僅有的·因此可斷言是1912年版別·其他試色樣票都是1930年後或配以1930年後的簽名·珍罕無比

Estimate HK\$140,000-180,000



THE HIGHEST GRADED COLOUR TRIAL \$10 最高評分10元試色樣票





1117

Mercantile Bank of India, \$10, colour trial, 1.1.1930, green and light green, vignette of a stone bridge and junk boats at centre, 3 punch hole cancellations, reverse purple, Mercury on reverse, (Pick 236cts), PMG 66EPQ Gem Uncirculated. The single highest graded note in the PMG population report. Extremely rare and lovely

1930年有利銀行10元試色樣鈔·PMG 66EPQ·此版別於PMG記錄中最高評分的一枚·難得一遇的美品

Estimate HK\$120,000-180,000



THE HIGHET GRADE OF THIS ELUSIVE \$10 最高分10元罕品



1118

Mercantile Bank of India, \$10, 1.12.1937, serial number 82966, red-brown on yellow and green underprint, sampan, houses and bridge near lakeside at centre, handsigned at lower left, reverse green and black, Mercury with winged helmet at centre, (Pick 236d), PMG 40 Extremely Fine (Stained). The single highest graded note for this scarce date in the PMG population report. A very rare, choice and original note.

1937年有利銀行10元·編號82966·PMG 40·有漬·罕見年份·PMG紀錄中最高分的一枚·珍罕至極之原裝美品

Estimate HK\$180,000-240,000



A POSSIBLY UNIQUE 1880 CHARTERED MERCANTILE \$25 獨一無二1880年有利銀行25元



1119

The Chartered Mercantile Bank of India, London and China, \$25 Hong Kong 1st Sept 1880, proof in black on India paper by Perkins Bacon & Co. The bank name in its original lengthy form in the border to left and right. Inscribed "From" in pencil below text by the printer, and an 'x' above '1st' in the date. 印度倫頓中國三處匯理銀行

The bottom clipped for security by the printer so that this unique record proof could not be mis-appropriated, signed and passed off as a genuine issued note.

A uniface proof of the greatest rarity, believed to be one of only two examples printed. (Pick 89p), PMG 61 Uncirculated (Printer's Annotations, Previously Mounted, Signature Panel Removed). One of two printed, and a must for serious Hong Kong banknote collector.

1880年印度倫頓中國三處匯理銀行25元黑白樣票·香港分行·銀行名稱以其原始長度出現在左右邊框中·印鈔廠用鉛筆在文字下方寫上 "From"·在日期中 "1st"上方寫上 "x"。

印鈔廠因安全考量剪去鈔票底部·避免誤用。此單面樣票存世僅2枚·PMG 61·有印刷廠標記及曾黏合·可遇不可求之香港紙鈔珍品

Estimate HK\$250,000-350,000





The lower portion of the note was officially cut cancelled per the Bank's standing instructions to the printers Perkins Bacon and Company prior to the note being mounted on their master record book and hence the four glue traces on the reverse.

印鈔廠在總記錄冊貼上本鈔前,按銀行規例,把下方部分裁剪注銷,背後四個位置亦因曾黏貼而有膠水痕跡



The removal of the signature panel of any proof note printed for the Chartered Mercantile by Perkins Bacon & Co. was mandated by the bank. The only exception would be for the first pull of a proof on the date of completion of the original plate, a full proof could be retained by the printer in its record book.

This convention applied not only in Hong Kong but also at the bank's branches in Singapore, Malacca, Penang, and Shanghai, as can be seen by the sample notes from those branches shown here. A complete Singapore note on the Chartered Mercantile Bank on Singapore without the bottom signature area cancelled was auctioned some years ago in Singapore and was contained in the wonderful collection of Dr. Chan Chin Cheung.

Most interestingly, when the bank cancelled issued notes in the Straits Settlements they sometimes kept part of a note as a record. Shown here is a \$25 issued note from Singapore, of which only one section of the note has been retained.

While The Standard Catalogue of World Paper Money ("Pick") astonishingly[?] lists the \$5 of this type with six dates, each in three grades, to our knowledge only one Chartered Mercantile Bank issued note of any denomination has been offered at auction in the past 25 years. And presently only four issued notes are known -- all \$5 -- each in well used condition.

*Pick, Tan, and Ma together knew of only one issued note to illustrate, and took all their Chartered Mercantile illustrations from the Hong Kong & Shanghai Bank collection.

Tan and Ma quite correctly list any Chartered Mercantile Bank note in any form as being simply 'Extremely rare'.

*From the archives of Perkins, Bacon & Co. (liquidated 1935). This note was their record note and put in a master album.

*From the Spink/Christie Hong Kong sale of 29 November 1994.

Historical records published here show circulation figures for the Chartered Mercantile Bank indicating that the Mercantile Bank of India, London & China commenced circulating notes in Hong Kong on 1st August 1857. A few months later the Bank received its charter and became the Chartered Mercantile Bank of India, London & China, which in October 1858 showed a circulation of \$202,246. The Bank survived the Crash of 1866, though with some difficulty, and continued in business until 1893 when the crash caused by the failure of the New Oriental Bank Corporation considerably weakened it. The Mercantile had to reorganize and recapitalize, in the process losing its royal charter, and reappearing as the Mercantile Bank of India.

The last date for which an issue circulation figure appears for the Bank is January 1893 when it had dropped to \$94,630 from over \$1.5 million only a year earlier.

The Chartered Mercantile's notes were all paid, and as a result survivors are exceptionally rare, today less than five issued examples are known in total.

根據Pick紙鈔圖錄·此版別的5元共有6個發行日期·各有三個品相。但從市場記錄中·於過去25年間·僅得一枚有利銀行流通票曾於拍賣會出售·現已知有4枚流通票存世·均曾使用過

該銀行最後的發鈔日期為1893年1月·當時該銀行的發行金額從一年前的150萬元以上·驟降至94,630元。

有利銀行的鈔票均已兌換·因此存世異常稀少·直至今天· 已知的發行票紀錄不到五枚。





Mercantile Bank of India, \$50, 1.7.1935, serial number 51686, brown, black and multiclour, portrait of a classical bust at left, handsigned at lower left, (Pick 240a), *PMG 15 Choice Fine (Minor Repairs, Ink) and a popular type note* 1935年有利銀行50元 · 編號51686 · PMG 15 · 輕微修補及有墨 · 大熱版別

Estimate HK\$45,000-60,000



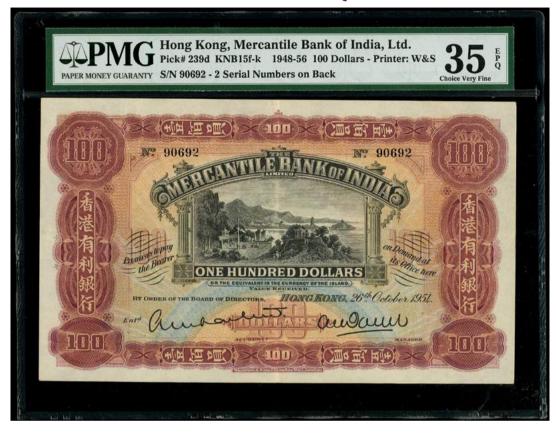
1121

The Mercantile Bank of India, \$100, 10.3.1953, serial number 85387, red on orange and light blue underprint, houses below mountains at centre, reverse red and black, Mercury with winged helmet at centre, (Pick 239d), PMG 30 Very Fine (Minor Repairs to upper centre). With a minor repair but otherwise looks to be a beautiful note with sharp colours 1952年有利銀行100元 · 編號85387 · PMG 30 · 中上位置有輕微修補 · 顏色深潤 · 美品

Estimate HK\$35,000-40,000



A LOVELY LARGE SIZED \$100 WITH AN EPQ STATUS 有利銀行大100元連EPQ級別



1122

The Mercantile Bank Limited, \$100, 26.10.1954, serial number 90692, red on orange and light blue underprint, houses below mountains at centre, reverse red and black, Mercury with winged helmet at centre, (Pick 239d), PMG 35EPQ Choice Very Fine. This note is very seldomly found with an EPQ status. Fresh colours and attractive 1954年有利銀行100元·編號90692·PMG 35EPQ·罕見EPQ級別·顏色鮮明·極為吸引

Estimate HK\$40,000-60,000



1123

Mercantile Bank Limited, \$100, 5.10.1965, serial number A250753, (Pick 244b), PMG 58 Choice About Uncirculated

1965年有利銀行100元 · 編號A250753 · PMG 58 Estimate HK\$5,000-7,500



1124

The Mercantile Bank Limited, \$100, 1.11.1973, serial numebr A920999, (Pick 244e), *PMG 64 Choice Uncirculated and scarce in this condition* 1973年有利銀行100元·編號A920999·PMG 64·罕見好品相

Estimate HK\$6,500-9,000





Mercantile Bank, \$100, 1st November 1973, serial number A843099, (Pick 244e), PMG 55, about uncirculated.

1973年有利銀行100元 · 編號A843099 · PMG 55 Estimate HK\$5,000-10,000



1126

Mercantile Bank Limited, a pair of \$100, 4.11.1974, serial numbers B318481-482, (Pick 245), both graded PMG 67EPQ Superb Gem Uncirculated (2)

1974年有利銀行100元連號一對·B318481-482·均 PMG 67EPQ

Estimate HK\$5,000-8,000



1127

Mercantile Bank Limited, a pair of \$100, 4.11.1974, serial numbers B318483-484, (Pick 245), graded PMG 64EPQ Choice Uncirculated and 67EPQ Superb Gem Uncirculated (2)

1974年有利銀行100元連號一對·B318483-484·分別評為64EPQ及67EPQ

Estimate HK\$4,000-7,000



1128

Mercantile Bank Limited, a pair of \$100, 4.11.1974, serial numbers B318485-486, (Pick 245), both graded PMG 67EPQ Superb Gem Uncirculated (2)

1974年有利銀行100元連號一對·B318485-486·均 PMG 67EPQ

Estimate HK\$5,000-8,000





Mercantile Bank Limited, a pair of \$100, 4.11.1974, serial numbers B318487-488, (Pick 245), both graded PMG 67EPQ Superb Gem Uncirculated (2)

1974年有利銀行100元連號一對·B318487-488·均 PMG 67EPQ

Estimate HK\$5,000-8,000



1130

Mercantile Bank Limited, a pair of \$100, 4.11.1974, serial numbers B318489-490, (Pick 245), both graded PMG 67EPQ Superb Gem Uncirculated (2)

1974年有利銀行100元連號一對·B318489-490·均 PMG 67EPO

Estimate HK\$5,000-8,000



1131

The Mercantile Bank, \$100, 4.11.1974, repeater serial number B359359, (Pick 245), PMG 66EPQ Gem Uncirculated. Difficult to find fancy serial numbers for this type

1974年有利銀行100元·重複號B359359·PMG66EPQ·難得可遇之趣味號

Estimate HK\$3,000-4,500



1132

Mercantile Bank Limited, 2x \$100, 4.11.1974, consecutive serial numbers B249429-430, (Pick 245), *uncirculated* (2)

1974年有利銀行100元連號2枚·編號B249429-430·UNC

Estimate HK\$1,500-2,500



1133

Mercantile Bank Limited, 2x \$100, 4.11.1974, serial number B391091-092, (Pick 245), uncirculated (2)

1974年有利銀行100元連號2枚·編號B391091-092·UNC

Estimate HK\$1,500-2,500





1133A

The Mercantile Bank of India Limited, \$500, specimen, 26.5.1959, serial number 0000, blue on multicolour, Mercury at right, '500' at each corner, watermark of '500 DOLLARS' at left, printed black manuscript signatures at lower centre and right, reverse grey-blue, the 'Wan Gu Chang Chun' pai fan in Confucius' Cemetery at left, 'SPECIMEN' overprinted in red both sides, (Pick 243s), PMG 66EPQ Gem Uncirculated, the ONLY note in this HIGHEST GRADE in the PMG population report. Only 1000 notes of this date was issued and thus extremely rare and a 'must have' note.

1959年有利銀行500元樣票,PMG66EPQ,PMG評級記錄中獲得此高分數的唯一一枚。流通票發行量僅有1000枚的極度珍稀系列。

Estimate HK\$360,000-500,000



A POSSIBLY UNIQUE 'DICKSON' \$5 SPECIMEN 唯一" Dickson" 署名5元樣票



1134

The Chartered Bank of India, Australia and China, \$5, specimen, 8.9.1910, green, red and pink, coat of arms at upper centre, '\$5' in red at upper left and right, value 'FIVE' in red underpint at centre, printed black manuscript signature of Dickson at lower right, reverse green, value and bank title at centre, '5' at four corners, handstamp cancelled at obverse, perforated cancellation, (Pick 34s), PMG 50 About Uncirculated (Previously Mounted) Only three notes graded according to the PMG population report. An exceedingly rare offering given that the the design was changed in 1911 so the Dickson signature was only used for one year. A great rarity and an often overlooked fact

1910年印度新金山中國渣打銀行5元樣票 · PMG 50 · 有貼痕 · 僅得三枚紀錄 · 本面額於1911年改變設計 · 故本版別配以 Dickson簽名只發行一年 · 容易錯過的小細節 · 值得藏珍視

Estimate HK\$250,000-350,000





1135

The Chartered Bank of India, Australia and China, \$5, 1.5.1922, serial number M/A 432633, blue, black and orange, supported arms at centre, rice pounding scene at left and sampans at right, reverse red and black, Bank building at centre, (Pick 41), PMG 15NET Choice Fine (Restoration)/ A scarce and popular type note

1922年印度新金山中國渣打銀行5元·編號M/A 432633·PMG 15NET·有修補·熱門版別·罕品

Estimate HK\$32,000-40,000



A FABULOUSLY RARE 1923 \$5 SPECIMEN 1923年5元樣票,大熱罕品



1136

The Chartered Bank of India, Australia and China, \$5, specimen, 1.9.1923, without serial numbers, blue, black and orange, supported arms upper centre, rice pounding scene at left, sampans at right, 'FIVE' in red letters lower centre, 2 rows of perforations and 'SPECIMEN' in red, (Pick 47s), PMG 63 Choice Uncirculated. The only specimen note graded under this Pick number. Extremely rare if not unique!

1923年印度新金山中國渣打銀行5元樣票·無編號·PMG 63·此版別唯一一枚有評級之樣票·極罕

Estimate HK\$160,000-200,000





The Chartered Bank of India, Australia and China, \$5, 1.5.1924, serial number M/A 600126, blue, black and multicolour, supported arms at centre, rice pounding scene at left, sampans at right, handsigned at lower left, Bank building on reverse, (Pick 48), *PMG 25NET Very Fine (Restoration, Rust)*. A popular type 1924年印度新金山中國渣打銀行5元 · 編號M/A 600126 · PMG 25NET · 有修補 · 有銹 · 熱門版別

Estimate HK\$30,000-50,000





LOT 1138-1142 - A SUPER GROUP OF 'SMALL FIVE' \$5'S. ALL DATED 18.8.1930 1930年「小5」 票美品一組

Spink China proudly presents

An excellent group of 'Small Five' \$5's dated 18th August 1930.

斯賓克中國隆重呈獻

一組經典的1930年「小五」5元

This series is colloquially known as the 'Roman Soldiers' for obvious reasons and is the first time the Bank used human portraits on the obverse. The reverses show different local Chinese scenes. The end result is a harmonious blend of Western and Eastern design elements and this is one of the reasons why this series is much loved by local collectors.

The last date of the previous series of \$5 notes was 1st September 1927 and it took three years for the Chartered Bank to issue a new series. The new series is smaller in size and, more importantly, including a designated area for the watermark which is extremely helpful in deterring counterfeit notes.

Every note in this group is rare, either because of their nature (colour trial, specimen, expedient specimen) or their condition. As a group, their attraction is amplified as each note is an individual piece of history and through this we can begin to observe the evolution of the note and this is the reason for the order below. The lucky vendor of this set was able to appreciate this and now it is time to pass this joy to other collectors.

基於鈔票上的圖案·本系列一般俗稱為「羅馬兵」·這是銀行第一次在鈔票正面用上人像的圖案·背面則顯示不同的中式場景·完美融匯東西方設計元素·這也是該系列受到本地藏家青睞的原因之一。

上一個5元紙鈔系列的最後發行日期是1927年9月1日·渣打銀行用了三年時間發行新設計。新版別5元的尺寸較小·但更重要的改動是新版加入水印區域·這對於打擊偽鈔非常有用。

本版別的每一枚·不論性質(試色樣票、樣票、臨時樣票)或 其品相優劣·皆為罕品。整體而言·由於每一枚都有一段獨立的歷史故事·因此更具吸引力。透過以下排序·我們可以 順序留意紙鈔的演變·誠邀各位細心欣賞。



\$5 sold by Spink China August 2019 for HKD\$720,000 including Buyer's premium

2019年斯賓克八月拍賣會以港幣\$720,000(連佣金)售出此5元之印鈔廠模型





The Chartered Bank of India, Australia and China, \$5, 18.8.1930, without serial numbers, blue, black and red, supported arms at upper centre, male Roman soldier at left, small size red '5' at lower centre, watermark area at right, printed manuscript of Fergusson at lower right, reverse in similar colours, junk boat and sampan right of centre, 4 punch holes with 'SPECIMEN WATERLOW & SONS LTD' printed in red. Colour trial specimens from this era are generally found in travelling salesmen books which were given to the printer's employees to show their work to prospective clients. These were often money issuing authorities. The notes were printed in different colours to the issued notes and have no watermark to prevent confusion with official true colour specimens which were given to banks to differentiate between genuine and counterfeit notes. For this reason colour trial specimens are often much rarer than true colour specimens with few exceptions. For this type, both types are more or less equally rare and highly desirable, (Pick 53cts), PMG 63 Choice Uncirculated (Previously Mounted) and very rare. This is the first time that a fully printed note on both sides is encountered by the cataloguer

1930年印度新金山中國渣打銀行5元·無編號·當時的試色樣票多數可於印刷廠員工的隨行書冊中找到·方便他們向潛在客戶(大多為發鈔機構)展示設計

這些試色鈔以不同顏色印製·而且沒有水印·令人難以區別出正式的官方試色樣票·正式試色樣票是銀行辨別偽鈔的重要憑據·大多數版別的試色鈔都比正式試色樣票來得稀有·PMG 63·曾黏合·極罕·編者首遇之雙面印刷試色樣鈔

Estimate HK\$70,000-100,000





The Chartered Bank of India, Australia and China, \$5, true colour specimen, 18.8.1930, serial number 000000, similar to the above but predominantly in green, with serial numbers 000000 in red at upper left and right and the watermark of a classical male head at right. The nature of this note is explained above and extremely rare. (Pick 53s), PMG 53 About Uncirculated. One of two notes in the PMG population report and fabulously rare.

1930年印度新金山中國渣打銀行5元試色樣票·編號000000·與上一枚相似·PMG 53·紀錄中最高分兩枚之一·大熱罕品

Estimate HK\$50,000-80,000



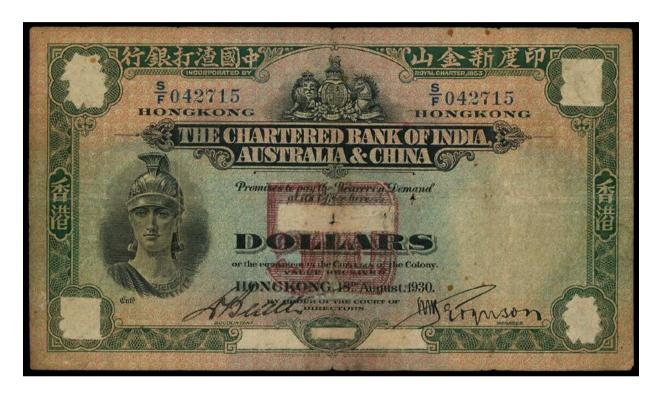


The Chartered Bank of India, Australia and China, \$5, expedient specimen on issued note, 18.8.1930, serial number S/F 056202, similar to the above, handsigned at lower left and handwritten 'SPECIMEN' on obverse and reverse in red ink. Original, fresh crisp paper. The cataloguer has seen other examples of expedient specimens over the last couple of years whereby issued notes have been converted to specimens and compared to other types, raised values in the Roman series is especially rare. It only be surmised this was done when a specimen was needed and no formal copies were available. (Pick 53), original crisp good very fine with 4 small pinhole clusters at upper left. The highest grades in the PMG population report are 35 and 40. This note would be amongst the highest grades had it not been converted into an expedient specimen. A true gem.

