

# the ennismore collection

OF ANGLO-SAXON AND VIKING COINS



15 SEPTEMBER 2020  
LONDON

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# THE ENNISMORE COLLECTION OF ANGLO-SAXON AND VIKING COINS

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	When sending commission bids or making enquiries, this sale should be referred to as <b>ENNISMORE - 20055</b>
Viewing of Lots	At Spink London (with some restrictions)
	Friday 11 September 2020 at 10.00 a.m. – 5.00 p.m.
	Monday 14 September 2020 at 10.00 a.m. – 5.00 p.m.
	VIP preview by appointment at +44 (0)20 7563 4007 on Monday 14 September 2020 at 5.00 p.m. – 7.00 p.m.
	Private viewing by appointment only from Monday 7 September 2020
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## INTRODUCTION

Spink has great pleasure in presenting to you the Ennismore collection, one of the finest assemblages of Anglo-Saxon and Viking coins to appear through these rooms, or indeed in the marketplace in many years. Carefully hand-selected by a well-known industry expert, the quality speaks for itself, and the rarity in many places equally so.

This catalogue will take you on a journey through the most formative years in the history of the British Isles in the aftermath of the Roman province Britannia to the Norman Conquest and from the wilds of 7th Century mysticism and the time of Odin, through to the hegemony of Mercia and the martyrdom of an Anglo-Saxon Saint. Next comes the rise of Wessex, inspired by King Alfred whose numismatic legacy forms the heart of the cabinet you see today. From his lowest ebb in AD 871 when he paid off the Vikings to his resettlement of London which he proudly emblazoned on his most iconic monogram type.

Less well known however is the inspiration this coinage had on the Danelaw, with several imitations presently offered that can be traced back to the famous Cuerdale trove. The rise of the Hiberno-Norse Kingdom of Northumbria then present us the ‘sleeping jewels’ of the collection, all spectacular rarities, especially in their carefully chosen quality. From the frightening prospect of Sihtric ‘the One-Eyed’ to the familiar Ravens of Anlaf Guthfrithsson - the simple world of the bloody-thirsty Viking is transformed by their numismatic ‘communications’ - highly simplistic but equally evocative – and undoubtedly all powerful. From the Triquetra to the Danish standard, the cross Moline to the Sword and Mjölner – all tell a story of a Viking world now largely lost to us. And why? For history is written by the victors, the likes of Aethelstan and Edmund who recaptured York and marked such a triumph on their specie. Then follows the Kings of All England, from the lamentable case of Edward the Martyr to the tribulations of Harefoot’s regency. All retold through the humble but mighty silver Penny, a coin that had by now guaranteed the English monetary system for three centuries. But if the story of Harold II reminds us of everything, nothing should be taken for granted, even if such were to drop from the sky.

**GED**

**TUESDAY 15 SEPTEMBER 2020****Commencing at 6.00 p.m.**

All lots are subject to Terms and Conditions for Buyers printed at the back of this catalogue.

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Any disputes will need to be settled between the buyer and the respective company.

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## Provenance List

‘Astronomer’ [McClean], Sotheby, 11-12 June 1906  
**Bartolomeo Borghesi Museum**, Part II, Raffaele Dura and Sambon (Rome), 24 April 1880  
**Baldwin**, family collection sold privately in trade, from 1970  
**Bascom**, Sotheby, 15-16 June 1914  
**Brice**, collection purchased en bloc by Montagu, 1887  
**Bruun**, Sotheby’s, 18 May 1925  
**Carlyon-Britton**, Sotheby, 17-21 November 1913  
**Carlyon-Britton**, portion of collection offered through Spink Numismatic Circular, Nov-Dec 1919  
**Clonterbrook Trust**, Glendining, 7 July 1974  
**Drabble**, Part I, Glendining, 4 July 1939  
**Drabble**, Part II, Glendining, 13-14 December 1943  
**Grantley**, Part III, Glendining, 22-23 March 1944  
**LaRiviere**, Spink 160, 10 October 2002  
**Lessen**, ‘North Yorkshire Moors’ Part I, DNW 146, 25 April 2018  
**Lockett**, Part I, 6-9 June 1955  
**Lockett**, Part III, Glendining, 4-6 November 1958  
**Lockett**, Part IV, Glendining, 26-27 April 1960  
‘**Magnus**’, Spink 212, 28 March 2012  
‘**Marshall**’, Spink