1930年印度新金山中國渣打銀行5元流通票改臨時樣票·編號S/F 056202·與上一項拍品類似·在過去幾年間·編者亦遇過幾枚同為流通票改樣票的例子·「羅馬兵」改值更絕無僅有·這些臨時樣票相信是當時未能趕製正式樣票而權充而成的·GVF·左上有4個細孔·此版別於PMG紀錄最高分為35-40·如此鈔未轉作臨時樣票·相信亦會位列最高評級之一·珍品

Estimate HK\$30,000-50,000





The Chartered Bank of India, Australia and China, \$5, a 'raised denomination' note, 18.8.1930, serial number S/ F 042715, similar to the above, an attempt to fraudulently raise the value of the note from \$5 to \$50, in the English, Chinese and Arabic numbers. The attempt at raising the value is only partially complete with the English and Chinese text missing. (Pick 53), *fine, splits within borders*

1930年印度新金山中國渣打銀行5元改50元改值票·編號S/F 042715·與上一項拍品類似·改值未完成·英文及中文文字有缺·F品相·紙邊有裂

Estimate HK\$4,000-6,000



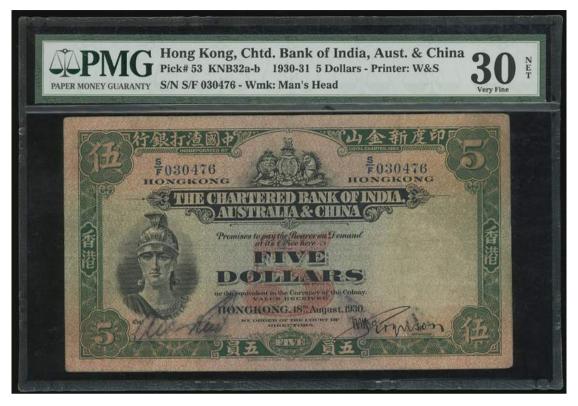


The Chartered Bank of India, Australia and China, \$5, 'raised denomination' note to \$50: similar to the above, serial number S/F 033123, an attempt to fraudulently raise the value of the note from \$5 to \$50, in the English, Chinese and Arabic numbers. The attempt at raising the value is complete and remarkably detailed. A lovely looking note. (Pick 53 for type), PMG 30 Very Fine (Raised) and most unsual

1930年印度新金山中國渣打銀行5元改50元改值票·編號S/F 033123·改值完成·細節有明顯改善·PMG 30·難得的佳品

Estimate HK\$15,000-25,000





1143

The Chartered Bank of India, Australia and China, \$5, 18.8.1930, serial number S/F 030476, 'Small 5', handsigned at lower left, (Pick 53), *PMG 30NET Very Fine (Restoration). The first date of issue for this type and most popular* 1930年印度新金山中國渣打銀行5元 ·編號S/F 030476 · PMG 30NET · 有修補 · 首發年份 · 罕品

Estimate HK\$25,000-30,000



1144

The Chartered Bank of India, Australia and China, \$5, 15.8.1936, serial number S/F 336395, green, black and red, male Roman soldier at left, watermark area at right, reverse similar colours, junk boat and sampan, (Pick 54a), PMG 25 Very Fine and looks to be an original note with a nice and clear signature

1936年印度新金山中國渣打銀行5元·編號S/F 336395·PMG 25·簽名清晰·應為原裝票

Estimate HK\$6,000-9,000



1145

The Chartered Bank of India, Australia and China, \$5, 20.9.1940, serial number S/F 525282, handsigned at lower left, (Pick 54b), PMG 25NET Very Fine (Repaired, Stamp Ink)

1940年印度新金山中國渣打銀行5元·編號S/F 525282·PMG 25NET·有修補及墨漬

Estimate HK\$2,400-3,500





The Chartered Bank of India, Australia and China, \$5, 26.2.1948, serial number S/F 1853515, (Pick 54b), *PMG 66EPQ Gem Uncirculated* 1948年印度新金山中國渣打銀行5元·編號S/F 1853515·PMG 66EPQ

Estimate HK\$10,000-15,000



1147
The Chartered Bank of India, Australia and China, \$5, 26.2.1948, serial number S/F 1713152, (Pick 54b), PCGS Currency 66PPQ and scarce 1948年印度新金山中國渣打銀行5元·編號S/F

1713152, PCGS Currency 66PPQ, 稀品

Estimate HK\$12,000-18,000



1148

The Chartered Bank of India, Australia and China, \$5, 26.2.1948, serial number S/F 1713149, Roman solider at left, (Pick 54b), PMG 65EPQ Gem Uncirculated

1948年印度新金山中國渣打銀行5元·編號S/F 1713149·PMG 65EPQ

Estimate HK\$12,500-15,000



1149

The Chartered Bank, \$5, specimen, 3.3.1962, serial number S/F 0000000, (Pick 68bs), PMG 66EPQ Gem Uncirculated

1962年渣打銀行5元樣票·編號S/F 0000000·PMG 66EPO

Estimate HK\$7,000-10,000



1150

The Chartered Bank, a consecutive run of 100x \$5, ND (1970-1975), serial number G435601-700, (Pick 73b), *uncirculated* (100)

1970-75年渣打銀行5元連號100枚·無日期·編號G435602-700,内有亂蛇·UNC

Estimate HK\$8,000-12,000



1151

The Chartered Bank, \$5, no date (1975), solid serial number R222222, brown and multicolour, modern building at left, (Pick 73b), PMG 67EPQ Superb Gem Uncirculated and rare

1975年渣打銀行5元·無日期·幸運號R222222·PMG67EPQ·罕見

Estimate HK\$11,000-15,000



LOT 1152-1153 - A SUPERB CONSECUTIVE PAIR OF LUCKY SERIAL NUMBERS 幸運連號美品



1152

The Chartered Bank, \$5, ND(1975), solid serial number L999999, brown on multicolour underprint, bank building at left, (Pick 73b), a brown ink spot at low right as made, PMG 65EPQ, very rare.

1975年渣打銀行5元·無日期·幸運號L999999·右下有原廠啡色墨點·PMG 65EPQ·十分罕見

Estimate HK\$35,000-50,000



1153

The Chartered Bank, \$5, ND(1975), solid serial number L1000000, brown on multicolour underprint, bank building at left, (Pick 73b), PMG 65EPQ, very rare.

1975年渣打銀行5元·無日期·幸運號L1000000·PMG 65EPQ·十分罕見

Estimate HK\$40,000-60,000



1154 No Lot



1155

The Chartered Bank of India, Australia and China, \$10, 1.7.1919, serial number N/B 229394, violet and black, bank coat of arms at centre, sailing sampans at both left and right, reverse violet, bank building at centre, (Pick 42), PMG 30 Very Fine (Minor Repairs) and far scarcer than the later dates

1919年印度新金山中國渣打銀行10元·編號N/B 229394·PMG 30·有輕微修補·極罕見年份

Estimate HK\$60,000-80,000



1156

The Chartered Bank of India, Australia and China, \$10, 1.8.1929, serial number N/B 865531, violet and black, bank coat of arms at centre, sailing sampans at both left and right, 'TEN' in red letters lower centre, reverse violet, bank building at centre, (Pick 50), PMG 25 Very Fine (Rust). A nice original note!

1929年印度新金山中國渣打銀行10元·編號N/B 865531·PMG 25·有鏽漬·原裝美品

Estimate HK\$20,000-30,000



A UNIQUE PAIR OF ARCHIVAL PHOTOS OF AN UNADOPTED DESIGN 未採納設計之檔案照片,稀品



1157

The Chartered bank of India, Australia and China, \$10, uniface obverse and reverse archival photograph of an unadopted design, handwritten date '25.7.29', profile of Mercury at upper left, allegorical maiden at right, manuscript signature of Ferguson, the reverse shows Mercury at upper right, Bank building at centre. PMG 63NET Choice Uncirculated (Card Stock Corner Damage). 1929 was the last date in which the large sized \$10's were issued. The Bank was looking to change to banknote design and size and commissioned different printers' to do this. This design was produced by Bradbury and Wilkinson which is very important as Waterlow and Sons had been printing for the Bank from 1912 and continued to do so until at least 1956. This is the only evidence to date of Bradbury doing any work for the Chartered Bank before 1959. Markedly different to Roman Soldier series which was issued in 1931. Unique and important

1929年印度新金山中國渣打銀行10元正反面檔案照片·未經採納之設計·手寫日期25.7.29·PMG 63NET·角有損·1929年為大面積10元鈔票最後發行年份·銀行想改變鈔票的設計及尺寸·並為此委託不同的印刷商·本設計由Bradbury和Wilkinson製作·這非常重要·因為華德路從1912年開始便為銀行印刷鈔票·直至1956年·這是1959年前Bradbury唯一為渣打銀行提供服務之證明·本設計與1931年發行的「羅馬兵」系列大相逕庭·珍罕至極·意義非凡

Estimate HK\$20,000-30,000



1158

The Chartered Bank of India, Australia and China, \$10, 1.3.1935, serial number T/G 456455, red, black and green, male Roman soldier at left, bank coat of arms at right of centre, strong and clear hand signed signature at lower left, reverse red and green, rice planting scene with a pagoda in the background, (Pick 55b), PMG 35 Choice Very Fine (Stamp Ink). A superb original note with deep rich red colours and a neat signature. Small area of defect at lower centre which does not detract from the beauty of this note.

1935年印度新金山中國渣打銀行10元·編號T/G 456455·PMG 35·有墨·品相上佳之原裝票·顏色深潤·下方有微損·卻瑕不掩瑜

Estimate HK\$10,000-15,000





The Chartered Bank of India, Australia and China, \$10, 20.9.1940, serial number T/G 617798, handsigned at lower left, (Pick 55b), PMG 25 Very Fine

1940年印度新金山中國渣打銀行10元·編號T/G 617798·PMG 25

Estimate HK\$3,000-4,500



1160

Chartered Bank of India, Australia & China, \$10, 12th February 1948, serial number T/G3192567, red and black on light green underprint, warrior at left, (Pick 55c), PMG 35, choice very fine. 1948年印度新金山中國渣打銀行10元·編號T/G3192567·PMG 35

Estimate HK\$2,000-4,000



116

The Chartered Bank of India, Australia and China, \$10, 1.9.1956, serial number T/G 3933983, Roman solider at left, (Pick 55c), PMG 65EPQ Gem Uncirculated

1956年印度新金山中國渣打銀行10元·編號T/G 3933983·PMG 65EPQ

Estimate HK\$12,500-15,000



1162

Chartered Bank of India, Australia & China, \$10, 1st September 1956, serial number T/G4031604, red and black on light green underprint, warrior at left, (Pick 55c), PMG 55EPQ, about uncirculated.

1956年印度新金山中國渣打銀行10元·編號T/G4031604·PMG 55EPO

Estimate HK\$5,000-8,000



1163

The Chartered Bank, \$10, 6.12.1956, serial number T/G 4125612, red and black, Roman solider at left, watermark at right, (Pick 63), PMG 65EPQ Gem Uncirculated. A scarce and popular type. Choice 1956年查打銀行10元·編號T/G 4125612·PMG 65EPQ·罕見熱門版別

Estimate HK\$15,000-20,000



1164

The Chartered Bank, \$10, 1.7.1961, serial number T/G 7370659, 'Red Key', (Pick 70a), PMG 58 Choice About Uncirculated

1961年渣打銀行「紅鎖匙」10元·編號T/G 7370659 · PMG 58

Estimate HK\$1,200-1,800



AN EXTREMELY RARE SET OF 'RED KEY' \$10 FANCY SERIAL NUMBERS 「紅鎖匙」10元趣味號一組,極稀



1165

The Chartered Bank, \$10, no date (1962-70), set of fancy serial numbers, V/G 0000111, 0000222 etc to 0000999, (Pick 70), all graded PMG 64 Choice Uncirculated and a unique fancy serial number set. Very rare to find as a complete set for such an early issue (9)

1962-70年渣打銀行10元一組9枚·均為趣味號·V/G 0000111, 0000222 至0000999·無日期·均PMG 64·罕見的一組早期趣味號鈔票

Estimate HK\$48,000-65,000



1166

Standard Chartered Bank, \$10, 1.1.1988, ascending serial number CN123456, (Pick 278b), PMG 68EPQ Superb Gem Uncirculated and a lovely high grade note

1988年渣打銀行10元 · 順蛇號CN123456 · PMG 68EPQ · 高分美品

Estimate HK\$4,500-6,000



1167

Standard Chartered Bank, pair of \$10, 1.1.1994 and \$100, 1.1.1989, matching alphanumerical solid serial number BS111111, (Pick 284b and 281b), PMG 67EPQ and 66EPQ respectively. A lovely pair (2) 渣打銀行1994年10元及1989年100元一對·相同字軌及編號BS111111·分別評 PMG 67EPQ 及 66EPQ·美品

Estimate HK\$9,000-12,000



LOT 1168-1188 - A FABULOUS GROUP OF FANCY SERIAL NUMBERS

趣味號美品一組



1168

Standard Chartered Bank, \$10, 1.1.1988, fancy serial number CY000111, 000222, 000333 and 000444, (Pick 278b), graded PMG 66EPQ, 63, 64 and 67EPQ (4)

1988年渣打銀行10元趣味號4枚·編號 CY000111, 000222, 000333 and 000444·分別評PMG 66EPQ, 63, 64 及 67EPQ

Estimate HK\$1,200-1,800



1169

Standard Chartered Bank, \$10, 1.1.1988, fancy serial number CL/CM 111555, (Pick 278b), both graded PMG 66EPQ (2)

1988年渣打銀行10元趣味號1對·不同字軌相同編號 CL/CM 111555·均PMG 66EPQ

Estimate HK\$600-900





1170

Standard Chartered Bank, \$10, 1.1.1988, fancy serial number CL/CM/CN/CP/CQ/CR/CS/CT/CU 111666, (Pick 278b), the first note graded PMG 66EPQ, the rest 67EPQ (9)

1988年渣打銀行10元趣味號9枚·不同字軌相同編號 CL/CM/CN/CP/CQ/CR/CS/CT/CU 111666·首枚評 PMG 66EPQ·其餘67EPQ

Estimate HK\$2,700-4,000







Standard Chartered Bank, \$10, 1.1.1988, fancy serial number CL/CM/CN/CP/CR/CS 666111, (Pick 278b), graded PMG 68EPQ, 67EPQ, 67EPQ, 67EPQ and 66EPQ respectively (6)

1988年渣打銀行10元趣味號6枚·不同字軌相同編號 CL/CM/CN/CP/CR/CS 666111·分別評PMG 68EPQ, 67EPQ, 67EPQ, 67EPQ, 67EPQ 及 66EPQ

Estimate HK\$1,800-2,400



1172

Standard Chartered Bank, \$10, 1.1.1988, fancy serial number CL/CM/CN/CP 111777, (Pick 278b), the first 3 notes graded PMG 67EPQ the last PMG 66EPQ (4)

1988年渣打銀行10元趣味號4枚·不同字軌相同編號 CL/CM/CN/CP 111777·首3枚PMG 67EPQ·其餘 66EPQ

Estimate HK\$1,200-1,800





1173

Standard Chartered Bank, \$10, 1.1.1988, fancy serial number CL/CM/CN/CQ/CU/DH 222333, (Pick 278b), graded PMG 68EPQ, 68EPQ, 68EPQ, 67EPQ, 68EPQ and 67EPQ respectively (6)

1988年渣打銀行10元趣味號6枚·不同字軌相同編號CL/CM/CN/CQ/CU/DH 222333·分別評PMG68EPQ, 68EPQ, 68EPQ 及 67EPQ

Estimate HK\$1,800-2,400





1174

Standard Chartered Bank, \$10, 1.1.1988, fancy serial number CM/CP/CQ/CT/CY 333222, (Pick 278b), graded PMG 67EPQ, 67EPQ, 66EPQ, 65EPQ and 65EPQ respectively (5)

1988年渣打銀行10元趣味號5枚·不同字軌相同編號 CM/CP/CQ/CT/CY 333222·分別評PMG 67EPQ, 67EPQ, 66EPQ, 65EPQ 及 65EPQ

Estimate HK\$1,500-2,000





Standard Chartered Bank, \$10, 1.1.1988, fancy serial number CL/CM/CN 222555, (Pick 278b), all graded PMG 67EPO (3)

1988年渣打銀行10元趣味號1對·不同字軌相同編號 CL/CM/CN 222555·均PMG 67EPQ

Estimate HK\$900-1,200



1176

Standard Chartered Bank, \$10, 1.1.1988, fancy serial number CL/CM/CN/CP/CQ/CR/CS/CT/CU 222666, also a HSBC \$10, 1.1.1986, serial number LE222666, (Pick 278b, 191a), two notes graded PMG 65EPQ the rest 66EPQ (10)

1988年渣打銀行10元趣味號9枚·及1986年匯豐銀行 10元1枚·不同字軌相同編號CL/CM/CN/CP/CQ/CR/ CS/CT/CU 222666·2枚評PMG 65EPQ·其餘66EPQ

Estimate HK\$3,000-4,500



1177

Standard Chartered Bank, \$10, 1.1.1988, fancy serial number CL/CM/CN/CP/CR/CS/CT/CU/CV 666222, (Pick 278b), graded PMG 68EPQ, 68EPQ, 67EPQ, 67EPQ, 67EPQ, 67EPQ and 68EPQ (9)

1988年渣打銀行10元趣味號9枚·不同字軌相同編號CL/CM/CN/CQ/CU/DH 222333·分別評PMG68EPQ, 68EPQ, 67EPQ, 67EPQ, 66EPQ, 67EPQ, 67EPQ, 67EPQ 及 68EPQ

Estimate HK\$2,700-4,000





Standard Chartered Bank, \$10, 1.1.1988, fancy serial number CL/CN/CP/CQ/CR/CS/CT/CU 333111, (Pick 278b), all graded PMG 66EPQ except for the second note with is 67EPQ (8)

1988年渣打銀行10元趣味號8枚·不同字軌相同編號 CL/CN/CP/CQ/CR/CS/CT/CU 333111·除第二枚 PMG 67EPQ外·其餘均66EPQ

Estimate HK\$2,400-3,500



1179

Standard Chartered Bank, \$10, 1.1.1988, fancy serial number CL/CN 333555, (Pick 278b), PMG 66EPQ and 67EPQ respectively (2)

1988年渣打銀行10元趣味號1對·不同字軌相同編號 CL/CN 333555·分別評PMG 66EPQ 及 67EPQ

Estimate HK\$600-900



1180

Standard Chartered Bank, \$10, 1.1.1988, fancy serial number CL/CP/CQ/CU 333666, (Pick 278b), the first note graded PMG 67EPQ the rest PMG 66EPQ (4)

1988年渣打銀行10元趣味號4枚·不同字軌相同編號 CL/CP/CQ/CU 333666·除第枚PMG 67EPQ外·其餘 均66EPO

Estimate HK\$1,200-1,800



1181

Standard Chartered Bank, \$10, 1.1.1988, fancy serial number CL/CP/CQ/CT/CU/CV/CZ/DF/DG/DH 666333, (Pick 278b), graded PMG 68EPQ, 67EPQ, 67EPQ, 67EPQ, 68EPQ, 68EPQ, 66EPQ, 67EPQ and 67EPQ (10)

1988年渣打銀行10元趣味號10枚·不同字軌相同編號CL/CP/CQ/CT/CU/CV/CZ/DF/DG/DH 666333·分別評PMG 68EPQ, 67EPQ, 67EPQ, 68EPQ, 68EPQ, 68EPQ, 66EPQ, 67EPQ 及 67EPQ

Estimate HK\$3,000-4,500





Standard Chartered Bank, \$10, 1.1.1988, fancy serial number CL/CS 777222, (Pick 278b), *PMG 66EPQ and 69EPQ respectively (2)*

1988年渣打銀行10元趣味號2枚·不同字軌相同編號CL/CS 777222·分別PMG 66EPO及69EPO

Estimate HK\$600-900



1183

Standard Chartered Bank, \$10, 1.1.1988, fancy serial number CL/CP/CQ/CR/CS/CU/DH/DJ 777333, (Pick 278b), the first 2 notes graded PMG 66EPQ, the rest 67EPQ (8)

1988年渣打銀行10元趣味號8枚·不同字軌相同編號 CL/CP/CQ/CR/CS/CU/DH/DJ 777333·除首二枚 PMG 66EPQ外·其餘均67EPQ

Estimate HK\$2,400-3,500



1184

Standard Chartered Bank, \$10, 1.1.1988, fancy serial number CL/CP/CQ/CR/CS/CT/DG/DH/DJ 777888, (Pick 278b), graded PMG 67EPQ, 66EPQ, 67EPQ, 68EPQ, 67EPQ, 66EPQ, 66EPQ and 66EPQ (9)

1988年渣打銀行10元趣味號9枚·不同字軌相同編號 CL/CP/CQ/CR/CS/CT/DG/DH/DJ 777888·分別 評PMG 67EPQ, 66EPQ, 67EPQ, 68EPQ, 67EPQ, 67EPQ, 66EPO, 66EPO 及 66EPO

Estimate HK\$2,700-4,000



1185

Standard Chartered Bank, \$10, 1.1.1988, fancy serial number CL/CT 888777, (Pick 278b), *both graded PMG 66EPQ (2)*

1988年渣打銀行10元趣味號2枚·不同字軌相同編號 CL/CT 888777·均PMG 66EPQ

Estimate HK\$600-900







Standard Chartered Bank, \$10, 1.1.1988, fancy serial number CL/CM/CQ/CR/CS/CT/CU 999222, (Pick 278b), graded PMG 65EPQ, 65EPQ, 66EPQ, 66EPQ, 66EPQ, 66EPQ, 66EPQ, and 67EPQ respectively (7) 1988年渣打銀行10元趣味號7枚·不同字軌相同編號CL/CM/CQ/CR/CS/CT/CU 999222·分別評PMG 65EPQ, 65EPQ, 66EPQ, 66EPQ, 65EPQ 及 67EPQ

Estimate HK\$2,100-3,000



1187

Standard Chartered Bank, \$10, 1.1.1988, fancy serial number CL/CM 999555, (Pick 278b), both graded PMG 65EPQ (2)

1988年渣打銀行10元趣味號1對·不同字軌相同編號 CL/CM 999555·均PMG 65EPQ

Estimate HK\$600-900



1188

Standard Chartered Bank, \$10, 1.1.1988, fancy serial number CL/CM 999777, (Pick 278b), both graded PMG 66EPQ (2)

1988年渣打銀行10元趣味號1對·不同字軌相同編號 CL/CM 999777·均PMG 66EPO

Estimate HK\$600-900



A MAGNIFICENT 1912 "GOURD" \$50 PATTERN 1912年「大葫蘆」50元試樣票,經典美品



1189

The Chartered Bank of India, Australia and China, \$50, pattern, no date but subtype of 1912, without serial numbers, printed signature of Dickson, brown, black and blue, vignette showing agricultural activities at centre, '50' at corners, reverse green and black, bank building at centre, hole punch cancellations, (Pick 43As), PMG 53 About Uncirculated. One of only two notes of this subtype graded by PMG and this one with the red 'SPECIMEN' overprint whilst a similar note that was sold by Spink China in January 2020 did not have the overprint. This note is therefore unique. All specimens of the 'Big Gourd' \$50 (so called because of the gourd like patterns at lower left and right) are rare and this is the second note the cataloguer has seen so far. A most attractive note with strong and vivid colours. Of the highest rarity

1912年印度新金山中國渣打銀行50元試印樣鈔,無編號,有紅色「SPECIMEN」字樣,PMG 53,此版別僅有2枚經PMG評級,另一枚曾於斯賓克2020年1月拍賣會亮相的則沒有紅色「SPECIMEN」字樣,足見本拍品十分珍罕,「大葫蘆」樣票十分稀有,此 鈔乃編者所遇的第二枚,顏色明亮,不容錯失

Estimate HK\$200,000-300,000





The Chartered Bank, \$50, no date (1970), serial number B0373078, (Pick 75a), PMG 65EPQ Gem Uncirculated 1970年渣打銀行50元·無日期·編號B0373078 · PMG 65EPQ

Estimate HK\$5,000-7,500

A HIGHLY DESIRABLE A2222222 IN CHOICE GRADE 幸運號A222222美品



1191

The Chartered Bank, \$50, 1st January 1979, Key Date, solid serial number A222222, blue on multicolour underprint, (Pick 78a), *PMG 67EPQ, scarce.*

1979年渣打銀行50元·幸運號A222222·PMG 67EPQ·重要年份·稀見

Estimate HK\$90,000-150,000





Standard Chartered Bank, \$50, 1.1.1987, serial number B988857, split prefix between 1985 and 1987, (Pick 280b), PMG 68EPQ Superb Gem Uncirculated

1987年渣打銀行50元·編號B988857·【騎版】PMG68EPQ·罕見

Estimate HK\$1,500-2,000



1193

Standard Chatered Bank, \$50, 1.7.1997, A663301-400, including serial numbers 663333 and 663366 etc, (Pick 286b), *uncirculated* (100)

1997年渣打銀行50元連號100枚·編號A663301-400·包括663333 及 663366等趣味號·UNC

Estimate HK\$6,000-8,000



1194

Standard Chartered Bank, pair of \$50's, 1.1.1996, solid serial numbers M333333 and M444444, purple and multicolour, lion playing with ball at right, (Pick 286b), *PMG 66EPQ and 65EPQ respectively, Rare (2)* 1996年渣打銀行50元一對·幸運號M333333 及 M4444444 · 分別評PMG 66EPQ 及 65EPQ

Estimate HK\$30,000-40,000





The Chartered Bank of India, Australia and China, \$100, 1.5.1934, serial number Y/M 000650, green and multicolour, Britannia at centre, handsigned at lower left, (Pick 57a), PMG 30 Very Fine (Ink). A lovely original looking note with rich colours and handsigned signature. Most attractive

1934年印度新金山中國渣打銀行100元·編號Y/M 000650·PMG 30·有墨漬·顏色深潤·極佳手簽原裝票

Estimate HK\$15,000-20,000



1196

The Chartered Bank of India, Australia and China, \$100, 1.5.1934, serial number Y/M 008824, dark green and brown on multicoloured underprint, Britannia seated holding a trident and shield with a lion at centre, handsigned at lower left, reverse green, Supreme Court Building at right, (Pick 57a), PMG 25NET Very Fine (Repaired). A highly popular first date of issue

1934年印度新金山中國渣打銀行100元·編號Y/M 008824·PMG 25·有修補·首發年份熱門票

Estimate HK\$23,000-30,000





The Chartered Bank of India, Australia and China, \$100, 1.8.1954, serial number Y/M 693332, dark green and brown on multicoloured underprint, Britannia seated holding a trident and shield with a lion at centre, reverse green, Supreme Court Building at right, (Pick 57c), PMG 35 Choice Very Fine and a lovely original note

1954年印度新金山中國渣打銀行100元·編號Y/M 693332·PMG 35·原裝美品

Estimate HK\$20,000-30,000



1198

The Chartered Bank of India, Australia and China, \$100, 1.9.1954 serial number Y/M 690211, dark green and brown on multicoloured underprint, Britannia seated holding a trident and shield with a lion at centre, reverse green, Supreme Court Building at right, (Pick 57c), PMG 40 Extremely Fine (Minor Rust) and a lovely orignal note 1954年印度新金山中國渣打銀行100元 ·編號Y/M 690211 · PMG 40 · 輕微銹漬 · 原裝美品

Estimate HK\$40,000-60,000





The Chartered Bank, \$100, 9.4.1959, serial number Y/M 1084419, 'Large Green Key', (Pick 66), PMG 40EPQ Extremely Fine and with nice colours 1979年渣打銀行「大綠鎖匙」100元·編號Y/M 1084419·PMG 40EPQ

Estimate HK\$4,000-6,000



1200

The Chartered Bank, \$100 specimen, ND (1961-1970), serial number Y/M 0000000, green, pink and multicoloured, keys left and right, coat of arms at left, 'SPECIMEN' overprinted in green, reverse red, bank building at centre, (Pick 71s), PMG 66EPQ Gem Uncirculated

1961-70年印度新金山中國渣打銀行100元樣票 · 編號 Y/M 0000000 · PMG 66EPO

Estimate HK\$13,500-18,000



1201

The Chartered Bank, \$100, no date (1970-75), serial number A214274, 'Red House', (Pick 76a), PMG 58EPQ Choice About Uncirculated

1970-75年渣打銀行「紅屋」100元·無日期·編號 A214274·PMG 58EPQ

Estimate HK\$1,600-2,000



1202

Chartered Bank, \$100, ND(1970-75), serial number A225423, (Pick 76a), toned around edges, PMG 63, choice uncirculated, minor stains.

1970-75年渣打銀行【紅屋】100元·無日期·編號 A225423·角有微黃·PMG 63

Estimate HK\$2,500-5,000



1203

The Chartered Bank, \$100, no date (1970-75), serial number A225410, 'Red Hourse', (Pick 76a), PMNG 63 Choice Uncirculated. Slight paper toning on upper and lower borders prevent this note from being awarded higher grades. Scarce

1970-75年渣打銀行「紅屋」100元·無日期·編號 A225410·PMG 63·上下紙邊有微黃

Estimate HK\$3,000-5,000





The Chartered Bank, \$100, 1.1.1977, replacement Z282890, the 'Red House', (Pick 76b*), PMG 64EPQ Choice Uncirculated. Very scarce for a modern note with just over 40 pieces graded by PMG and this is the second highest grade with only one note higher at 65EPQ.

1977年渣打銀行「紅屋」 100元·補版編號 Z282890·PMG 64EPQ·現代港鈔中屬罕見版別·僅有40枚送評 PMG·此鈔為第二高分

Estimate HK\$20,000-30,000

A HIGHLY POPULAR OFFSET SET ERROR \$100



1205

The Chartered Bank, \$100, 1.1.1979, ERROR NOTE, serial number D472927, offset printing error towards the lower border of the obverse, (Pick 79a), *PMG 55 About Uncirculated. Rare and quite striking* 1979年渣打銀行100元黏印錯體·編號D472927·PMG 55·罕見

Estimate HK\$24,000-35,000





The Chartered Bank, \$100, 1.1.1979, serial number F810809, (Pick 79a), PMG 66EPQ Gem Uncirculated

1979年渣打銀行100元 · 編號F810809 · PMG 66EPQ Estimate HK\$1,000-2,000



1207

Standard Chartered Bank, \$100, specimen, 1.1.1986, serial number AB000000, (Pick 281s), PCGS Banknote Grading 64 Choice Uncirculated. Rare with the oval De La Rue stamps

1986年渣打銀行100元樣票·編號AB000000·PCGS Banknote Grading 64·罕見德納囉公司圓印

Estimate HK\$7,000-10,000



1208

Standard Chartered Bank, \$150, 1.1.2009, near-solid serial number SC777774, 150th Anniversary of the Bank, (Pick 296a), PMG 65EPQ Gem Uncirculated

2009年渣打銀行成立150週年紀念150元·編號 SC777774· PMG 65EPQ

Estimate HK\$3,000-5,000



1209

Standard Chartered Bank, \$150, 1.1.2009, near-solid serial number SC422222, 150th Anniversary of the Bank, (Pick 296a), PMG 66EPQ Gem Uncirculated

2009年渣打銀行成立150週年紀念150元·編號 SC422222·PMG 66EPO

Estimate HK\$4,000-6,000







The Chartered Bank, \$500, 1.7.1961, serial number Z/N 000000 A, green and multicoloured, bust of man at left, bank arms at centre, reverse brown, green and multicoloured, junk boat at centre, (Pick 72s), PMG 67EPQ Superb Gem Uncirculated. This appears to be the highest grade in the PMG population report for this date

1961年渣打銀行500元 · 編號Z/N 000000 A · PMG 67EPQ · 紀錄中最高評分

Estimate HK\$26,000-35,000



1211

The Chartered Bank, \$500, specimen, no date (1970-75), serial number Z/N 000000, green and multicoloured, bust of man at left, bank arms at centre, reverse brown, green and multicoloured, junk boat at centre, (Pick 72s), PMG 67EPQ Superb Gem Uncirculated. The second highest grade in the PMG population report with only one note in higher grade! 1970-75年渣打銀行500元樣票·無日期·編號Z/N 000000·PMG 67EPQ·紀錄中第二高分

Estimate HK\$23,000-30,000





The Chartered Bank, \$500, 1.1.1977, serial number Z/Q 304350, green and multicoloured, bust of man at left, Royal Crest at centre, reverse brown, green and multicoloured, junk boat at centre, (Pick 72d), PMG 66EPQ Gem Uncirculated and most choice

1977年渣打銀行500元·編號Z/Q 304350·PMG 66EPQ·美品

Estimate HK\$24,000-35,000



1213

The Chartered Bank, \$500, 1.1.1982, serial number B243752, (Pick 80b), PMG 66EPQ Gem Uncirculated 1982年渣打銀行500元 · 編號B243752 · PMG 66EPQ

Estimate HK\$3,800-5,000





The Chartered Bank, \$1000, specimen, 1.1.1982, serial number B000000, orange and multicoloured, dragon at right, watermark of helmeted warrior at left, reverse orange and green, coat of arms at centre, bank building at left, 'SPECIMEN' overprinted in red, (Pick 81s), *PMG 66EPQ Gem Uncirculated. Scarce*

1982年渣打銀行1000元樣票 · 編號B000000 · PMG 66EPQ · 罕見

Estimate HK\$35,000-50,000



1215
The Chartered Bank, \$1000, 1.1.1982, serial number C509350, (Pick 81b), PMG 64 Choice Uncirculated 1982年渣打銀行1000元 · 編號C509350 · PMG 64

Estimate HK\$16,000-20,000





1216 Standard Chartered Bank, \$1000, 1.1.1988, serial number D562195, (Pick 283c), *PMG 64 Gem Uncirculated* 1987年渣打銀行1000元 · 編號D562195 · PMG 64

Estimate HK\$2,000-3,000



1217

Standard Chartered Bank, \$1000, 1.1.2001, solid serial number X333333, orange and milticolour, dragon at right, (Pick 289d), *PMG 66EPQ Gem Uncirculated and rare*

2001年渣打銀行1000元·幸運號X333333·PMG 66EPQ·稀見

Estimate HK\$20,000-30,000



1218

Standard Chartered Bank, \$1000, 1.1.2012, solid serial number AW333333, orange and milticolour, dragon at left, (Pick 301b), PMG 67EPQ Superb Gem Uncirculated and rare

2012年渣打銀行1000元,幸運號AW333333, PMG 67EPQ, 罕見

Estimate HK\$20,000-30,000





Standard Chartered Bank, \$1000, 1.1.2013, solid serial number BE444444, orange and multicolour, dragon at left, (Pick 301c), PMG 66EPQ Gem Uncirculated

2013年渣打銀行1000元·幸運號BE444444·PMG 66EPQ

Estimate HK\$11,000-14,000



1220

Standard Chartered Bank, \$10, specimen, 1.1.1988, serial number BL000000, 'SPECIMEN' printed in English and Chinese, (Pick 278s), PMG 66EPO Gem Uncirculated and scarce

1991年渣打銀行10元樣票·編號BL000000· PMG 66EPQ·罕品

Estimate HK\$6,000-9,000



1221

Standard Chartered Bank, \$20, specimen, 1.1.1985, serial number A000000, 'SPECIMEN' printed in English and Chinese, (Pick 279s), *PMG 66EPO Gem Uncirculated and scarce*

1985年渣打銀行20元樣票·編號A000000· PMG 66EPQ·罕見

Estimate HK\$7,000-10,000



1222

Standard Chartered Bank, \$50, specimen, 1.1.1988, serial number C000000, 'SPECIMEN' printed in English and Chinese, (Pick 280s), PMG 67EPQ Superb Gem Uncirculated and scarce

1988年渣打銀行50元樣票·編號C000000· PMG 67EPQ·稀見

Estimate HK\$8,000-10,000



1223

Standard Chartered Bank, \$100, specimen, 1.1.1987, serial number AS000000, 'SPECIMEN' printed in English and Chinese, (Pick 281s), *PMG 65EPQ Gem Uncirculated and scarce*

1987年渣打銀行100元樣票·編號AS000000·PMG65EPQ·罕見

Estimate HK\$7,000-10,000



1224

Standard Chartered Bank, \$500, specimen, 1.1.1988, serial number A000000, 'SPECIMEN' printed in English and Chinese, (Pick 282s), PMG 64EPQ Choice Uncirculated and scarce

1988年渣打銀行500元樣票 · 編號A000000 · PMG 64EPQ · 罕見

Estimate HK\$8,000-10,000





Standard Chartered Bank, \$1000, specimen, 1.1.1987, serial number C000000, 'SPECIMEN' printed in English and Chinese, (Pick 283s), *PMG 66EPQ Gem Uncirculated and scarce*

1987年渣打銀行1000元樣票·編號C000000· PMG 66EPQ·罕品

Estimate HK\$10,000-13,000



1226

Standard Chartered Bank, \$1000, specimen, 1.1.1992, serial number J000000, (Pick 283ds), *PMG 67EPQ Superb Gem Uncirculated* 1992年渣打銀行1000元樣票·編號J000000·PMG 67EPO

Estimate HK\$8,000-12,000

A LOVELY SET OF A001997 NOTES A001997 趣味號一組



1227

Standard Chartered Bank, a complete set of note 1985 to 1988, with matching serial numbers A001997, \$10, \$20, \$50, \$100, \$500 and \$1000, (Pick 278a to 283a), graded PMG 67EPQ, 66EPQ, 67EPQ, 68EPQ, 66EPQ and 66EPQ respectively (6)

1985-88年渣打銀行一組6枚·相同編號A001997·包括10、20、50、100、500及1000元·分別評PMG 67EPQ, 66EPQ, 67EPQ, 68EPQ, 66EPQ 及 66EPQ

Estimate HK\$6,000-9,000





1228

The Hongkong and Shanghai Banking Corporation, \$1, 1.10.1872, serial number 18371, the reverse in violet, (Pick 111), PMG 12 Fine (Rust). The highly desirable violet reverse and the first date of issue. Rare 1872年匯豐銀行1元·編號18371·PMG 12·大熱背紫·首發年份

Estimate HK\$20,000-30,000



1229

The HongKong and Shanghai Banking Corporation, \$1, 2.1.1890, serial number 68306, black on blue, '1' at each corner, handsigned at lower left, (Pick 136b), *PMG 25NET Very Fine (Ink Burn on Signature Area) and a nice type nopte* 1890年匯豐銀行1元 ·編號68306 · PMG 25NET · 簽名位置有瑕疵 · 美品

Estimate HK\$18,000-24,000



THE HIGHET GRADED 1895 \$1 IN PMG 50 1895年1元·最高評分PMG 50



1230

The Hongkong and Shanghai Banking Corporation, \$1, 18.11.1895, serial number 245619, black on blue, '1' at each corner, handsigned at lower left, reverse red, coat of arms at centre, (Pick 136c), PMG 50 About Uncirculated (Minor Restoration). A superb high gade note with small repairs perhaps to the upper and lower centre but which does not really distract the collector from its original beauty. Only 1 of 2 notes in the PMG population for the date range 1895-1899 and therefore this could very well be the single highest graded note for the 1895 date!

1895年匯豐銀行1元·編號245619·PMG 50·有輕微修補·評分甚高的一枚·雖有修補·卻瑕不掩瑜·PMG紀錄中僅有2枚 1895-1899年匯豐銀行1元·此鈔應為最高分之1895年版別!

Estimate HK\$120,000-180,000



1231

The Hongkong and Shanghai Banking Corporation, \$1, 1.1.1925, serial number C038166, blue and multicoloured, helmeted head of Minerva at left, two printed signatures below, reverse purple, maiden at centre, (Pick 171), PMG 63 Choice Uncirculated (Stains Lightened) and scarce in this condition

1925年匯豐銀行1元·編號C038166·PMG 63·銹漬經處理·罕見上佳品相

Estimate HK\$8,500-12,000



1232

The Hongkong and Shanghai Banking Corporation, \$1, 1.7.1913, serial number 767434, blue and multicolour, Minerva at left, two printed signatures, reverse red, maiden at centre, (Pick 155b), PMG 40 Extremely Fine

1913年匯豐銀行1元·編號767434·PMG 40

Estimate HK\$10,000-15,000



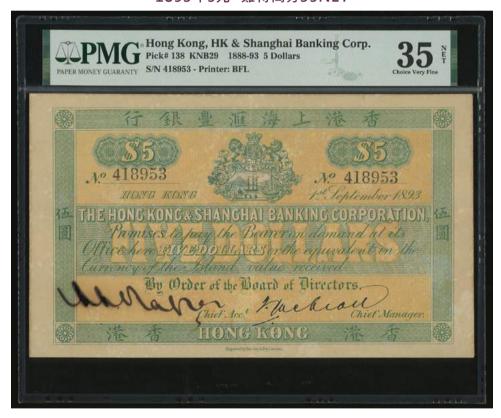


The Hongkong and Shanghai Banking Corporation, \$1, 1.6.1935, serial number H167265, blue and multicoloured, helmeted head of Minerva at left, two printed signatures below, reverse purple, maiden at centre, (Pick 172c), PMG 64EPQ Choice Uncirculated

1935年匯豐銀行1元,編號H167265,PMG 64EPQ

Estimate HK\$2,000-3,000

AN EXCEPTIONAL 1893 \$5 IN 35NET 1893年5元·難得高分35NET



1234

The HongKong and Shanghai Banking Corporation, \$5, 1.9.1893, serial number 418953, green on orange, bank coat of arms at upper centre, '\$5' to its left and right, Bank title, denomination and 'Hong Kong' within border, printed manuscript signature of (Sir Thomas) Jackson, handsigned at lower left, reverse red-orange, bank title and arms, Barclay and Fry printing on watermarked paper, (Pick 138), PMG 35NET Choice Very Fine (Ink Burn). An exceptional note in this grade and rarity. Amongst the highest grades known and not to be missed!

1893年匯豐銀行5元·編號418953·PMG 35NET·簽名位置有瑕疵·珍罕版別·已知記錄中高評分之一·萬勿錯過!

Estimate HK\$200,000-300,000





The Hongkong and Shanghai Banking Corporation, \$5, 1.1.1901, serial number 616074, green with an orange central panel, value and Bank arms at centre, value at each corner, manuscript signature low left, printed signature of Thomas Jackson low right, reverse red, three allegorical maidens representing Ceres, Communication and Industry at centre, value at each corner (Pick 150) PMG 12NET Fine (Repaired) and a scarce type note

1901年匯豐銀行5元,編號616074,PMG 12NET,有修補,罕見版別

Estimate HK\$28,000-35,000

A HIGHLY POPULAR 'WATER CARRIER' \$5 WITH THE RED REVERSE 背紅「擔水佬」5元·大熱



1236

The Hongkong and Shanghai Banking Corporation, \$5, 1.1.1909, serial number A062541, green and pale orange, value in orange at lower centre, arms at centre, junk at right, water carriers and street scene at left, manuscript signature lower left, printed signature lower right, value at centre and each corner, reverse red and brown, bank building at centre, (Pick 161), PMG 12 Fine (Repaired. Rust), first year of issue

1909年匯豐銀行5元·編號A062541·PMG 12·有修補及銹漬·首發年份

Estimate HK\$50,000-60,000



A CHOICE 1916 \$5 IN PMG 64 1916年5元 · PMG 64



1237

The Hongkong and Shanghai Banking Corporation, \$5, specimen, 1.7.1916, without serial numbers, green and pale orange, value in orange low centre, arms at centre, junk at right, water carriers and street scene at left, manuscript signature low left, printed signature low right, value at centre and each corner, reverse green and brown, bank building at centre, value at left and right, (Pick 166s), PMG 64 Choice Uncirculated. The beautiful note in near immaculate condition with only the faintest hint of paper toning the periphers to prevent this from being awarded an 'EPQ' status and perhaps a higher numerical grage. Fresh and original.

1916年匯豐銀行5元樣票·無編號·PMG 64·無可挑剔之完好品相·紙邊略有微黃·致使未達EPQ級別·原裝美品

Estimate HK\$100,000-130,000





The Hongkong and Shanghai Banking Corporation, \$5, 1.7.1916, serial number A277818, green and pale orange, value in orange at lower centre, arms at centre, junk at right, water carriers and street scene at left, manuscript signature low left, printed signature low right, value at centre and each corner, reverse green and brown, bank building at centre, value at left and right, (Pick 166), PMG 30 Very Fine (Small Hole)

1916年匯豐銀行5元·編號A277818·PMG 30·有細孔

Estimate HK\$45,000-60,000



1239

The Hongkong and Shanghai Banking Corporation, \$5, 1.1.1923, serial number B040204, green and pale orange, value in orange low centre, arms at centre, junk at right, water carriers and street scene at left, manuscript signature low left, printed signature low right, value at centre and each corner, reverse green and brown, bank building at centre, value at left and right, (Pick 166), PMG 35 Choice Very Fine (Minor Rust), a nice original note and scarce

1923年匯豐銀行5元 · 編號B040204 · PMG 35 · 輕微銹漬 · 原裝美品 · 罕見

Estimate HK\$30,000-45,000



LOT 1240 - THE HIGHEST GRADED EXAMPLE OF THE WATER CARRER \$5 IN PMG 55

背紅「擔水佬」5元·最高評分PMG 55



1240

The Hongkong and Shanghai Banking Corporation, \$5, 1.1.1923, serial number B374457, green and pale orange, value in orange low centre, arms at centre, junk at right, water carriers and street scene at left, manuscript signature low left, printed signature low right, value at centre and each corner, reverse green and brown, bank building at centre, value at left and right, (Pick 166), PMG 55 About Uncirculated. A superb original looking note with strong deep colours with only minor toning in the peripheries to prevent this from being awarded an EPQ status. One of two notes in this grade in the PMG population report. A marvellous note for the discerning Hong Kong banknote collector!

1923年匯豐銀行5元·編號 B374457·PMG 55·顏色鮮艷奪目·輕微黃邊·PMG記錄中僅有2枚·香港紙鈔藏家必收之選!

Estimate HK\$330,000-380,000





The Hong Kong & Shanghai Banking Corporation, \$5, 1.1.1934, serial number F894624, (Pick 173b), PMG 30 Very Fine, rare handsigned and original note.

1934年匯豐銀行5元·編號F894624·PMG 30·罕見手 簽原裝票

Estimate HK\$3,000-5,000



1242

The Hong Kong & Shanghai Banking Corporation, \$5, 1.11934, serial number F904119, (Pick 173b), PMG 30 Very Fine, rare handsigned and original note.

1934年匯豐銀行5元·編號F904119·PMG 30·罕見手 簽原裝票

Estimate HK\$3,000-5,000



1243

The Hongkong and Shanghai Banking Corporation, \$5, 1.7.1937, serial number H070391, brown and multicoloured, reclining maiden at right, coat of arms at centre and watermark of Minerva at left, handsigned at lower left, reverse brown, old HSBC building at centre, (Pick 173b), PMG 50NET About Uncirculated (the hozizontal fold extending to the left border lightly repaired)

1937年匯豐銀行5元·編號H070391·PMG 50NET·有修補



1244

The Hongkong and Shanghai Banking Corporation, \$5, 1.1.1938, serial number H369309, handsigned at lower left, (Pick 173b), PMG 35 Choice Very Fine

1938年匯豐銀行5元 · 編號H369309 · PMG 35

Estimate HK\$2,400-3,500



1245

The Hongkong and Shanghai Banking Corporation, \$5, 1.1.1938, serial number H557736, missing ink spot beneath the maiden, (Pick 173b), PMG 35 Choice Very Fine (Printing Error) and rare to find a error vintage note.

1938年匯豐銀行5元·缺墨錯體·編號H557736·PMG35·罕見早期錯體票

Estimate HK\$3,500-5,000



1246

The Hongkong and Shanghai Banking Corporation, \$5, 1.7.1954, serial number C/H 860277, (Pick 180a), PMG 65EPQ Gem Uncirculated and the key date of the post war series

1954年匯豐銀行5元·編號C/H 860277·PMG 65EPQ·戰後重要年份

Estimate HK\$1,500-2,000





The Hongkong and Shanghai Banking Corporation, \$5, 1.1.4.1941, 'DURESS' consecutive serial numbers J384336-337, both with vertical #3,135, brown and multicolour, reclining maiden at right, (Pick 173c), both graded PMG 58EPQ Choice About Uncirculated. A scarce and choice pair (2)

1941年匯豐銀行5元·逼簽票連號2枚·編號J384336-337·直號3135·均PMG 58·罕見的一組

Estimate HK\$10,000-15,000



1248

The Hongkong and Shanghai Banking Corporation, \$5, 31.7.1967, ERROR NOTE, serial number 829388CD, reverse brown colour shifted down and left, (Pick 181c), PMG 30 Very Fine (Printing Error)

1967年匯豐銀行5元印刷移位錯體 · 編號829388CD · PMG 30

Estimate HK\$2,000-3,500



1249

The Hongkong and Shanghai Banking Corporation, \$5, 31.3.1975, ERROR NOTE, serial number 024792FL, gutter fold at right, (Pick 181f), PMG 64 Choice Uncirculated

1975年匯豐銀行5元摺白錯體·編號024792FL·PMG 64



1250

Hong Kong & Shanghai Banking Corporation, \$5, 31st march 1975, Misalignment error, serial number 480293FX, printing shifted upward on obverse, (Pick 181f), PMG 64, choice uncirculated, rare seen.

1975年香港匯豐銀行5元·印刷移位錯體·編號 480293FX·PMG 64·罕見

Estimate HK\$2,000-4,000

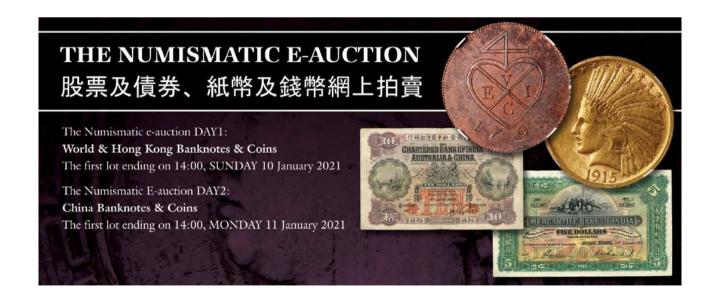




The Hongkong and Shanghai Banking Corporation, \$10, 1.1.1901, serial number 308402, blue on pink, bank coat of arms upper centre, '10' at each corner, handsigned lower left and printed manuscript signature at lower right, reverse red, profile busts of Minerva at left and right, Mercury and Minerva at centre, (Pick 151), PMG 12 Fine (Ink). A rare type that was only issued for 3 years.

1901年匯豐銀行10元·編號308402·PMG 12·有墨·發行僅三年·罕見版別

Estimate HK\$35,000-45,000





LOTS 1252 AND 1253 - A SUPERB PAIR OF 1913 \$10 SPECIMENS. BOTH WITH UNIQUE QUALITIES

1913年10元樣票美品一對,各有獨特性質!



1252

The HongKong and Shanghai Banking Corporation, \$10, specimen, 1.7.1913, blue on red underprint, waterfront at left, horseman and walkers at right, handsigned signature at bottom, SPECIMEN overprinted in red, reverse red and black, HSBC building at centre, 4 punch hole cancellations, (Pick 162s), PMG 35 Choice Very Fine. An extremely rare and significant discovery note. To collectors of specimens this is a true gem as it is the only 1913 \$10 specimen with the red reverse known to date. Only one another red reverse \$10 specimen dated 1909 is known and so in summary only 2 red reverse \$10 specimens are known and each can be described as unique. Superb!

1913年匯豐銀行10元樣票·PMG 35·意義非凡的一枚·樣鈔藏家必收之珍品·此為現所知唯一有背紅之1913年10元樣鈔·另一枚背紅樣鈔屬1909年版別·2枚均為存世孤品·經典的一枚!

Estimate HK\$70,000-100,000







The Hongkong and Shanghai Banking Corporation, \$10, specimen, 1.7.1913, blue on pink, sampan at left, walkers at right, reverse green, Bank building at centre, 'SPECIMEN' overprinted at centre, (Pick 167s), PMG 66EPQ Gem Uncirculated, one of two highest graded notes in the PMG population report. A lovely original note with deep rich colours and not to be missed!

1913年匯豐銀行10元樣票·PMG 66EPQ·紀錄中最高分兩枚之一·顏色明亮深潤·不可多得之原裝美品

Estimate HK\$130,000-160,000





The Hongkong and Shanghai Banking Corporation, \$10, 1.1.1923, serial number B124689, blue, pink and multicolour, sailing boats at let, walkers on beach sat right, handsigned at lower left, (Pick 167), PMG 25NET Very Fine (Restoration, Rust, Annotations)

1923年匯豐銀行10元,編號B124689,PMG 25NET,有修補,銹漬及書寫

Estimate HK\$18,000-24,000

A LOVELY 'BEACH' \$10 IN PMG 25 美品「沙灘」10元 · PMG 25



1255

The Hongkong and Shanghai Banking Corportaion, \$10, 1.1.1923, serial number B399870, blue on red underprint, waterfront at left, horseman and walkers at right, handsigned signature at bottom, reverse olive green and brown, HSBC building at centre, (Pick 167), PMG 25 Very Fine (Minor Repair to upper centre). Otherwise a lovely note with strong colours

1923年匯豐銀行10元,編號B399870,PMG 25,中上位置有修補,顏色明亮

Estimate HK\$48,000-70,000





The Hongkong and Shanghai Banking Corporation, \$10, 1.1.1938, serial number K309387, handsigned at lower left, (Pick 178a), PMG 58 Choice About Uncirculated and a difficult grade to find for a handsigned note. Lovely

1938年匯豐銀行10元·編號K309387·PMG 58·罕見高分手簽票·美品

Estimate HK\$5,000-8,000



1257

The Hongkong and Shanghai Banking Corporation, \$10, colour trial, 1959, serial number GA000000, (Pick 182cts), PCGS Banknote Grading 67OPQ Superb Gem Uncirculated, scarce

1959年匯豐銀行10元試色樣鈔·編號GA000000 · PCGS Banknote Grading 67OPQ · 罕品

Estimate HK\$7,000-10,000



1258

The Hongkong and Shanghai Banking Corporation, 2x \$10, 21.5.1959, serial number 854573-574GC, (Pick 182a), both graded PMG 66EPO Gem Uncirculated (2)

1959年匯豐銀行10元連號2枚·編號854573-574GC·均PMG 66EPQ

Estimate HK\$800-1,600



1259

The HongKong and Shanghai Banking Corporation, \$10, 1.10.1964, radar serial number 305503 JR, key date of the series, (Pick 182d), uncirculated, currently in PMG. The grade will be updated on our website before the sale

1964年匯豐銀行10元·雷達號305503 JR·重要年份·UNC·PMG送評中·評分資訊將稍後上載本公司網頁

Estimate HK\$8,000-12,000





The HongKong and Shanghai Banking Corporation, \$10, 1.10.1964, repeater serial number 305305 JR, key date of the series, (Pick 182d), uncirculated, currently in PMG. The grade will be updated on our website before the sale

1964年匯豐銀行10元·重複號305305 JR·重要年份·UNC·PMG送評中·評分資訊將稍後上載本公司網頁

Estimate HK\$8,000-12,000



1261

The Hongkong and Shanghai Banking Corporation, \$10, 27.3.1969, ERROR NOTE, serial number 713348ND, obverse green colour shifted up, (Pick 182f), *PMG 35 Choice Very Fine* 1969年匯豐銀行10元印刷上移錯體·編號713348ND·PMG 35

Estimate HK\$2,000-3,500



1262

The Hongkong and Shanghai Banking Corporation, \$10, 31.10.1972, ERROR NOTE, serial number GT418858, serial numbers, date and signatures shifted towards the lower border, (Pick 182g), PMG 35 Choice Very Fine (Printing Error) 1972年匯豐銀行10元印刷下移錯體:編號GT418858 · PMG 35

Estimate HK\$2,500-3,500



1263

The Hongkong and Shanghai Banking Corporation, \$10, 31.10.1973, ERROR NOTE, serial number JA157452, obverse green colour shifted down, (Pick 182g), PMG 40 Extremely Fine 1973年匯豐銀行10元印刷下移錯體·編號 JA157452 · PMG 40

Estimate HK\$2,000-3,500





The Hongkong and Shanghai Banking Corporation, \$10, 31.3.1973, ERROR NOTE, serial number HR305617, gutter fold error at obverse right, (Pick 182g), PMG 58 Choice About Uncirculated

1973年匯豐銀行10元摺白錯體·編號HR305617·PMG 58

Estimate HK\$4,000-6,000



1265

The Hongkong and Shanghai Banking Corporation, \$10, ND, ERROR NOTE, serial number KP 083924, without date and signatures, (Pick 182), PMG 58 Choice About Uncirculated, PMG labels this a remainder note but we believe it to be an error note, and this cannot be a reason for returns

匯豐銀行10元·無日期及簽名錯體·編號KP 083924· PMG 58·PMG標示為庫存票·編者檢查後認為是錯體票·不接受上述為退換原因

Estimate HK\$4,000-6,000



1266

The Hongkong and Shanghai Banking Corporation, \$10, 31.3.1979, ERROR NOTE, serial number G/17 595092, reverse green colour shifted up, (Pick 182h), PMG 35 Choice Very Fine (Minor Misalignment Error)

1979年匯豐銀行10元背面印刷上移錯體·編號G/17 595092·PMG 35·輕微移位錯誤

Estimate HK\$1,500-2,500



1267

The Hongkong and Shanghai Banking Corporation, \$10, 31.3.1979, ERROR NOTE, serial number G/21 534256, green colour shifted down, (Pick 182h), PMG 50 About Uncirculated (Minor Misalignment Error)

1979年匯豐銀行10元印刷下移錯體·編號G/21 534256·PMG 50·輕微移位錯誤

Estimate HK\$2,000-3,500





The Hongkong and Shanghai Banking Corporation, \$10, 31.3.1980, ERROR NOTE, serial number G/31 035565, last digit of obverse right serial dropped down, (Pick 182i), PMG 64 Choice Uncirculated (Minor Serial Misalignment Error)

1979年匯豐銀行10元正面編號移位錯體·編號G/31 035565·PMG 64·輕微編號移位錯誤

Estimate HK\$2,500-4,000



1269

The Hongkong and Shanghai Banking Corporation, \$10, 31.3.1979, ERROR NOTE, serial number G/19 434045, stuck last digit at obverse left serial, (Pick 182h), PMG 66EPQ Gem Uncirculated (Stuck Digit Error)

1979年匯豐銀行10元編號印刷錯體·編號G/19434045·PMG 66EPQ·

Estimate HK\$5,000-7,000



1270

The Hongkong and Shanghai Banking Corporation, \$10, 1.1.1988, fancy serial numbers US000111, 000222, 000333 and 000444, (Pick 191b), all graded PMG 66EPQ (4)

1988年匯豐銀行10元趣味號一組4枚·編號US000111,000222,000333 及 000444·均PMG 66EPQ

Estimate HK\$1,200-1,800



1271

The Hongkong and Shanghai Banking Corporation, \$20, 1.1.1994, ERROR NOTE, serial number CB062978 insufficient inking error at obverse, (Pick 201a), PMG 65EPQ Gem Uncirculated 1994年匯豐銀行20元正面缺墨錯體·編號CB062978·PMG 65EPO

Estimate HK\$4,000-6,000



1272

The Hongkong and Shanghai Banking Corporation, \$20, 1.1.2002, low serial number UW000010, (Pick 201d), PMG 66EPQ Gem Uncirculated

2002年匯豐銀行20元·細編號UW000010·PMG 66EPQ

Estimate HK\$500-1,000





The Hongkong and Shanghai Banking Corporation, \$20, 1.1.2008, solid serial number ST111111, (Pick 207e), PMG 68EPQ Superb Gem Uncirculated

2008年匯豐銀行20元·幸運號ST111111·PMG 68EPQ

Estimate HK\$3,000-5,000





1274

The Hongkong and Shanghai Banking Corporation, 5x \$20, 1.1.2016, low serial numbers TU000030, 40, 50, 60 and 70, the first four notes graded PCGS Currency 66PPQ, the final note 67PPQ (5)

2016年匯豐銀行20元一組5枚·細編號TU000030, 40, 50, 60 及 70·首4枚PCGS Currency 66PPQ·最後一枚67PPQ

Estimate HK\$2,000-3,500



1275

Hong Kong & Shanghai Banking Corporation, \$50, 1st October 1927, serial number B199522, handsigned signature at bottom left, (Pick 175a), PMG 20, very fine, annotation, rare.

1927年香港匯豐銀行50元 · 編號B199522 · PMG 20 · 有書寫 · 罕見

Estimate HK\$10,000-20,000



1276

The Hongkong and Shanghai Banking Corporation, \$50, 1.1.1934, serial number B430723, (Pick 175d), PMG 25 Very Fine (Stained) 1934年匯豐銀行50元 · 編號B430723 · PMG 25 · 有漬

Estimate HK\$8,000-12,000





The Hongkong and Shanghai Banking Corporation, \$50, 1.1.1934, serial number B545397, green on multicoloured underprint, Communication at left, arms top centre, value at centre and each corner, handsigned at lower left, reverse green, bank building at centre, angel above, serial number at four corners, (Pick 175d), PMG 45 Choice Extremely Fine (Previously Mounted) but otherwise a lovely note without any repairs or annoatations

1934年匯豐銀行50元,編號B545397,PMG 45,有貼痕

Estimate HK\$24,000-35,000

A CHOICE 'COMMUNICATION' \$50 WITH GOOD EMBOSSING, PMG 64 交通銀行50元, 凹凸紋清晰細緻, PMG 64



1278

The Hongkong and Shanghai Banking Corporation, \$50, 1.1.1934, serial number B448581, green on multicoloured underprint, Communication at left, arms top centre, value at centre and each corner, manuscript signatures at bottom, reverse green, bank building at centre, angel above, serial number at four corners, (Pick 175d), PMG 64 Choice Uncirculated (Good Embossing and Colour). A superb and beautiful original note with a tiny pinhole in the watermark area to prevent it from being an EPQ status and presumably 65EPQ or above. The cataloguer rarely sees PMG making the comment 'Good Embossing Colour'. Exceptional

1934年匯豐銀行50元·編號B448581·PMG 64·凹凸感強·顏色明亮·估計因水印位置有釘孔而未能晉升EPQ級別·若沒有釘孔應可達65EPQ以上分數·編者甚少遇到PMG點評鈔票凹凸感強及顏色明亮·十分罕見

Estimate HK\$95,000-120,000





The Hongkong and Shanghai Banking Corporation, \$50, 31.3.1981, serial number A/1 937814, (Pick 184g), PMG 67EPQ Superb Gem Uncirculated

1981年匯豐銀行50元·編號A/1 937814·PMG 67EPQ

Estimate HK\$500-1,000



1280

The Hongkong and Shanghai Banking Corporation, \$50, 31.3.1981, serial number A/1 937815, (Pick 184g), *PMG 66EPQ Gem Uncirculated* 1981年匯豐銀行50元·編號A/1 937815·PMG 66EPQ

Estimate HK\$500-1,000



1281

The Hongkong and Shanghai Banking Corporation, \$50, 31.3.1982, consecutive serial numbers A/4 147507-508, (Pick 184h), both graded PMG 66EPQ Gem Uncirculated (2)

1982年匯豐銀行50元連號2枚·編號A/4 147507-508·均評PMG 66EPQ

Estimate HK\$1,000-2,000



1282

The Hongkong and Shanghai Banking Corporation, 2x \$50, 31.3.1982, consecutive serial number A/4 147509-510, (Pick 184h), both graded PMG 66EPO Gem Uncirculated (2)

1982年匯豐銀行50元連號2枚·編號A/4 147509-510·均PMG 66EPQ

Estimate HK\$1,000-2,000



1283

The Hongkong and Shanghai Banking Corporation, \$50, 1.1.1994, ascending serial number AN123456, purple and multicolour, lion at left, view of Victoria Harbour at centre and lion watermark at right, lions flanking the HSBC building on reverse, (Pick 202a), PMG 67EPQ Superb Gem Uncirculated

1994年匯豐銀行50元·順蛇號AN123456·PMG 67EPQ

Estimate HK\$5,500-7,000





The Hongkong and Shanghai Banking Corporation, pair of \$50's, 1.1.1994 and 1.1.2005, matching alphanumerical solid serial number BH111111, (Pick 202a and 208b), both graded PMG 66EPO Gem Uncirculated (2)

匯豐銀行1994及2005年50元一對·相同幸運號 BH111111·均評PMG 66EPQ

Estimate HK\$7,500-10,000



1285

The Hongkong and Shanghai Banking Corporation, \$50, 1.1.2001, low serial number BV000010, (Pick 202d), PMG 66EPQ Gem Uncirculated

2001年匯豐銀行50元·細編號BV000010·PMG 66EPQ

Estimate HK\$500-1,000



A HIGHLY RARE GROUP OF 'LARGE BIBLE' \$100 PROGRESSIVE PROOFS 一組「大聖書」100元試印樣票,珍罕















1286

The Hongkong and Shanghai Banking Coporation, a group of 6 progressive proofs for the \$100 of the 1927 series, 3 proofs for the obverse and 3 proofs for the reverse, each group containg one note which is the combined printing of the the other two notes, as illustrated in the images, also included in the group is an issued note dated 2.1.1934, serial number B572325, (Pick 176ppl, 176pp2 and 176d), the progressive proofs graded PMG 30NET to 35, the issued note PMG 25NET Very Fine (Rust Damage). A truly fantastic group and extremely rare. The cataloguer estimates that there are at most two sets printed (7)

1927年匯豐銀行100元試印樣鈔一組6枚·正· 背面各3枚· 每面的其中一枚都是合併其餘2枚之設計· 另有一枚1934年發行票· 編號B572325· PMG 30NET至35· 流通票評PMG 25NET(有銹漬)· 極罕的一組珍品· 編者認為存世僅有2組

Estimate HK\$45,000-70,000





The Hongkong and Shanghai Banking Corporation, \$100, 2.1.1934, serial number B471334, red on multicoloured underprint, maiden at left, arms top centre, value at centre and each corner, handsigned a lower left, reverse red, bank building at centre, angel above, serial number at four corners, (Pick 176b), PMG 25 Very Fine (Annotations)

1934年匯豐銀行100元 · 編號B471334 · PMG 25 · 有書寫

Estimate HK\$4,000-6,000



1288

The Hongkong and Shanghai Banking Corporation, \$100, 2nd January 1934, serial number B420553, handsigned signature at bottom left, (Pick 176b), PMG 20 NET, very fine, rust, ink, rare.

1934年香港匯豐銀行100元·編號B420553·PMG 20NET·VF·有銹及墨漬·罕見

Estimate HK\$7,500-15,000



1289

The Hongkong and Shanghai Banking Corporation, \$100, 1.7.1937, DURESS, serial number B606120, red and multicolour, seated maiden with open book at left, handsigned at lower left, (Pick 176d), PMG 30 Very Fine (Annotation). A nice original condition note with nice colours and clear handsigned signature

1937年匯豐銀行100元· 逼簽票· 編號B606120· PMG 30· 有書寫· 原裝美品· 顏色明亮· 簽名清晰

Estimate HK\$5,000-8,000



THE ONLY HANDSIGNED HSBC \$100 WITH AN EPQ STATUS. SUPERB! 匯豐銀行手簽100元,罕見EPQ級別



1290

The Hongkong and Shanghai Banking Coporation, \$100, 1.7.1937, Duress serial number B610053, red on multicoloured underprint, maiden at left, arms top centre, value at centre and each corner, manuscript signatures at bottom, reverse red, bank building at centre, angel above, serial number at four corners, (Pick 176d), PMG 30EPQ Very Fine. Extremely rare for a issued vintage note to be awarded an EPQ status. Although a few notes are given numerically higher grades than 30 this is the ONLY note is the PMG population report to be given an EPQ status out of 107 notes graded at the time of writing. Choice.

1937年匯豐銀行100元·迫簽票·編號B610053·PMG 30EPQ·雖有其他鈔票有更高評分·此鈔卻是唯一獲評EPQ的早期流通票·罕見

Estimate HK\$30,000-45,000





The Hongkong and Shanghai Banking Corporation, \$100, 1.3.1955, serial number F847424, (Pick 176e), PMG 58 Choice About Uncirculated

1955年匯豐銀行100元·編號F847424·PMG 58

Estimate HK\$7,000-10,000

A CHOICE 'LARGE BIBLE' \$100 IN PMG 66EPQ 「大聖書」100元 · PMG 66EPQ



1292

The HongKong and Shanghai Banking Corporation, \$100, 31.5.1956, serial number G139581, red on multicoloured underprint, maiden at left, arms top centre, value at centre and each corner, printed manuscript signatures, reverse red, bank building at centre, angel above, serial number at four corners, (Pick 176f), PMG 66EPQ Gem Uncirculated and rare in this condition

1956年匯豐銀行100元,編號G139581,PMG 66EPQ,罕見高分

Estimate HK\$38,000-50,000





Hong Kong & Shanghai Banking Corporation, \$100, 12th August 1959, serial number 787467UB, red on multicolour underprint, woman seated at left, arms at upper centre, (Pick 183a), PMG 58EPQ, choice about uncirculated.

1959年匯豐銀行100元·編號787467UB·PMG 58EPQ

Estimate HK\$3,000-6,000



1294

Hong Kong & Shanghai Banking Corporation, \$100, 12th August 1959, serial number 787468UB, red on multicolour underprint, woman seated at left, arms at upper centre, (Pick 183a), PMG 58EPQ, choice about uncirculated.

1959年匯豐銀行100元·編號787468UB·PMG 58EPO

Estimate HK\$3,000-6,000



1295

Hong Kong & Shanghai Banking Corporation, \$100, 12th August 1959, serial number 787469UB, red on multicolour underprint, woman seated at left, arms at upper centre, (Pick 183a), PMG 58EPQ, choice about uncirculated.

1959年匯豐銀行100元·編號787469UB·PMG 58EPQ

Estimate HK\$3,000-6,000



1296

Hong Kong & Shanghai Banking Corporation, \$100, 12th August 1959, serial number 787470UB, red on multicolour underprint, woman seated at left, arms at upper centre, (Pick 183a), PMG 58EPQ, choice about uncirculated.

1959年匯豐銀行100元·編號787470UB·PMG 58EPO

Estimate HK\$3,000-6,000



1297

The Hongkong and Shanghai Banking Corporation, \$100, 1.8.1965, serial number 596057 UR, (Pick 183b), PMG 66EPQ Gem Uncirculated

1965年匯豐銀行100元·編號 596057UR·PMG 66EPQ

Estimate HK\$4,000-6,000



1298

The Hongkong and Shanghai Banking Corporation, \$100, 31.3.1967, serial number 558519UV, (Pick 183b), PMG 66EPQ Gem Uncirculated

1967年匯豐銀行100元·編號558519UV·PMG66EPO

Estimate HK\$4,000-6,000





Hong Kong & Shanghai Banking Corporation, \$100, 31st May 1967, serial number 357931UV, red on multicolour underprint, woman seated at left, arms at upper centre, (Pick 183b), *PMG 35EPQ*, choice very fine.

1967年匯豐銀行100元 · 編號357931UV · PMG 35EPQ Estimate HK\$1,500-3,000



1300

The Hongkong and Shanghai Banking Corporation, \$100, 13.3.1972, serial number 563095VT, (Pick 183c), PMG 67EPQ Superb Gem Uncirculated

1972年匯豐銀行100元 · 編號563095VT · PMG 67EPQ Estimate HK\$3,000-5,000



1301

The Hongkong and Shanghai Banking Corporation, \$100, 31.10.1972, ERROR NOTE, serial number 659443 WF, the obverse printing shifted towards the left, (Pick 185b), PMG 35EPQ Choice Very Fine (Misaligned Error)

1972年匯豐銀行100元印刷左移錯體·編號659443 WF·PMG 35EPQ

Estimate HK\$5,000-8,000



1302

The Hongkong and Shanghai Banking Corporation, \$100, 31.03.1975, ERROR NOTE, serial number 039008WR, minor serial number printing error at obverse lower left, (Pick 185d), PMG 58 Choice About Uncirculated (Minor Serial Number Error)

1975年匯豐銀行100元編號印刷錯體·編號039008WR·PMG 58

Estimate HK\$4,000-6,000



1303

The Hongkong and Shanghai Banking Corporation, \$100, 31.3.1976, serial number 837086WV, (Pick 185d), PMG 66EPQ Gem Uncirculated

1976年匯豐銀行100元·編號837086WV·PMG 66EPQ

Estimate HK\$1,200-2,200



1304

The Hongkong and Shanghai Banking Corporation, \$100, 31.03.1978, ERROR NOTE, serial number 642440XQ, last digit dropped at obverse lower right serial, (Pick 187a), PMG 66EPQ Gem Uncirculated

1978年匯豐銀行100元編號印刷錯體·編號642440XQ·PMG66EPQ

Estimate HK\$4,000-6,000





The Hongkong and Shanghai Banking Corporation, \$100, 1.1.1986, ascending serial number CS123456, (Pick 194a), PMG 66EPQ Gem Uncirculated

1986年匯豐銀行100元·順蛇號CS123456·PMG 66EPQ

Estimate HK\$5,000-7,000



1306

The Hongkong and Shanghai Banking Corporation, \$100, 1.1.1999, solid serial number DN444444, (Pick 203c), PMG 67EPQ Superb Gem Uncirculated

1999年匯豐銀行100元·幸運號DN444444·PMG 67EPQ

Estimate HK\$2,500-4,000



1307

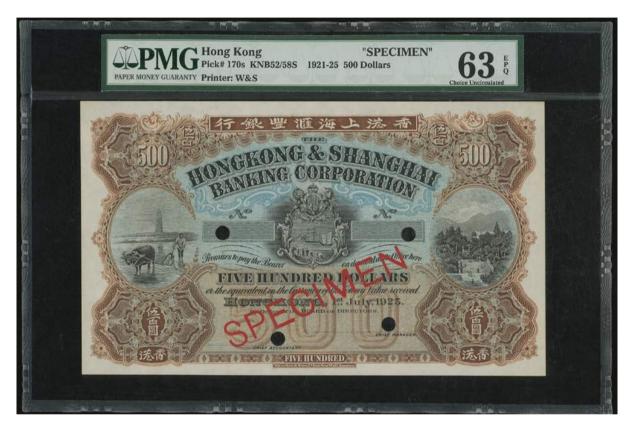
The Hongkong and Shanghai Banking Corporation, \$100, 1.1.2000, solid serial number GP777777, (Pick 203c), PMG 66EPQ Gem Uncirculated

2000年匯豐銀行100元·幸運號GP777777·PMG66EPQ

Estimate HK\$2,500-4,000



A CHOICE BUFFALO \$500 SPECIMEN IN 63EPQ 「大水牛」500元樣票,63EPQ



1308

The HongKong and Shanghai Banking Corporation, \$500, specimen, 1.7.1925, without serial numbers, brown, black and blue, bank coat of arms at upper centre, peasant farming with a buffalo at left, Chinese garden at right, 'SPECIMEN' overprinted in red diagonally at centre, reverse green and black, the old bank building at centre, (Pick 170s), PMG 63EPQ Choice Uncirculated. An iconic note in the Hong Kong series and one of a very few awarded an 'EPQ' status for fresh and lovely paper.

1925年匯豐銀行500元樣票·PMG 63EPQ·港鈔經典版別·獲評EPQ級別更見稀有·不容錯過的美品

Estimate HK\$160,000-200,000





The Hongkong and Shanghai Banking Corporation, \$500 specimen, 1.7.1930, serial number B000000, purple-brown and pink and multicolour, bank coat of arms at centre, maiden with stone tablet at right, perforated 'CANCELLED', (Pick 177s), PMG 64NET Choice Uncirculated (Discolouration, Repaired)

1930年匯豐銀行500元樣票,編號B000000,PMG 64NET,有修補及褪色

Estimate HK\$50,000-60,000



1310

The HongKong and Shanghai Banking Corporation, \$500, 1.7.1930, serial number B248678, purple and multicolour, seated maiden with a stone table at right, handsigned at lower left and right, (Pick 177b), PMG 8 Very Good (Splits)

1930年匯豐銀行500元·編號B248678·PMG 8·有裂

Estimate HK\$15,000-20,000



A JACKSON \$500 SPECIMEN IN HIGHEST GRADE, PMG 67EPQ 最高評分「光頭佬」500元樣票,PMG 67EPQ



1311

The HongKong and Shanghai Banking Corporation, \$500, specimen, no date (1935-69), without dates or signatures, brown, blue and multicoloured, Sir Thomas Jackson at right, coat of arms top centre, watermark of a woman in classical style at left, reverse blue, bust of woman at left and old HSBC building at centre, (Pick 179s), PMG 67EPQ Superb Gem Uncirculated. Only one of two notes in this highest grade in the PMG population report.

1935-69年匯豐銀行500元樣票,無日期,PMG 67EPQ,紀錄中最高評分

Estimate HK\$38,000-50,000

A 1937 KEY DATE \$500! 1937年500元·重要年份!



1312

The Hongkong and Shanghai Banking Corporation, \$500, 1.7.1937, serial number C271064, brown, blue and multicoloured, Sir Thomas Jackson at right, coat of arms top centre, watermark of a woman in classical style at left, handsigned at lower left, reverse blue, bust of woman at left and old HSBC building at centre, PMG 30NET (Stains, Small Tear). Key date of the series and rare in this original condition

1937年匯豐銀行500元·編號C271064·PMG 30NET·有微裂及輕微銹漬·重要年份·罕見原裝票

Estimate HK\$35,000-50,000





The Hongkong and Shanghai Banking Corporation, \$500, 1.8.1952, serial number D860804, brown, blue and multicoloured, Sir Thomas Jackson at right, coat of arms top centre, watermark of a woman in classical style at left, reverse blue, bust of woman at left and old HSBC building at centre, (Pick 179b), PMG 40 Extremely Fine (Minor Repair) and a nice earlier than than usually encountered

1952年匯豐銀行500元·編號D860804·PMG 40·輕 微修補, 較少見的早日期

Estimate HK\$4,500-6,000



1314

The Hongkong and Shanghai Banking Corporation, \$500, 1.3.1966, serial number E993077, (Pick 179c), PMG 55 About Uncirculated and a scarcer date

1966年匯豐銀行500元·編號E993077·PMG 55·罕見年份

Estimate HK\$4,000-6,000



1315

The HongKong and Shanghai Banking Corporation, \$500, 31.3.1976, serial number \$258798, (Pick 186c), uncirculated, the first of a consecutive pair. Currently in PMG. We will update the grade online before the sale

1976年匯豐銀行500元·編號S258798·UNC·與下一項拍品為連號票·UNC·PMG送評中·評分資訊將稍後上載本公司網頁



1316

The HongKong and Shanghai Banking Corporation, \$500, 31.3.1976, serial number \$258799, (Pick 186c), uncirculated, consecutive to the preceding lot. Currently in PMG. We will update the grade online before the sale

1976年匯豐銀行500元·編號S258799·UNC·與上一項拍品為連號票·UNC·PMG送評中·評分資訊將稍後上載本公司網頁



1317

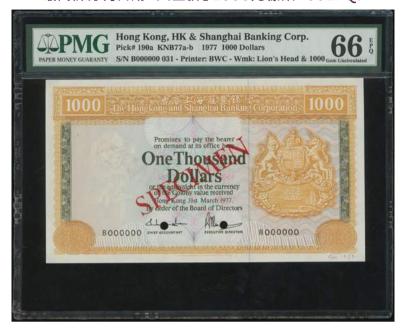
The Hongkong and Shanghai Banking Corporation, \$500, 1.1.1999, solid serial number BD444444, (Pick 204d), PMG 67EPQ Superb Gem Uncirculated

1999年匯豐銀行500元·幸運號BD444444·PMG67EPO

Estimate HK\$4,500-6,000



THE HIGHEST GRADED \$1000 SPECIMEN IN 66EPQ! 最高評分有日期「大金獅」1000元樣票, 66EPQ!



1318

The Hongkong and Shanghai Banking Corporation, \$1000, specimen, 31.3.1977, serial number B000000, orange on multicolour, supported arms at right, watermark at left, (Pick 190a), PMG 66EPQ Gem Uncirculated. A superb note in two ways. Firstly, specimens of this series is rarely found with the date, signature and serial numbers printed. Secondly, this note is the single highest graded note with the dates as the other two specimens with the 66EPQ grade are the undated types. Extremely rare

1977年匯豐銀行1000元樣票·編號B000000·PMG 66EPQ·不可多得之佳品·首先·此版別樣票甚少能集日期、簽名及編號三者於一身·其次·本鈔是紀錄中最高分之唯一一枚帶日期版·其餘2枚66EPQ均為無日期版·極罕

Estimate HK\$45,000-60,000



1319

The Hongkong and Shanghai Banking Corporation, 2x \$1000, 1.1.1991, consecutive serial number CE561102-103, (Pick 199c), both graded PMG 66EPQ Gem Uncirculated (2)

1991年匯豐銀行1000元連號2枚·編號CE561102-103·均PMG 66EPQ

Estimate HK\$3,000-5,000



1320

The Hongkong and Shanghai Banking Corporation, \$1000, 1.1.1991, serial number CE561107, (Pick 199c), PMG 66EPQ Gem Uncirculated

1991年匯豐銀行1000元·編號CE561107·PMG66EPQ

Estimate HK\$1,500-2,500





The Hongkong and Shanghai Banking Corporation, \$1000, 1.9.2000, replacement serial ZZ067927, (Pick 206a*), PMG 68EPQ* Superb Gem Uncirculated and most lovely

2000年匯豐銀行1000元·補號ZZ067927·PMG68EPQ*

Estimate HK\$3,000-4,500



1322

The Hongkong and Shanghai Banking Corporation, \$1000, 1.7.2003, low replacement serial ZZ000031, (Pick 211a*), PMG 58 Choice About Uncirculated

2003年匯豐銀行1000元·補版細號ZZ000031·PMG 58

Estimate HK\$2,500-3,500



A MAGNIFICENT GROUP OF ARCHIVAL PROOFS AND SPECIMENS 經典「中張」檔案樣票一組



1323

The Hongkong and Shanghai Banking Corporation, group of 9 progressive proofs/specimens for \$5, \$10 and \$100, series of 1959, each denomination consists of the following: 1. partial printing of the obverse with full printing of the reverse 2. fully printed proof without 'SPECIMEN' 3. fully printed proof with 'SPECIMEN' printed diagonally on both sides, (Pick 181p to 183p), PMG 35 to 63 with printer's annotations and previously mounted. A super group with similar matching notes for each deomination (9)

匯豐銀行1959年系列5,10及100元試印樣票及樣票一組9枚,每個面值都包括:

- 1. 部分正面之設計及整個背面設計
- 2. 無" " SPECIMEN" " 字樣的樣鈔
- 3. 雙面均對角印上" " SPECIMEN" "字樣的樣鈔

PMG35至63·有印鈔公司手寫注解·及有黏貼痕跡·無與倫比的一組隹品

Estimate HK\$85,000-100,000



AN EXTREMELY RARE SET OF SPECIMENS IN A VIP PRESENTATION ALBUM 極罕樣票組·連VIP封套



1324

Hong Kong & Shanghai Banking Corporation, a scarce specimen set of \$10, \$50, \$100, \$500 and \$1000, 31st March 1980, all without prefix, bearing serial number 000031, red 'SPECIMEN' stamped on obverse and reverse, the notes were placed in thick cardboard frame and bound together as souvernir album, produced in 1985, presented to a Japanese VIP by the bank, well preserved, first seen and extremely rare (5)

1980年匯豐銀行樣票一組5枚·包括10、50、100、500及1000元·相同編號000031·保存完好·珍罕

Estimate HK\$60,000-100,000





The Hongkong and Shanghai Banking Corporation, \$10, specimen, 1.1.1985, serial number AA000000, 'SPECIMEN' printed diagonally in red on both sides, (Pick 191s), PMG 65EPO Gem Uncirculated

1985年匯豐銀行10元樣票·編號AA000000·PMG65EPO

Estimate HK\$3,500-5,000



1326

The Hongkong and Shanghai Banking Corporation, \$20, specimen, 1.1.1986, serial number AA000000, 'SPECIMEN' printed diagonally in red on both sides, (Pick 192s), PMG 66EPQ Gem Uncirculated

1986年匯豐銀行20元樣票·編號AA000000·PMG 66EPQ

Estimate HK\$4,000-6,000



1327

The Hongkong and Shanghai Banking Corporation, \$50, specimen, 1.1.1985, serial number AA000000, 'SPECIMEN' printed diagonally in red on both sides, (Pick 193s), PMG 67EPO Superb Gem Uncirculated

1985年匯豐銀行50元樣票·編號AA000000·PMG 67EPO

Estimate HK\$5,000-7,000



1328

The Hongkong and Shanghai Banking Corporation, \$100, specimen, 1.1.1985, serial number AA000000, 'SPECIMEN' printed diagonally in red on both sides, (Pick 194s), PMG 66EPQ Gem Uncirculated

1985年匯豐銀行100元樣票·編號AA000000·PMG66EPO

Estimate HK\$5,000-7,000





The Hongkong and Shanghai Banking Corporation, \$500, specimen, 1.1.1987, serial number AA000000, 'SPECIMEN' printed diagonally in red on both sides, (Pick 195s), PMG 66EPO Gem Uncirculated

1987年匯豐銀行500元樣票·編號AA000000·PMG66EPO

Estimate HK\$6,000-8,000



1330

The Hongkong and Shanghai Banking Corporation, \$1000, specimen, 1.1.1985, serial number AA000000, 'SPECIMEN' printed diagonally in red on both sides, (Pick 196s), PMG 66EPQ Gem Uncirculated

1985年匯豐銀行1000元樣票·編號AA000000·PMG66EPQ

Estimate HK\$7,000-10,000



1331

The Hongkong and Shanghai Banking Corporation, \$1000, specimen, 1.1.1988, serial number AK000000, 'SPECIMEN' printed diagonally in red on both sides, (Pick 199s), PMG 66EPO Gem Uncirculated

1988年匯豐銀行1000元樣票·編號AK000000·PMG66EPQ

Estimate HK\$7,000-10,000







1332* Hong Kong, bronze 1 mil, 1863, *PCGS MS65RB* 1863年香港1文· PCGS MS65RB

Estimate HK\$3,000-5,000





1333 Hong Kong, bronze 1 mil, 1863, *PCGS MS64RB* 1863年香港1文· PCGS MS64RB

Estimate HK\$2,000-3,500





1334 Hong Kong, bronze 1 mil, 1865, without hyphen, *PCGS MS64BN*

1865年香港1文· HONG KONG 之間無連字號· NGC MS64BN

Estimate HK\$2,000-3,500





1335

Hong Kong, bronze 1 mil, 1865, very good luster, PCGS MS65+RD, only 2 with same grade and 3 graded higher in PCGS population, thus rare.

1865年香港1文·PCGS MS65+RD·極為吸引的原光·僅有2枚同分·3枚更高分·罕見

Estimate HK\$2,500-5,000





1336*

Hong Kong, bronze 1 mil, 1865, *ICG MS66BN* 1865年香港1文·ICG MS66BN

Estimate HK\$3,500-5,000





1337

Hong Kong, copper 1 mil, 1866, *PCGS MS63RB*. 1866年香港1千·PCGS MS63RB

Estimate HK\$1,000-2,500





1338

Hong Kong, bronze 1 mil, 1866, PCGS MS64RD. Most lustrous and lovely

1866年香港1千·PCGS MS64RD·帶原光美品

Estimate HK\$1,500-2,500





1339

Hong Kong, bronze 1 mil, 1866, good luster, PCGS MS65RD.

1866年香港1千·極美原光·PCGS MS65RD

Estimate HK\$2,000-5,000





1340

Hong Kong, bronze 1 cent, 1865, proof, medal die axis, *PCGS PR65BN*, rare.

1865年香港1仙樣幣, PCGS PR65BN, 罕見

Estimate HK\$10,000-20,000







1341 Hong Kong, bronze 1 cent, 1919H, NGC MS64RB 1919H香港1仙·NGC MS64RB

Estimate HK\$1,000-2,000





1342 Hong Kong, bronze 1 cent, 1919-H, *PCGS MS64RB*

1919-H香港1仙·PCGS MS64RB

Estimate HK\$1,500-2,500





1343 Hong Kong, bronze 1 cent, 1924, *PCGS MS64RB* 1924年香港1仙 · PCGS MS64RB

Estimate HK\$1,500-2,500

1344 No Lot





1345

Hong Kong, silver 5 cents, 1899, Victoria on obverse, PCGS MS67, very high grade, only 1 graded higher in PCGS population.

1899年香港5仙·PCGS MS67·極高評分·PCGS記錄中僅得一枚獲更高分數

Estimate HK\$3,500-7,000





1346 Hong Kong, silver 5 cents, 1901, *PCGS MS64* 1901年香港5仙 · PCGS MS64

Estimate HK\$500-1,000





1347 Hong Kong, silver 5 cents, 1933, PCGS MS66+ and scarce at this grade

1933年香港5仙·PCGS MS66+·罕見高分

Estimate HK\$1,200-2,500





1348 Hong Kong, silver 10 cents, 1866, 10 pearls, (KM-6.2), *PCGS MS63*1866年香港1毫·十珠版·PCGS MS63

Estimate HK\$2,500-5,000







1349 Hong Kong, silver 10 cents, 1902, Edward VII on obverse, *PCGS MS62*. 1902年香港1毫·PCGS MS62

Estimate HK\$1,000-2,000





1350 Hong Kong, silver 20 cents, 1873, *PCGS XF40* 1873年香港2毫· PCGS XF40

Estimate HK\$3,000-6,000





1351 Hong Kong, silver 20 cents, 1888, *PCGS XF45* 1888年香港2毫· PCGS XF45

Estimate HK\$1,000-1,500



Hong Kong, group of 6x silver 20 cents, 1888, 1889, 1890, 1892, 1894 and 1896, extremely fine to about uncirculated (6)

香港2毫一組6枚·包括1888, 1889, 1890, 1892, 1894及 1896年版別·EF至AU

Estimate HK\$600-1,200



1353

Hong Kong, group of 6x silver 20 cents, 1891, 1892, 1893, 1894, 1895 and 1896, in general extremely fine to about uncirculated (6)

香港2毫一組6枚·包括1891, 1892, 1893, 1894, 1895 及 1896年版別·EF至AU

Estimate HK\$800-1,600





Hong Kong, group of 6x silver 20 cents, 1866, 1868, 1883, 1885, 1887 and 1888, mixed condition, very fine to about uncirculated (6)
香港2毫一組6枚 · 包括1866, 1868, 1883, 1885, 1887

及 1888年版別·品相不一·VF至AU

Estimate HK\$600-1,200



1355 Hong Kong, group of 8x silver 20 cents, 1886, 1889, 1890, 1891, 1895, 1897, 1902 and 1904, in general extremely fine to about uncirculated (8) 香港2毫一組8枚·包括1886, 1889, 1890, 1891, 1895, 1897, 1902 及 1904年版別·EF至AU

Estimate HK\$1,500-2,500



1356*
Hong Kong, silver 50 cents, 1890, NGC UNC Details (Stained) and a lovely coin in other aspects 1890年香港半圓·NGC UNC Details (有銹點)·罕見美品

Estimate HK\$25,000-30,000







1357 Hong Kong, silver 50 cents, 1891-H, *PCGS AU55* 1891-H年香港半圓 · PCGS AU55

Estimate HK\$5,000-7,000





1358*
Hong Kong, bronze 1 cent, 1931, PCGS
MS66+RD

1931年香港1仙, PCGS MS66+RD

Estimate HK\$2,200-4,000





1359

Hong Kong, lot of 5x 10 cents 1949 and 5x 10 cents, 1950, the 1949's: Zhong Qian MS62 (4), MS63 (1). The 1950's Zhong Qian AU58 (1), MS62 (2), MS63 (1) and MS64 (1). Total of 10 coins

香港1毫一組10枚 · 1949年及1950年各5枚 · 1949年中 · 4枚中乾評MS62 · 1枚MS63 · 1950年5枚分別中乾評AU58, MS62 (2枚), MS63及MS64

Estimate HK\$800-1,600





1360 Hong Kong, copper nickel 50 cents, 1951, (KM-27.1), *PCGS MS65* 1951年香港5臺·PCGS MS65

Estimate HK\$800-1,600





1361 Hong Kong, nickel brass 5 cents, 1960, *PCGS MS64* 1960年香港5仙·PCGS MS64

Estimate HK\$650-1,300





1362 Hong Kong, nickel brass 10 cents, 1950, *PCGS MS64*1950年香港1毫·PCGS MS64

Estimate HK\$650-1,300





1363 Hong Kong, nickel brass 10 cents, 1951, *PCGS MS64* 1951年香港1毫·PCGS MS64

Estimate HK\$800-1,600







1364 Hong Kong, nickel brass 10 cents, 1955, PCGS MS64

1955年香港1毫·PCGS MS64

Estimate HK\$500-1,000





1365 Hong Kong, nickel brass 10 cents, 1959-H, *PCGS MS63*

1959-H年香港1毫·PCGS MS63

Estimate HK\$400-800





1366 Hong Kong, nickel brass 10 cents, 1960, *PCGS MS64* 1960年香港1毫·PCGS MS64

Estimate HK\$650-1,300





1367 Hong Kong, nickel brass 10 cents, 1963-KN, *PCGS MS64* 1963-KN香港1毫·PCGS MS64

Estimate HK\$500-1,000



1368

Hong Kong, a group of 19x nickel brass 10 cents, including: 1957H (1), 1958KN (1), 1959H (2), 1960 (1), 1960H (1), 1961 (1), 1961H (1), 1963H (2), 1964 (2), 1964H (2), 1965 (2), 1965H (1), 1965KN (1) and 1968H (1), uncirculated (19)

1975H-1968H香港1毫一組19枚·UNC

Estimate HK\$600-1,200





1369 Hong Kong, nickel brass 10 cents, 1980, NGC MS64

1980年香港1毫, NGC MS64

Estimate HK\$500-1,000



1980年香港1毫·NGC MS66



1370 Hong Kong, nickel brass 10 cents, 1980, NGC MS66

Estimate HK\$1,800-2,800





1371 Hong Kong, 5x nickel brass 10 cents, 1980, all in NGC holder, two graded MS62, three graded MS63 (5) 1980年香港1毫一組5枚·2枚評NGC MS62·3枚MS63 Estimate HK\$1,500-2,500





1372 Hong Kong, copper nickel 50 cents, 1958-H, *PCGS MS67*1958-H香港5毫·PCGS MS67

Estimate HK\$600-1,200





1373 Hong Kong, copper nickel 50 cents, 1960, *PCGS MS64* 1960年香港5毫·PCGS MS64

Estimate HK\$700-1,400





1374 Hong Kong, 5 cents, specimen, 1971-KN, *PCGS SP66 and nice* 1971-KN香港5仙樣幣·PCGS SP66·美品





Estimate HK\$1,500-2,000

1375
Hong Kong, 10 cents, specimen, 1975, PCGS
SP67, one of four coins in the highest grade in the PCGS
population report!

1975年香港1毫樣幣 · PCGS SP67 · 紀錄中得分最高4枚之一

Estimate HK\$1,800-2,400





1376 Hong Kong, 50 cents, specimen, 1977, PCGS SP65+, the third highest grade in the PMG population report with only 2 coins graded higher

1977年香港5毫樣幣·PCGS SP65+·紀錄中第三高分

Estimate HK\$1,000-1,500





1377 **Hong Kong,** 10 cents, specimen, 1978, *PCGS SP65* 1978年香港1毫樣幣 · PCGS SP65

Estimate HK\$1,000-1,500







1378

Hong Kong, 20 cents, specimen, 1985, *PCGS SP66*, the second highest grade in the PMG population report 1985年香港2毫樣幣·PCGS SP66·紀錄中第二高分

Estimate HK\$1,300-2,000





1379

Hong Kong, gold proof \$1000, 1978, Lunar series, Year of the Horse, NGC PF 70 Ultra Cameo, only 12 coins at this top grade in NGC population.

1978年香港十二生肖馬年1000元紀念金幣·NGC PF 70·僅有12枚獲此最高分

Estimate HK\$7,000-10,000





1380

Hong Kong, gold proof \$1000, 1982, Year of the Dog, NGC PF69 Ultra Cameo

1982年香港十二生肖狗年1000元金幣·NGC PF69 Ultra Cameo

Estimate HK\$8,000-10,000





1381

Hong Kong, gold proof \$1000, 1982, Year of the Dog, NGC PF70 Ultra Cameo and the highest grade attainable!

1982年香港十二生肖狗年1000元金幣·NGC PF70 Ultra Cameo·最高評分!

Estimate HK\$7,000-10,000





1382

Hong Kong, gold \$1000, 1983, Lunar series, Year of Pig, NGC PF 70 Ultra Cameo, with the original bare

1983年香港十二生肖豬年1000元金幣·NGC PF 70 Ultra Cameo·附原盒

Estimate HK\$7,500-10,000





1383

Hong Kong, gold proof \$1000, 1984, Year of the Rat, NGC PF69 Ultra Cameo

1984年香港十二生肖鼠年1000元金幣·NGC PF69 Ultra Cameo

Estimate HK\$7,000-10,000







1384

Hong Kong, gold proof \$1000, 1986, Year of the Tiger, NGC PF69 Ultra Cameo

1986年香港十二生肖虎年1000元金幣 · NGC PF69 Ultra Cameo

Estimate HK\$8,000-10,000

A GROUP OF HONG KONG ERROR COINS

香港錯體幣一組





1385

Hong Kong, nickel brass 5 cents, 1950, MINT ERROR, obverse lamination, *NGC F12*

1950年香港5仙·正面坯餅剝離錯體·NGC F12

Estimate HK\$800-1,600





1386

Hong Kong, nickel brass 5 cents, 1963, MINT ERROR, defective planchet, *NGC MS65*

1963年香港5仙·坯餅有損錯體·NGC MS65

Estimate HK\$1,600-2,600





1387

Hong Kong, 5 cents, MINT ERROR, 1965, reverse lamination, *PCGS MS62*

1965年香港5仙·背面坯餅剝離錯體·PCGS MS62

Estimate HK\$600-800





1388

Hong Kong, nickel brass 5 cents, 1965, MINT ERROR, obverse lamination, NGC XF45 1965年香港5仙·正面坯餅剝離錯體·NGC XF45

Estimate HK\$550-1,000





1389

Hong Kong, nickel brass 10 cents, 1950, MINT ERROR, obverse lamination, NGC VF35

1950年香港1毫·正面坯餅剝離錯體·NGC VF35

Estimate HK\$1,200-2,200





1390

Hong Kong, nickel brass 10 cents, 1956, MINT ERROR, struck on 3.9g planchet, *PCGS Genuine Damage - VG Detail*

1956年香港1毫·錯鑄3.9克胚餅·PCGS VG Detail·有損

Estimate HK\$950-1,900







1391 Hong Kong, nickel brass 10 cents, 1959H, MINT ERROR, obverse lamination, NGC VF35 1959H香港1毫·正面坯餅剝離錯體·NGC VF35 Estimate HK\$800-1,600





1392 Hong Kong, nickel brass 10 cents, 1963, MINT ERROR, obverse lamination, NGC MS62 1963年香港1毫·正面坯餅剝離錯體·NGC MS62 Estimate HK\$1,500-2,500





1393 Hong Kong, nickel brass 10 cent, 1964, MINT ERROR, reverse lamination, NGC XF45 1964年香港1毫· 背面坯餅剝離錯體· NGC XF45 Estimate HK\$650-1,300





1394 Hong Kong, nickel brass 10 cents, 1965KN, MINT ERROR, reverse struck through, NGC XF40 1965KN香港1毫·背面透打錯體·NGC MS62 Estimate HK\$800-1,600





Hong Kong, 10 cents, MINT ERROR, 1968-H, die chip reverse, *PCGS MS64*

1968-H香港1毫背面鑄模破損錯體 · PCGS MS64 Estimate HK\$600-900





1396 Hong Kong, copper 20 cents, 1975, Mint Error, should be copper nickel but struck on copper planchet, *PCGS AU Detail*, *environmental damage*. 1975年香港2毫·錯鑄銅胚餅·PCGS AU Detail·有環

Estimate HK\$800-2,000





1397 Hong Kong, 10 cents, MINT ERROR, 1979, interior die break reverse, *PCGS MS66* 1979年香港1毫背面鑄模有裂·PCGS MS66

Estimate HK\$800-1,200





1398 Hong Kong, 10 cents, ND (1982-1992), MINT ERROR, blank planchet - type II, *PCGS MS62*1982-1992年香港1毫·白片一枚·PCGS MS62
Estimate HK\$700-1,000





1399

Hong Kong, no denomination, no date, Mint Error, 3.0g nickel blank planchet type-II, should be used for 1937 5 cents, PCGS AU58.

1937年香港5仙白片一枚 · PCGS AU58

Estimate HK\$800-1,600





1400

Hong Kong, nickel 50 cents, 1965-KN, Mint Error, reeded edge, PCGS AU58.

1965-KN香港5毫, 錯邊錯體, PCGS AU58

Estimate HK\$800-1,600





1401

Hong Kong, copper nickel 50 cents, 1967, MINT ERROR, obverse lamination, NGC AU55

1967年香港5毫·正面坯餅剝離錯體·NGC AU55 Estimate HK\$1,200-2,200





1402

Hong Kong, 50 cents, MINT ERROR, 1977, struck on a 3.0 gram planchet, PCGS MS62 1977年香港5毫錯鑄3克坯餅 · PCGS MS62

Estimate HK\$800-1,200





1403

Hong Kong, copper nickel \$1, 1974, MINT ERROR, die chip in "A", of "Dollar", NGC AU53 1974年香港1元 · A字鑄打錯誤 · NGC AU53

Estimate HK\$1,200-2,200





1404

Hong Kong, copper nickel \$1, 1975, MINT ERROR, reverse lamination, NGC AU55 1975年香港1元,坯餅剝離錯體,NGC AU55

Estimate HK\$1,300-2,300





1405

Hong Kong, \$1, ND (1978-2019), MINT ERROR, blank planchet - type II, PCGS MS61 1978-2019年香港1元·白片一枚·PCGS MS61

Estimate HK\$800-1,600







1406 Hong Kong, \$1, MINT ERROR, blank planchet, *PCGS MS62*

香港1元白片錯體 · PCGS MS62

Estimate HK\$800-1,200





1407
Hong Kong, copper nickel \$1, 1979, MINT ERROR, double clipped planchet, *PCGS AU55, rare*

1979年香港1元 · 雙重缺肉錯體 · NGC AU55 · 罕見 Estimate HK\$1,450-2,200





Hong Kong, copper nickel \$1, 1992, MINT ERROR, obverse die break on rim at 10 o'clock position, NGC MS65

1992年香港1元 · 正面鑄打錯體 · NGC MS65 Estimate HK\$1,600-2,600





1409

Hong Kong, copper nickel \$1, 1993, MINT ERROR, die chip on character on reverse, NGC MS61

1993年香港1元· 背面文字鑄打錯體· NGC MS61 Estimate HK\$1,450-2,200





1410

Hong Kong, \$1, MINT ERROR, 1988, 115 degree clockwise rotated dies, *PCGS AU58* 1988年香港1元硬幣115度移位錯體 · PCGS AU58 Estimate HK\$600-900





1411

Hong Kong, \$2, MINT ERROR, 1975, die chip reverse, *PCGS MS62*

1975年香港2元背面鑄模破損錯體·PCGS MS62 Estimate HK\$600-800







1412 Hong Kong, nickel \$2, 1975, Mint Error, 4% clipped planchet, *PCGS MS62*. 1975年香港2元 · 4%缺肉錯體 · PCGS MS62





Hong Kong, copper nickel \$5, 2013, MINT ERROR, 1.3% clipped planchet, *PCGS MS61* 2013年香港5元 · 1.3%缺肉錯體 · PCGS MS61 Estimate HK\$600-800





Estimate HK\$1,000-2,000

1413 Hong Kong, \$2, MINT ERROR, 1994, struck on 6.5g planchet, *PCGS AU55* 1994年香港2元錯鑄6.5克坯餅 · PCGS AU55 Estimate HK\$600-900





1416 **Hong Kong,** \$10, MINT ERROR, 1995, core struck off centre, *PCGS MS62* 1995年香港10元·內圈移位錯體·PCGS MS62 Estimate HK\$3,000-4,500





1414 Hong Kong, nickel \$5, 1981, Mint Error, 3% clipped planchet, *PCGS AU58*. 1981年香港5元 · 3%缺肉錯體 · PCGS AU58 Estimate HK\$1,000-2,000







1417 Great Britain, silver trade dollar, 1897-B, *PCGS MS62*

1897-B英國貿易銀元, PCGS MS62

Estimate HK\$3,000-5,000





1418 Great Britain, silver trade dollar, 1897, NGC MS63, light golden toning 1897年英國貿易銀元·NGC MS63·金黃包漿

Estimate HK\$3,500-5,000



1900-B英國貿易銀元,中乾XF



1419 Great Britain, silver trade dollar, 1900-B, Zhong Qian XF

Estimate HK\$800-1,200





1420 Great Britain, silver trade dollar, 1900B, NGC MS62

1900-B年英國貿易銀元·NGC MS62

Estimate HK\$3,000-5,000





1421 Great Britain, silver trade dollar, 1901-C, (Prid-12), PCGS Genuine Cleaned - AU Detail, dark toning on obverse

1901-C英國貿易銀元 · PCGS AU Detail · 有清洗 · 正面帶深色包漿

Estimate HK\$1,000-2,000





1422 Great Britain, silver trade dollar, 1902-B, Zhong Qian AU

1902-B年英國貿易銀元·中乾AU

Estimate HK\$1,200-1,600







1423 Great Britain, silver trade dollar, 1903-B, Zhong Qian AU

1903-B年英國貿易銀元,中乾AU

Estimate HK\$1,200-1,600





1424 Great Britain, silver \$1, 1903/2-B, Trade dollar, rare overdate, PCGS AU Detail, harshly cleaned. 1903/2-B年英國貿易銀元·PCGS AU Detail·罕見騎字 版,嚴重清洗

Estimate HK\$1,000-3,000





Estimate HK\$3,500-5,000

1425 Great Britain, silver trade dollar, 1903/2-B overdate, PCGS MS62, good lustre 1903/2-B年英國貿易銀元,騎字版,PCGS MS62,帶原 光



1426 Great Britain, silver trade dollar, 1907-B, (Prid-17), PCGS MS64, good lustre and light toning at rim 1907-B年英國貿易銀元·PCGS MS64·帶原光·幣邊輕 微包漿

Estimate HK\$8,000-12,000





1427 Great Britain, silver \$1, 1908-B, Trade dollar, PCGS AU Detail, polished. 1902-B年英國貿易銀元·PCGS AU Detail·曾拋光 Estimate HK\$600-1,500





1428 Great Britain, silver \$1, 1908-B, Trade dollar, NGC MS62. 1908-B年貿易銀元, NGC MS62

Estimate HK\$3,000-6,000







1429 Great Britain, silver \$1, 1908B, Te

Great Britain, silver \$1, 1908B, Trade dollar, *rim toning, good luster, PCGS MS63*.

1908B年英國貿易銀元·幣邊包漿·帶原光·PCGS MS63

Estimate HK\$3,000-6,000





1430 Great Britain, silver \$1, 1909-B, Trade dollar, PCGS AU Detail, tooled.

1909-B年英國貿易銀元 · PCGS AU Detail · 有修補 Estimate HK\$600-1,500





1431 Great Britain, silver trade dollar, 1910/00-B overdate, *PCGS MS62* 1910/00-B年英國貿易銀元·騎字版·PCGS MS62

Estimate HK\$3,800-6,000





1432 Great Britain, silver trade dollar, 1910/00-B overdate, *PCGS MS62*

1910/00-B年英國貿易銀元·騎字版·PCGS MS62 Estimate HK\$3,000-5,000





1433 Great Britain, silver \$1, 1925, Trade dollar, PCGS AU Detail, cleaned.

1925年英國貿易銀元 · PCGS AU Detail · 有清洗 Estimate HK\$600-1,500





1434 Great Britain, silver trade dollar, 1925, (Prid-25), PCGS MS64 with lustre

1925年英國貿易銀元 · PCGS MS64 · 帶原光 Estimate HK\$8,000-13,000

1435 No Lot







1436 Great Britain, silver trade dollar, 1929-B, *PCGS MS64 with dark golden toning* 1929-B年英國貿易銀元 · PCGS MS64 · 帶深色包漿







1437 Great Britain, silver trade dollar, 1929-B, (Prid 26), PCGS MS64, light golden toning on both sides and lovely

1929-B年英國貿易銀元·PCGS MS64·雙面均有輕微 金黃包漿·美品

Estimate HK\$8,000-10,000





1438 Great Britain, silver trade dollar, 1929-B, (Prid-26), PCGS MS64 and with light golden toning 1929-B年英國貿易銀元·PCGS MS64·帶金黃包漿 Estimate HK\$7,000-10,000





1439 Great Britain, silver trade dollar, 1929-B, (Prid-26), PCGS MS64 and lovely 1929-B年英國貿易銀元 · PCGS MS64 · 美品 Estimate HK\$7,000-10,000





Great Britain, silver trade dollar, 1929-B, (Prid-26), PCGS MS65 and with light golden toning around the peripheries

1929-B年英國貿易銀元·PCGS MS65·幣邊帶金黃包糖

Estimate HK\$15,000-20,000





1441 **Great Britain,** silver trade dollar, 1929/1-B, (Prid-26 OD), *PCGS MS64 and lovely* 1929/1-B年英國貿易銀元 · 騎字版 · PCGS MS64 · 美品 Estimate HK\$8,000-10,000







1442

Great Britain, silver trade dollar, 1929/1-B overdate, PCGS MS64, with good lustre and some toning at rim

1929/1-B年英國貿易銀元 · 騎字版 · PCGS MS64 · 帶原 光 · 有包漿

Estimate HK\$8,000-12,000





1443

Great Britain, silver trade dollar, 1929/1-B, (Prid-26 OD), PCGS MS64 and lovely

1929/1-B年英國貿易銀元 · 騎字版 · PCGS MS64 · 美品 Estimate HK\$8,000-10,000





1444

Great Britain, silver trade dollar, 1929/1-B, (Prid-26 OD), *PCGS MS64+ and with hints of honey colour toning. Lovely*

1929/1-B年英國貿易銀元·PCGS MS64+·騎字版·金 黃包漿·極為吸引

Estimate HK\$10,000-15,000





1445

Great Britain, silver trade dollar, 1930, (Prid-28), *PCGS MS64*

1930年英國貿易銀元 · PCGS MS64

Estimate HK\$7,000-10,000





1446

Great Britain, silver \$1, Trade Dollar, 1930, good luster, PCGS MS64.

1930年英國貿易銀元·帶原光·PCGS MS64 Estimate HK\$8,000-12,000





1447

Great Britain, silver trade dollar, 1930, (Prid-28), *PCGS MS64+*

1930年英國貿易銀元 · PCGS MS64+

Estimate HK\$10,000-15,000







1448 Great Britain, silver trade dollar, 1930-B, (Prid-27), *PCGS MS64*

1930-B年英國貿易銀元 · PCGS MS64 Estimate HK\$7,000-10,000





1449 Great Britain, silver trade dollar, 1930-B, (Prid-27), PCGS MS64+ 1930-B年英國貿易銀元 · PCGS MS64+

Estimate HK\$9,000-12,000



Great Britain, group of 8x silver trade dollars, 1897, 1898B, 1899B, 1900B, 1908B, 1909B, 1911B and 1929B, in general about uncirculated, the 1908B lightly toned at obverse and the 1929B with a chopmark

at reverse centre (8)

英國貿易銀幣一組8枚·包括1897, 1898B, 1899B, 1900B, 1908B, 1909B, 1911B 及 1929B版別·AU品相·1908B正面有包漿·1929B背有戳記

Estimate HK\$5,000-8,000

END OF AUCTION

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Sale No.: CSS57

Sale Title: Banknotes, Bonds & Shares and Coins of China and Hong Kong | Sale Date: 8-9 JANUARY 2021

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First Name	Last Name	provide details of the Lots on which you wish to bid at least 24 hours prior to the sale. All bids will be confirmed		
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Zip Code	Country			
Telephone No.	Mobile No.	HK\$30,000 - 50,000by HK\$2,000 / 3,000 HK\$50,000 - 100,000by HK\$5,000		
Fax No.	Vat No.	HK\$100,000 - 200,000by HK\$10,000		
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				_	
I	I	1 1		1 1	1

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You are responsible for satisfying yourself as to the condition of the goods and the matters referred to in the catalogue description.

3.4 Extensions - Stamps only

3.4.1 If you wish to obtain an expert opinion or Certificate of Authenticity on any Lot (other than a mixed lot or lot containing undescribed stamps) you must notify us in writing not less than forty-eight hours before the time fixed for the commencement of the first session of the auction. If accepted by us, such request shall have the same effect as notice of an intention to question the genuineness or description of the Lot for the purposes of clause 5.9 of these Terms and Conditions and the provisions of clause 5.9 shall apply accordingly.

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- 3.4.2 Notice of a request for an expert opinion or Certificate of Authenticity must give the reason why such opinion is required and specify the identity of your proposed expert which will be subject to agreement by us. We reserve the right, at our discretion, to refuse a request for an expert opinion or Certificate of Authenticity including (without limitation) where the proposed expert is not known to us.
- 3.4.3 If we accept a request for an expert opinion or Certificate of Authenticity we will submit the Lot to the Expert Committee. You acknowledge and accept that the length of time taken by an Expert Committee to reach an opinion will vary depending on the circumstances and in any event is beyond our control.
- 3.4.4 We will not accept a request for an extension on account of condition. Any Lot described in the catalogue as having faults or defects may not be returned even if an expert opinion or Certificate of Authenticity cites other faults or defects not included in the catalogue description, other than in the case of a Forgery.
- 3.4.5 Should Spink China Limited accept a request for an extension under the foregoing provisions of this paragraph, the fact may be stated by the Auctioneer from the rostrum prior to the sale of the Lot.
- 3.4.6 It should be noted that any stamp accompanied by a Certificate of Authenticity is sold on the basis of that Certificate only and not on the basis of any other description or warranty as to authenticity. No request for an extension will be accepted on such a stamp and the return of such a stamp will not be accepted.
- 3.4.7 If you receive any correspondence from the Expert Committee in relation to the Lot, including but not limited to a Certificate of Authenticity, you must provide us with copies of such correspondence no later than 7 days after you receive such correspondence.

4 AT THE SALE

4.1 Refusal of admission

Our sales usually take place on our own premises or premises over which we have control for the sale, and we have the right, exercisable at our complete discretion, to refuse admission to the premises or attendance at an auction.

4.2 Registration before bidding

All bidders must be registered either by completing a registration form or creating an account online. Please be aware that we usually require buyers to present identification before making a bid at auction, undergo a credit check or provide a trade reference. If you have not bid successfully with Spink in the past, or you are registering with us for the first time, we reserve the right to require a deposit of up to 50% of the amount you

intend to spend. Such deposit will be deducted from your invoice should you be successful. If you are unsuccessful at auction, your deposit will be returned by the same means it was paid to Spink.

Some lots may be designated, prior to the auction, as "Premium lots", which means a deposit may be required before placing a bid on the item for sale. Information will be posted on our website in such an event.

4.3 Bidding as Principal

When making a bid (whether such bids are made in person or by way of telephone bids operated by Spink, commission or online or email bids), you will be deemed to be acting as principal and will be accepting personal liability, unless it has been agreed in writing, at the time of registration, that you are acting as agent on behalf of a third party buyer acceptable to us.

4.4 Commission Bids

If you give us instructions to bid on your behalf, by using the form provided in our catalogues or via our website, we shall use reasonable endeavors to do so, provided these instructions are received not later than 24 hours before the auction. If we receive commission bids on a particular Lot for identical amounts, and at auction these bids are the highest bids for the Lot, it will be sold to the person whose bid was received first. Commission bids are undertaken subject to other commitments at the time of the sale, and the conduct of the auction may be such that we are unable to bid as requested. Since this is undertaken as a free service to prospective buyers on the terms stated, we cannot accept liability for failure to make a commission bid. You should therefore always attend personally if you wish to be certain of bidding. All commission bids should be sent to 4&5/F, Hua Fu Commercial Building, 111 Queen's Road West, Hong Kong up till 24 hours prior to the sale.

4.5 On-line Bidding

We offer internet services as a convenience to our clients. We will not be responsible for errors or failures to execute bids placed on the internet, including, without limitation, errors or failures caused by (i) a loss of internet connection by either party for whatever reason; (ii) a breakdown or problems with the online bidding software and/or (iii) a breakdown or problems with your internet connection, computer or system. Execution of on-line internet bids on www.spink.com and Spink Live is a free service undertaken subject to other commitments at the time of the auction and we do not accept liability for failing to execute an online internet bid or for errors or omissions in connection with this activity.

4.6 Telephone Bids

If you make arrangements with us not less than 24 hours before the sale, we shall use reasonable endeavors to contact you to enable you to participate in bidding by telephone, but in no circumstances will we be liable to either the Seller or you as a result of failure to do so.

4.7 Currency Converter

At some auctions, a currency converter will be operated, based on the one month forward rates of exchange quoted to us by HSBC or any other appropriate rate determined by us, at opening on the date of the auction. Bidding will take place in a currency determined by us, which is usually Hong Kong dollars for auctions held in Hong Kong. The currency converter is not always reliable, and errors may occur beyond our control either in the accuracy of the Lot number displayed on the converter, or the foreign currency equivalent of Hong Kong dollar bids. We shall not be liable to you for any loss suffered as a result of you following the currency converter.

4.8 Video images

At some auctions there will be a video screen. Mistakes may occur in its operation, and we cannot be liable to you regarding either the correspondence of the image to the Lot being sold or the quality of the image as a reproduction of the original.

4.9 Bidding Increments

Bidding generally opens below the low estimate and advances in the following order although the auctioneer may vary the bidding increments during the course of the auction. The normal bidding increments are:

HK\$100 to HK\$2,000 by HK\$100 HK\$2,000 to HK\$3,000 by HK\$200 HK\$3,000 to HK\$5,000 by HK\$200 or HK\$300 HK\$5,000 to HK\$10,000 by HK\$500 HK\$10,000 to HK\$20,000 by HK\$1,000 HK\$20,000 to HK\$30,000 by HK\$2,000 HK\$30,000 to HK\$50,000 by HK\$2,000 or HK\$3,000 HK\$50,000 to HK\$100,000 by HK\$5,000 HK\$100,000 to HK\$200,000 by HK\$10,000 HK\$200,000 to HK\$300,000 by HK\$20,000 HK\$300,000 to HK\$500,000 by HK\$20,000 or HK\$30,000 HK\$300,000 to HK\$500,000 by HK\$20,000 or HK\$30,000

4.10 Bidding by the Spink Group

4.10.1 We reserve the right to bid on Lots on the Seller's behalf up to the amount of the Reserve (if any), which will never be above the low estimate printed in the auction catalogue.

4.10.2 The Spink Group reserves the right to bid on and purchase Lots as principal.

4.10.3 Lots with this symbol indicate that a party has provided Spink with an irrevocable bid on the lot that will be executed during the sale at a value that ensures that the lot will sell. The irrevocable bidder, who may bid in excess of the irrevocable bid, will be compensated based on his bid in the event he or she is not the successful bidder or may receive a fixed fee in the event he or she is the successful bidder. If the irrevocable bidder is the successful bidder, the fixed fee for providing the irrevocable bid may be netted against the irrevocable bidder's obligation to pay the full purchase price for the lot. If the irrevocable bid is not secured until after the printing of the auction catalogue, a pre-sale announcement will be made indicating that there is an irrevocable bid on the lot. If you are interested in placing an irrevocable bid in an auction, please contact us at chairmanoffice@spink.com. Typically, only some of the lots with an estimate, which must exceed £100,000 or equivalent in other currencies, are open to irrevocable bids.

4.11 The Auctioneer's Discretion

The auctioneer has the right at his absolute discretion to refuse any bid to advance the bidding in such manner as he may decide to withdraw or divide any Lot, to combine any two or more Lots and, in the case of error or dispute, to put an item up for bidding again.

4.12 Successful Bid

Subject to the auctioneer's discretion, the striking of his hammer marks the acceptance of the highest bid, provided always that such bid is higher than the Reserve (where applicable), and the conclusion of a contract for sale between you and the Seller.

4.13 After Sale Arrangements

If you enter into any private sale agreements for any Lot with the Seller within 60 days of the auction, we, as exclusive agents of the Seller reserve the right to charge you the applicable Buyer's Premium in accordance with these Terms and Conditions, and the seller a commission in accordance with the terms of the seller's agreement.

4.14 Return of Lot

4.14.1 Once your bid has been accepted for a Lot then you are liable to pay for that Lot in accordance with these Terms and Conditions. If there are any problems with a Lot then you must notify us within 7 days of receipt of the Lot, specifying the nature of the problem. We may then request that the Lot is returned to us for inspection. Save as set out in clause 5.9, the cancellation of the sale of any Lot and the refund of the corresponding purchase price is entirely at our sole discretion. We will not normally exercise that discretion if the Lot is not received by us in the same condition that it was in at the auction date.

4.14.2 No lot may be returned on account of condition if the condition was stated by a third party grading company (including, but not limited to PCGS, NGC, ANACS, ICG, PMG, WBG, Legacy Currency Grading).

5 AFTER THE AUCTION

5.1 Buyer's Premium

In addition to the Hammer Price, you must pay us the Buyer's Premium at a rate of 20% of the final Hammer price of each lot, postage charge and a fee for paying by credit card.

5.2 Payment

5.2.1 You must provide us with your full name and permanent address and, if so requested, details of the bank from which any payments to us will be made. You must pay the full amount due on your invoice within seven days after the date of the sale. This applies even if you wish to export the Lot and an export license is (or may be) required.

5.2.2 You will not acquire title to the Lot until all amounts due to us have been paid in full. This includes instances where special arrangements were made for release of Lot prior to full settlement.

5.2.3 Payment should be made in Hong Kong Dollars by one of the following methods:

(i) Direct bank transfer to our account details of which are set out on the invoice. All bank charges shall be met by you. Please ensure that your client number is noted on the transfer.

(ii) By cheque or bank draft made payable to Spink China Limited. Please note that the processing charges for payments made by cheques or bank drafts drawn on a non-Hong Kong bank shall be met by you. Please ensure that the remittance slip printed at the bottom of the invoice is enclosed with your payment.

(iii) By Visa, Mastercard or CUP. A fee of 4% will be applied. We are not responsible for any foreign exchange losses or charges that you may incur in connection with such card payments.

5.2.4 Payments should be made by the registered buyer and not by third parties, unless it has been agreed at the time of registration that you are acting as an agent on behalf of a third party.

5.3 Notification

We are not able to notify successful bidders by telephone. While Invoices are sent out by mail after the auction we do not accept responsibility for notifying you of the result of your bid. You are requested to contact us by telephone or in person as soon as possible after the auction to obtain details of the outcome of your bids to avoid incurring charges for late payment.

5.4 Collection, Packing and Handling of Purchases

- 5.4.1 Unless specifically agreed to the contrary, we shall retain lots purchased until all amounts due to us, or to the Spink Group, have been paid in full. Buyers will be required to pay for their lots when they wish to take possession of the same, which must be within 7 days of the date of the sale, unless prior arrangements have been made with Spink. Without prior agreement, lots will not be released until cleared funds are received with regard to payments made by cheque.
- 5.4.2 Lots may be collected from 4&5/F, Hua Fu Commercial Building, 111 Queen's Road West, Hong Kong. In the event where a cheque or bank draft payable to Spink China Limited has been presented to us, unless we specifically agree to the contrary, no Lots shall be released before the cheque or bank draft has cleared where such funds have been credited into our bank account.
- 5.4.3 A purchased Lot shall be at your risk in all respects from the time of collection or the expiry of seven days from the date of sale, whichever is sooner, and neither Spink China Limited nor its employees nor agents shall thereafter be liable for any loss or damage of any kind, whether caused by negligence or otherwise, while any Lot is in or under their respective custody or control.
- 5.4.4 If required our shipping department may arrange shipment as your agent. Although we may suggest carriers if specifically requested, our suggestions are made on the basis of our general experience of such parties in the past and we are not responsible to any person to whom we have made a recommendation for the acts or omissions of the third parties concerned.
- 5.4.5 We shall use all reasonable endeavors to take care when handling and packing a purchased Lot but remind you that after seven days or from the time of collection, whichever is sooner, the Lot is entirely at your risk.
- 5.4.6 It is the responsibility of the Buyer to be aware of any Import Duties that may be incurred upon importation to the final destination. Spink will not accept return of any package in order to avoid these duties. The onus is also on the Buyer to be aware of any Customs import restrictions that prohibit the importation of certain collectibles. Spink will not accept return of the Lot(s) under these circumstances. Spink will not accept responsibility for Lot(s) seized or destroyed by Customs.
- 5.4.7 If the Buyer requires delivery of the Lot to an address other than the invoice address this will be carried out at the discretion of Spink.

5.5 Remedies for non-payment or failure to collect purchases

- 5.5.1 If you fail to make payment within seven days of your stipulated payment date set out in your invoice, we shall be entitled to exercise one or more of the following rights or remedies:
- 5.5.1.1 to charge interest at the rate of 2% per month compound interest, calculated on a daily basis, from the date the full amount is due;
- 5.5.1.2 to set off against any amounts which the Spink Group may owe you in any other transaction the outstanding amount remaining unpaid by you;
- 5.5.1.3 we may keep hold of all or some of your Lots or other property in the possession of the Spink Group until you have paid all the amounts you owe us or the Spink Group, even if the unpaid amounts do not relate to those Lots or other property. Following fourteen days' notice to you of the amount outstanding and remaining unpaid, the Spink Group shall have the right to arrange the sale of such Lots or other property. We shall apply the proceeds in discharge of the amount outstanding to us, and pay any balance to you;
- 5.5.1.4 where several amounts are owed by you to the Spink Group in respect of different transactions, to apply any amount paid to discharge any amount owed in respect of any particular transaction, whether or not you so direct;
- 5.5.1.5 to reject at any future auction any bids made by you or on your behalf or obtain a deposit from you before accepting any bids.
- 5.5.2 If you fail to make payment within thirty-five days, we shall in addition be entitled:

- 5.5.2.1 to cancel the sale of the Lot or any other item sold to you at the same or any other auction:
- 5.5.2.2 to arrange a resale of the Lot, publicly or privately, and, if this results in a lower price being obtained, claim the balance from you together with all reasonable costs including a 20% seller's commission, expenses, damages, legal fees, commissions and premiums of whatever kind associated with both sales or otherwise, incurred in connection with your failure to make payment; or
- 5.5.2.3 when reselling the Lot, place a notice in our catalogue stating that you successfully purchased the Lot at auction but have subsequently failed to pay the Hammer Price of the Lot; or
- 5.5.2.4 take any other appropriate action as we deem fit.

5.6 Failure to collect

Where purchases are not collected within fourteen days after the sale, whether or not payment has been made, you will be required to pay a storage charge of HKD30 per item per day plus any additional handling cost that may apply. You will not be entitled to collect the Lot until all outstanding charges are met, together with payment of all other amounts due to us.

5.7 Use of Default Information

If you fail to make payment for a Lot in accordance with these Terms and Conditions

- 5.7.1 we reserve the right to refuse you the right to make bids for any future auction irrespective of whether previous defaults have been settled; and
- 5.7.2 you acknowledge that we may (as necessary for our legitimate interests those of other auctioneers and live bidding platforms in referencing customers and avoiding customer defaults) disclose details of such default to other auctioneers and live bidding platforms, which will include your name, address, nature of the default and the date of the default.

Auctioneers or live bidding platforms who receive details of the default may rely on such information when deciding whether to enter into a transaction with you in the future.

5.8 Export License

- 5.8.1 You should always check whether an export licence is required before exporting.
- 5.8.2 Unless otherwise agreed by us in writing, the fact that you wish to apply for an export license does not affect your obligation to make payment within seven days nor our right to charge interest on late payment.
- 5.8.3 We will not be obliged to rescind a sale nor to refund any interest or other expenses incurred by you where payment is made by you despite the fact that an export license is required.

5.9 Refund in the case of Forgery

- 5.9.1 A sale will be cancelled, and the amount paid refunded to you if a Lot (other than a miscellaneous item not described in the catalogue) sold by us proves to have been a Forgery. We shall not however be obliged to refund any amounts if either (a) the catalogue description or saleroom notice at the auction date corresponded to the generally accepted opinion of scholars or experts at that time, or fairly indicated that there was a conflict of opinions, or (b) it can be demonstrated that the Lot is a Forgery only by means of either a scientific process not generally accepted for use until after publication of the catalogue or a process which at the date of the auction was unreasonably expensive or impracticable or likely to have caused damage to the Lot. Furthermore, you should note that this refund can be obtained only if the following conditions are met:
- 5.9.1.1 you must notify us in writing, within seven days of the auction date, that in your view the Lot concerned is a forgery;
- 5.9.1.2 you must then return the item to us within fourteen days, in the same condition as at the auction date: and
- 5.9.1.3 as soon as possible following return of the Lot, you must produce evidence satisfactory to us that the Lot is a Forgery and that you are able to transfer good title to us, free from any third party claims.
- 5.9.1.4 you must provide to us all evidence obtained by you that a Lot is a Forgery no later than 7 days after you receive such evidence.
- 5.9.2 In no circumstances shall we be required to pay you any more than the amount paid by you for the Lot concerned and you shall have no claim for interest.

- 5.9.3 The benefit of this guarantee is not capable of being transferred, and is solely for the benefit of the person to whom the original invoice was made out by us in respect of the Lot when sold and who, since the sale, has remained the owner of the Lot without disposing of any interest in it to any third party.
- 5.9.4 We shall be entitled to rely on any scientific or other process to establish that the Lot is not a Forgery, whether or not such process was used or in use at the date of the auction.

6 LIABILITY

Nothing in these Terms and Conditions limits or excludes our liability for:

- 6.1 death or personal injury resulting from negligence; or
- 6.2 any damage or liability incurred by you as a result of our fraud or fraudulent misrepresentation.

7 USE OF YOUR PERSONAL INFORMATION

- 7.1 We will use the personal information you provide to us as set out in our privacy notice (available at https://spink.com/privacy-policy) and in particular to:
- 7.1.1 process the bids you make on Lots (whether successful or otherwise) and other auction related services we provide:
- 7.1.2 process your payment relating to a successful purchase of a Lot;
- 7.1.3 arrange for delivery of any Lot you purchase, which will include passing your details to shipping providers and, on overseas deliveries, to customs where they make enquiries regarding the Lot;
- 7.1.4 inform you about similar products or services that we provide, but you may stop receiving these at any time by contacting us.
- 7.2 In accordance with clause 4.2, we may pass your information to credit reference agencies in order to obtain credit checks from them, and they may keep a record of any search that they do.
- 7.3 In accordance with clause 5.7, where you default on making payment for a Lot in accordance with these terms and conditions we may disclose details of such default to other auctioneers and live bidding platforms.
- 7.4 We are also working closely with third parties (including, for example, other auctioneers and live bidding platforms) and may receive information about you from them
- 7.5 Where you provide us with personal information about other individuals, you must ensure that your provision of that information is compliant with applicable data protection law.

8 COPYRIGHT

- 8.1 We shall have the right (on a non-exclusive basis) to photograph, video or otherwise produce an image of the Lot. All rights in such an image will belong to us, and we shall have the right to use it in whatever way we see fit.
- 8.2 The copyright in all images, illustrations and written material relating to a Lot is and shall remain at all times our property and we shall have the right to use it in whatever way we see fit. You shall not use or allow anyone else to use such images, illustrations or written material without our prior written consent.

NOTICES

All notices given under these Terms and Conditions may be served personally, sent by 1st class post, or faxed to the address given to the sender by the other party. Any notice sent by post will be deemed to have been received on the second working day after posting or, if the addressee is overseas, on the fifth working day after posting. Any notice sent by fax or served personally will be deemed to be delivered on the first working day following dispatch.

10 ADDITIONAL PROVISIONS

10.1 Limitation of Liability

Subject to clause 6, we shall not be liable, whether in tort (including for negligence) or breach of statutory duty, contract, misrepresentation or otherwise for any:

- 10.1.1 loss of profits, loss of business, depletion of goodwill and/or similar losses, loss of anticipated savings, loss of goods, loss of contract, loss of use, loss of corruption of data or information: or
- 10.1.2 any special, indirect, consequential or pure economic loss, costs, damages, charges or expenses.

10.2 Severability

If any part of these Terms and Condition is found by any court to be invalid, illegal or unenforceable, that part may be discounted and the rest of the conditions shall continue to be valid and enforceable to the fullest extent permitted by law.

10.3 Force majeure

We shall have no liability to you if we are prevented from, or delayed in performing, our obligations under these Terms and Conditions or from carrying on our business by acts, events, omissions or accidents beyond our reasonable control, including (without limitation) strikes, lock-outs or other industrial disputes (whether involving our workforce or the workforce of any other party), failure of a utility service or transport network, act of God, war, riot, civil commotion, malicious damage, compliance with any law or governmental order, rule, regulation or direction, accident, breakdown of plant or machinery, fire, flood, storm or default of suppliers or subcontractors.

10.4 Waiver

- 10.4.1 A waiver of any right under these Terms and Conditions is only effective if it is in writing and it applies only to the circumstances for which it is given. No failure or delay by a party in exercising any right or remedy under these Terms and Conditions or by law shall constitute a waiver of that (or any other) right or remedy, nor preclude or restrict its further exercise. No single or partial exercise of such right or remedy shall preclude or restrict the further exercise of that (or any other) right or remedy.
- 10.4.2 Unless specifically provided otherwise, rights arising under these Terms and Conditions are cumulative and do not exclude rights provided by law.

10.5 Law and Jurisdiction

- 10.5.1 These Terms and Conditions and any dispute or claim arising out of or in connection with them or their subject matter, shall be governed by, and construed in accordance with Hong Kong laws.
- 10.5.2 The parties irrevocably agree that the courts of Hong Kong shall have non-exclusive jurisdiction to settle any dispute or claim that arises out of, or in connection with, Terms and Conditions or their subject matter.

買受人條款及細則(以下亦簡稱為"本文")

以下詳列本公司 Spink China Limited (註冊地址為香港皇后大道西 111 號 4 樓及 5 樓) 作為賣方代理或賣方本人與 閣下(買受人)訂立的合約之條款及細則,閣下應仔細閱讀。

1. 釋義

本文所載的詞彙,除文義另有所指外,下列詞彙用於條款及細則時,具有以下涵義:

買受人酬金 指 閣下應根據本文第5.1條所示的百分率,就每件拍賣品的落梯價支付本公司的酬金;

真實性證書 指由專家委員會發出,用於證明拍賣品真實性的證書;

專家委員會 指由可根據第 3.4.3 條對送達的拍賣品進行評估的專家組成的委員會;

贗品 指構成模仿的拍賣品。**贗**品以欺騙為目的進行整體構思及製作,用以混淆作者、來歷、年代、時期、文化或來源等正確描述並未反映於圖錄當中的內

容,目於拍賣目的價值壞不及符合圖錄說明者。因此,拍賣品概不因任何破壞/或任何類型的修復工作(包括重新上釉)而被列為赝品;

落槌價 指拍賣師落槌決定將拍賣品售予買受人的價格;

拍賣品 指交予本公司拍賣的物品,尤其指在拍賣圖錄內附有編號並加以說明的物品;

保留價 指本公司與賣方協定的拍賣品最低售價; 賣方 指委託本公司出售的拍賣品的擁有人;

Spink Group 指 Spink China Limited、Spink and Son Limited、及本公司之聯營公司;

2 SPINK CHINA LIMITED 作為代理的角色

- 2.1 本公司作為賣方代理亦不時為自行擁有的物品,以拍賣或私人方式進行 銷售。請注意,即使作為賣方代理而非拍賣品擁有人,本公司亦可從拍 賣銷售中受益。
- 2.2 拍賣品的出售合約將在 閣下與賣方之間釐定。
- 2.3 閣下與賣方訂立的買賣合約,將依照拍賣圖錄及宣傳刊物內對該拍賣品 訂下的買賣條款和細則、所列明的任何不包括在出售之列的項目、涉及 之免責聲明等而釐定,亦會根據賣方直接或透過本公司作為賣方的代理 向 閣下提出的相關的條款和細則共同釐定。

3 出售前事宜

3.1 物品檢查

本公司強烈建議 閣下在拍賣開始前,對威興趣的物品親自進行檢查。 品相報告可經申請獲得。除贗品外,本公司不會向 閣下提供任何擔保, 有關贗品之擔保詳見第 5.9 條條款。

3.2 圖錄說明

- 3.2.1 本公司於圖錄或品相報告中,或於其他途徑以口頭或書面形式就 拍賣品的作者、來歷、日期、年代、尺碼規模、材料質量、屬 性、真實性、出處、保存情況、品相或估價等所做之表述僅為意 見陳述,不應被視為最終的事實。圖錄及網上說明僅作參考之 用,不應作為釐定任何物品的色調或顏色之依據。不得以圖錄或網上影像不符為由拒絕成交。亦不得以圖錄或網上影像被刪除、 出現越界、重心偏離、存孔洞或其他特徵等為由拒絕成交。拍賣 品之售價估值不應被視為該拍賣品將要出售之價格或被用於其他 用途之價值。
- 3.2.2 許多物品因年代或性質原因而無法評為品相完整,而圖錄或品相報告內的部份說明乃參考破損及/或修復情况而定。本公司提供該資料僅作參考用途,缺少該等資料並不代表物品不存在瑕疵或未經修復,同樣地,附載特定瑕疵之參考資料也不代表該物品沒有其他瑕疵存在。
- 3.2.3 除第5.9條所載者以及不存在欺詐的情況外,賣方、本公司、本公司任何員工及代理概不就任何拍賣品的作者、來歷、日期、年代、歸屬、真實性或保存情況之表述的準確性,或對拍賣品的任何錯誤說明或任何瑕疵而承擔責任。本條款不含任何形式的擔保。有興趣競投人土應對拍賣品的資料自行判斷。

3.3 買受人之責任

閣下有責任了解清楚拍賣品之品相以及圖錄內的描述。

3.4 評估 — 僅限於郵票

- 3.4.1 若 閣下希望獲得某項拍賣品的專家意見或真實性證書(不包括混合拍賣品或未附有說明的郵票的拍賣品),您最遲必須在拍賣會第一部份開始前的四十八小時內以書面向本公司提出。如我們接受您的請求,該請求將被視為具有與及有意質疑該拍賣品的真實性或描述的通知,並跟第5.9條條款中相關的細則具有相同的效力,第5.9條的條文規定將相應適用。
- 3.4.2 申請提供專家意見或真實性證書之通知書,須清楚列明申請之原因和 閣下舉薦的專家之身份,該專家須經本公司認同。若 閣下推薦的專家不為我方認識時,本公司有權拒絕此項申請,亦有權拒絕引用您推薦的專家的意見而接納上述的申請。
- 3.4.3 如 閣下之申請獲得本公司接納,我方會向專家委員會提交相關

的拍賣品進行評估。閣下務須明白及接受此評估工作所需的時間 會視平該物品情况而定,目不管情况怎樣,皆不受我方控制。

- 3.4.4 本公司不接受以品相為由提出的評估申請。如有拍賣品在圖錄內 已說明存在若干瑕疵(即使專家意見或真實性證書未包括圖錄說 明的瑕疵),買受人不可以此為由要求退貨;價品不在此限。
- 3.4.5 若 Spink China Limited 根據上述條文接受評估申請,拍賣師可就相關事實在出售該拍賣品前在台上作出聲明。
- 3.4.6 請注意,任何附有真實性證書的郵票,均以該證書為基礎出售, 而非基於其他說明或真實性保證出售。任何對此類郵票的評估及 退貨申請,本公司一概不會受理。
- 3.4.7 如您收到專家委員會任何關於該拍賣品的文件,且不僅只限於真 實性證書,您必須在收件後七天內向我們提供該等文件的副本。

4 參與拍賣會須知

4.1 與會規則

本公司舉辦之拍賣會常於本公司或經本公司管理之物業內進行,任何人 十如不遵守我們所訂下的規則,可被拒參與競投活動或進場參觀。

4.2 競投前登記

凡有意參與拍賣者,須在會場出示有效身份明文件並先填寫登記表格,或事前在本公司網站開設賬戶登記,登記手續完成後,本公司會要求您作為買受人接受信用審查或提供業界推薦信。如您從未於 Spink 成功競投,或是第一次於本公司登記,我方有權向您收取不多於 閣下欲競投之金額的百分之五十作為保證金。若 閣下成功競投,此保證金將於發票金額內扣除,若未能成功競投,此保證金將悉數以繳付時的方式退還給您。當某些拍賣品在拍賣會前被定義為"高估價拍賣品"時,競投人士需要在拍賣開始前繳付保證金。"高估價拍賣品"的資料會在拍賣會舉行前於本公司網站公佈。

4.3 本人競投

在進行競投時,除非 閣下在登記時已得到本公司書面同意,接受您作 為第三方買受人之代理人,否則無論您是親身競投,或以電話、委託、 網上或電郵等形式競投,您將被視為為自己競投並須承擔個人責任。

4.4 委託競投

閣下可填妥本公司的圖錄內提供的表格或登人本公司網站委託我們代為競投,我們將在合理的情況下按您的指示競投,惟所有委託書須在拍賣前24小時之內提交,以圖錄的表格作出的委託請於填妥後寄回或送達本公司,地址詳見首頁。倘我方獲另一客戶委託以相同金額競投同一項拍賣品,且在拍賣過程中該競價為最高價,則會將其出售予首先下達委託指令的客人。委託競投受銷售時的其他條款規限,而本公司有可能無法按您要求的方式競投。再者,委託競投是一項根據已列明的條款為潛在買家提供的免費服務,我們不會就未能執行委託競投而承擔任何責任。因此,若 閣下希望能按您的意向出價競投,您應親自出席。

4.5 網上競投

為方便客戶,本公司提供網上競投服務。但我方不會對網上競投時產生的錯誤、或無法競投承擔責任,該等錯誤包括且不限於以下的情況:
(i) 任何導致網絡連接失敗的原因;(ii) 競投軟件出現故障或問題及/或(iii) 客戶的網絡連接、電腦或系統出現故障或問題所導致的錯誤或失敗。為客戶提供網上競投屬於免費服務,並受拍賣時的其他條款規限,故我方不會對客戶未能使用網上競投或遇上相關的錯誤延誤或紕漏而負責。

4.6 電話競投

如 閣下在拍賣會舉行前 24 小時之內與我們作出安排,我們將盡合理的 努力跟您聯繫,使您能通過電話參與競投,然而,不論情况為何,本公 司不會就未能聯繫到 閣下進行電話競投向 閣下或賣方承擔責任。

4.7 貨幣換算工具

某些拍賣會需要於拍賣當日使用貨幣換算工具。本公司採用匯豐銀行向我司所報的壹個月遠期匯率為換算基準,或以本公司在拍賣當日釐定的其他適用匯率為基準。競投價將按本公司釐定的貨幣進行,於香港舉行的拍賣通常以港幣結算。然而,貨幣換算工具未必一定準確可靠,在準確顯示拍賣品編號或港幣競價的等值外幣方面,也可能出現本公司無法控制之錯誤。對於 閣下因使用貨幣換算工具而遭受的任何損失,本公司不會承擔任何責任。

4.8 視頻圖像

部份拍賣會將安裝顯示屏作現場轉播。顯示屏在運行時可能發生錯誤,故本公司不會就拍賣品跟影像之對比或其影像攝影效果有偏差而負責。

4.9 每口叫價規則

開拍價一般低於估價下限,隨後每口叫價按下表順序遞增,惟拍賣師會 在拍賣過程中改變每口叫價增幅。常見的競價增幅如下:

100 港元至 2,000 港元,每次增加 100 港元

2,000 港元至 3,000 港元, 每次增加 200 港元

3,000 港元至 5,000 港元,每次增加 200 或 300 港元

5,000 港元至 10,000 港元, 每次增加 500 港元

10,000 港元至 20,000 港元, 每次增加 1,000 港元

20,000 港元至 30,000 港元,每次增加 2,000 港元

30,000 港元至 50,000 港元, 每次增加 2,000 或 3,000 港元

50,000 港元至 100,000 港元,每次增加 5,000 港元

100,000 港元至 200,000 港元,每次增加 10,000 港元

200,000 港元至 300,000 港元,每次增加 20,000 港元

300,000 港元至 500,000 港元, 每次增加 20,000 或 30,000 港元

500,000港元及以上,每口叫價將由拍賣師在現場決定

4.10 由 Spink Group 競投

- 4.10.1 本公司有權代表賣方競投其拍賣品,而最高競投價只等於保留價 (如有者),即絕不會超過圖錄所載的估價下限。
- 4.10.2 Spink Group 有權以競投者身份為自己競投及購買 拍賣品。
- 4.10.3 凡拍賣品註有(**3**) 符號者則表示已有潛在競投者對該拍賣品向 Spink 作出了「不可撤回的出價」,該「不可撤回的出價」將在競投期間執行,以確保該拍賣品能成功出售。該潛在競投者亦可以以高於其「不可撤回的出價」之競投價參與相關之拍賣,假如該潛在競投者未能成功中標,可以根據他或她的競投價得到補償,假若該潛在競投者為中標人,將可獲得固定費用,並可以此固定費用用作支付該落槌價的一部分。倘若在拍賣圖錄印製之後才收到「不可撤回的出價」,本公司會發出預售公告,表明該拍賣品有此安排。有興趣在拍賣會舉行前作出「出不可撤銷的出價」者,請透過以下電郵與我們聯繫:chairmanoffice@spink.com。在一般情況下,只有具備估值超過拾萬英鎊或等值的貨幣的拍賣品,本公司會接受「不可撤回的出價」。

4.11 拍賣師之決定權

在拍賣過程中,拍賣節有最終決定權拒絕接受任何競投價,亦可行使酌 情權撤回或分拆任何拍賣品,或合併兩個或多個拍賣品供競投,並在出 現錯誤或爭議時重新拍賣有關之拍賣品。

4.12 競投成功

當有競投價高於保留價時(如適用),拍賣師有權決定是否接受該競投價為落燒價,獲接受為落燒價即表示該出價人士作為買受人與賣方已締結銷售合約。

4.13 售後安排

閣下如在拍賣後的60日內就任何拍賣品與賣方訂立任何私人銷售協議, 本公司作為賣方的獨家代理,完全有權根據本文賦予之權利向您收取相 關之買受人酬金及根據賣方協議之條款向賣方收取佣金。

4.14 退貨

- 4.14.1 閣下成功競投某項拍賣品後,便須根據本文所示支付相關貨款及費用給本公司。若 閣下對該拍賣品有任何問題而欲安排退貨,您必須自取貨起七天內聯絡我們並清楚闡明問題之詳細,我們有權要求您將拍賣品送回以作檢查。是否接受取消銷售並退回拍賣品貨款將由本公司酌情決定,情況涉及第5.9 條條款除外。一般而言,若您未能按照拍賣日品相相同的物品退還,本公司將不接受任何退貨或退款之要求。
- 4.14.2 所有拍賣品皆以第三方標準評級公司,例如 PCGS, NGC,

ANACS,ICG,PMG,WBG,Legacy Currency Grading 等對其 品相之評定為準而發售,任何人士成功投得拍賣品後,如欲以品 相因霎而银回有關之拍賣品,本公司一概不會受理。

5. 拍賣後事官

5.1 買受人酬金

除落槌價外,閣下須按各拍賣品的最終落槌價向本公司支付 20%的買受 人酬金,以及以信用卡結帳時之額外行政費用。

5.2 付款方法

- 5.2.1 閣下須向本公司提供您的全名及常住地址,有需要時還須向本公司 提供 閣下匯款給我司的銀行資料。您必須在拍賣舉行後的七日內 全數繳付發票金額。本條文在 閣下擬付運拍賣品到外國,或可能 需要申請出口許可證時仍然適用。
- 5.2.2 在本公司收到您應付之所有款項前,即使我方已與 閣下就拍賣品 的擁有權作出安排,您仍不會獲得拍賣品之所有權。
- 5.2.3 所有交易均以港幣結算,我們接受下列的方式付款:
 - 經由銀行匯款至本公司賬戶,詳情載於發票上。閣下須承擔所有相關的銀行手續費。請確保於匯款單上註明您的客戶編號。
 - ii 以支票或銀行匯票付款,抬頭請寫上 Spink China Limited。請留意,閣下須承擔由非香港註冊的銀行發出的支票或銀行匯票的手續費。並確保連同知於發票底部的匯款憑條客回稅司。
 - iii.以 Visa,萬事達或銀聯咭付款,閣下須支付 4%的行政費。對 閣下因使用信用咭付款而可能承受的任何外匯損失或額外費用, 本公司概不負責。
- 5.2.4 所有款項須由成功競投者並註冊為買受人繳付,如您在登記時已 獲得本公司書面同意接受您作為第三方買受人之代理則不在此限。

5.3 涌知

本公司不會以電話通知每位成功的競投者有關拍賣之結果。雖然發票在 拍賣會結束後寄出,但我們沒有責任通知您競投的結果。您應於拍賣後 盡快親臨或致電本公司查詢,以避免因延遲繳款而要支付的附加費。

5.4 提貨

- 5.4.1 除非在拍賣前已與本公司達成特別協議,否則我們或 Spink Group 在收到所有款項之前會繼續保留相關之拍賣品直至買受人繳付所 有款項,同時,買受人亦須於拍賣日起計七天內完成交易。我們 只會在所有款項收妥人賬後才發貨。
- 5.4.2 拍賣品可於拍賣會結束及完成付款手續後在本公司領取,地址詳 見本文首頁。閣下若以支票或銀行匯票付款,抬頭請寫上 Spink China Limited,除非得到本公司書面同意,在該款項收妥前,閣下 不能領取投得的拍賣品。
- 5.4.3 由領取拍賣品時間起或自拍賣當日起計的第八天(兩者以較早者為準),閣下須承擔拍賣品所有相關的風險,Spink China Limited 及其僱員及代理概不對拍賣品在其保管期間,因任何疏忽或其他原因引起的損失或破壞承擔責任。
- 5.4.4 如有需要,本公司之運輸部可代您安排運送服務。如有特別要求, 我們亦可向您推薦承運商,請緊記,此等建議乃基於相關承運商 與我方的過往合作經驗而作出的,因此,本公司不會就該承運商 為您的貨物付運時的行為或疏忽遺漏而負責。
- 5.4.5 由領取拍賣品時間起或自拍賣當日起計的第八天(以較早者為準), 所有跟裝卸和包裝拍賣品有關的風險須由 閣下承擔。
- 5.4.6 買受人有責任明瞭商品被付運到外地時,當地海關有可能就該次 進口徵收關稅。Spink 不接受以避免關稅為由要求退貨。買受人亦 有責任理解各地海關對不同收藏品的進口限制。Spink 既不就上述 情況接受退貨,亦不就拍賣品被海關沒收或銷毀而負責。
- 5.4.7 若買受人要求把拍賣品送到非發票收件人的地址,我們將酌情處 理該申請。

5.5 未能付款或提貨之補救措施

- 5.5.1 如 閣下未能於發票規定的七日內完成全額付款,本公司有權行使以下一項或多項權利或補救措施:
 - 5.5.1.1 自發票金額到期日起,以月息 2%的複利計算每日向您徵收的利息;
 - 5.5.1.2 以 閣下仍未支付的款項抵銷 Spink Group 在任何其他交易中應付而未付給您的款項;
 - 5.5.1.3 本公司有權保留 閣下存放於 Spink Group 的所有或部份拍 賣品或其他財物,即使這些物品與欠款無關,我方有權以 它們作為擔保,直至您結清拖欠本公司或 Spink Group 的款 項為止。若您在本公司發出催繳欠款通知的十四日後仍未 繳清款項,Spink Group 有權出售該等拍賣品或財物,所得

- 款項用於清償您拖欠之金額,倘有餘額,將退還予閣下;
- 5.5.1.4 不論獲得 閣下授權與否,倘若您跟 Spink Group 有其他交易而支付了若干金額,本公司有權以該金額清償 閣下任何特定交易之欠款;
- 5.5.1.5 在將來的拍賣會上拒絕接受 閣下參與競投或代 閣下作出 任何競投,或在競投前向 閣下收取保證金。
- 5.5.2 倘 閣下未能在三十五日內付款,本公司有權作出下列安排:
 - 5.5.2.1 取消 閣下投得的相關拍賣品或 閣下在同一拍賣會甚至在 其他拍賣會上投得的拍賣品或其他物品的銷售;

 - 5.5.2.3 重新拍賣該件拍賣品時,在圖錄內刊出公告,指出 閣下 為前成功競投者,但隨後未能履行付款責任:
 - 5.5.2.4 採取其他本公司認為合適的行動。

5.6 未能提貨

無論 閣下是否已經付款,若您於貨物出售後十四日內仍未到取,您須 就每件貨物支付每日三十港元之貯存費,另付任何相關的處理費。在結 清所有拖欠本公司的費用及其他款項之前,閣下無權領取拍賣品。

57 違約記錄

若 閣下未能根據本合約之條款及細則繳付應付款項,則

- 5.7.1 無論欠款已繳付與否,我們有權拒絕 閣下將來參與任何本公司舉 辦的拍賣會·
- 5.7.2 為保障本公司合法利益並為其他拍賣公司及網絡競投平台提供客戶資料作參考,以避免出現客戶違約的情況,閣下須接受及明白本公司或會向其他拍賣公司及網絡競投平台披露您違約的事情,當中包括您的姓名、地址、違約性質和日期。這些機構可根據有關的違約資訊決定將來是否接受 閣下參與競投。

5.8 出口許可證

- 5.8.1 安排貨物出口前,閣下須自行核實是否需要申請出口許可證。
- 5.8.2 除非經本公司書面同意,否則申請出口許可證不會影響 閣下須於 七日付款期限向本公司付款之責任,亦不影響本公司向您收取延 遲付款的利息之權利。
- 5.8.3 本公司絕不會就 閣下需要為投得之物品申請出口許可證而撤銷該 銷售,也不會為此而退還您支付的任何利息和相關的費用。

5.9 層品之银款

- 5.9.1 倘本公司出售之拍賣品(圖錄中並無說明的混合物品除外)被證實為贗品,本公司將撤銷該項銷售並向您退還您已支付之款項。但在下列情况下,本公司概不負責退還任何款項給您(a)倘拍賣品圖錄之說明或拍賣當日展廳通告符合學者或專家普遍接受的意見,或已清楚表明此類意見有爭議;或(b)只能用科學方法證明該拍賣品為贋品,但該科學方法是在拍賣品圖錄出版後才被普遍使用;或僅能用某種方法證明該拍賣品為贋品,而使用該種方法涉及龐大費用、不合實際或可能對拍賣品造成損害。此外,閣下應注意,您必須符合下列條件後方可獲得混款:
 - 5.9.1.1 閣下須於拍賣日起計的七日內以書面通知本公司就 閣下 認為相關拍賣品為贗品的原因;
 - 5.9.1.2 閣下須於十四日內將與拍賣日品相相同的物品退還予本公司:及
 - 5.9.1.3 退還拍賣品後,閣下須盡快提供令我們信服的證據,證明相關拍賣品為贗品,並確保您有能力避免有任何第三方申索的情況出現,將物品所有權轉讓予本公司。
 - 5.9.1.4 閣下須在取得證據後七日內向本公司提供該等證據,證 明該拍賣品為赝品。
- 5.9.2 無論情況為何,閣下要求本公司就相關拍賣品向您退還的金額不得超過您實際支付的金額,閣下亦無權要求支付利息。
- 5.9.3 此條款即 5.9 條擔保之利益不得轉讓,僅適用於在本公司成功投得相關拍賣品及收到該拍賣品的銷售發票之人士,並在出售當日起計一直持有該拍賣品之擁有權、且沒有向第三方出售或轉讓該拍賣品任何權益之人士。
- 5.9.4 本公司有權依賴各種科學方法或其他方法證明相關的拍賣品不是 價品,不論該方法於拍賣日是否曾經通用或仍被使用。

- 6.1 因本公司的疏忽造成的人身傷亡;或
- 6.2 因本公司的欺詐行為或失實陳述導致您承受的損失或責任。

7 收集個人資料之用途

- 7.1 有關使用 閣下提供的個人資料之用途詳載於本公司的私隱政策內 (https://spink.com/privacy-policy),務請細閱,使用範圍主要如下列:
 - 7.1.1 就我們提供的拍賣和相關服務,處理您的競投(無論成功與否);
 - 7.1.2 處理 閣下成功投得拍賣品,及向您收取款項的事宜;
 - 7.1.3 代您安排承運商付運拍賣品或當海關向我們查詢有關的付貨人和 拍賣品詳情時,向他們提供所需的資料;
 - 7.1.4 發放我們的服務和產品情報,您可以隨時通知我們停止收取此類 通訊。
- 7.2 根據第 4.2 條條款,本公司會向信貸機構披露您的個人資料作信用審查,他們可能保留相關記錄。
- 7.3 根據第5.7條條款,若 閣下未能履行向本公司付款的責任,我們可以向 其他拍賣公司或網絡競投平台披露有關的壞賬信息。
- 7.4 本公司亦與第三方(包括其他拍賣公司及網絡競投平台等機構)保持緊密聯繫,並可能向他們收集有關您的資料。
- 7.5 閣下向本公司提供有關他人之個人資料時,必須確保所提供之信息符合 《個人資料(私隱)條例》。

8 版權

- 8.1 本公司有權(以非專有權為基礎)對拍賣品進行拍照、攝製或以其他方 法製作拍賣品之影像。該等照片及影像的專有產權均歸本公司所有,本 公司有權以我方認為恰當之方式使用該等影像。
- 8.2 凡出現在本公司的刊物、圖錄及網頁等與拍賣品有關的圖像、插圖、視頻、描述和報導,均屬本公司的版權,我方在任何情況下皆有權使用它們,任何人士未經我們書面同意,不得使用,否則視作侵權行為。

9 通台

按本文所示,當需要發送通告時,派遞方式可以由人手送達、以平郵、空郵 或傳真寄出,經人手送達或傳真發信,於發出後的首個工作日被視為送達, 以平郵寄出者,於寄件後的第二個工作日視為送達,如收件人身在海外需以 空郵派遞,則於寄件後的第五個工作日視為送達該通告。

10 附加條文

10.1 責任上限

就履行第6條條款時,不管是否涉及侵權行為(包括非故意的)、違反法 定義務、違約、失實陳述,或其他原因,本公司一概不承擔以下責任:

- 10.1.1 利潤損失、業務損失、商譽受損或因此引致的損失、預期存款 或貨物損失、違約、失去使用權、數據或信息失效等;或
- 10.1.2 任何特殊的、間接的、衍生、連帶或純經濟損失、成本增加、 損害賠償、費用或開支。

10.2 終止合同條款

倘本文某部份被法庭裁定為無效、不合法或不可强制執行,其餘部份仍 須根據法律及法規執行,各方須遵守及繼續履行其責任。

10.3 不可抗力

凡出於不可抗力原因令我們延遲或不能履行本合約的義務,本公司概毋 須負責。不可抗力的情況不僅只限於自然因素如天災、火災、洪災、地 震或火山爆發等情況,還包括超出我方能合理地控制的範圍,例如:罷 工、停工歇業、第三方事故或疏忽而導致我方不能繼續經營、不論是否 涉及本公司員工或其他行業之員工的勞資糾紛、公共服務或交通網絡攤 瘓、戰爭、暴亂、內亂、惡意破壞、遵從法例或政府命令而照章執行的 事項、意外、工廠損毀或機器故障、供應商或分銷商的違約等。

10.4 豁免權利

- 10.4.1 申請豁免或放棄任何條款或細則之權利,僅接受以書面形式提出且僅適用於提到之特定情況。合約一方未能行使或延遲行使任何條款或細則涵蓋的、或是由法律賦予的權利或補救措施時、又或是只行使某一項或部分的條款或細則或補救措施時,均不會因此而要放棄對該項條款或細則或補救措施之權利,也不會防止或限制其繼續行使本合約內所有的權利和補救措施。
- 10.4.2 除另訂說明外,所有條款及細則訂定的權利均屬可累積的權利,亦包括法律賦予的權利。

10.5 法律及司法管轄區

- 10.5.1 如執行本合約的條件或細則而出現任何爭議或索償情況,將按 照香港法律制度解釋並受香港司法管轄。
- 10.5.2 就上述 10.5.1 條,遇有任何爭議或索償而要訴諸法律,合約雙 方同意受香港法院的非專屬管轄權所管轄並交由香港法院審理。

本文分別以英文版及中文版刊出,若兩者文有歧異,概以英文版本為準。



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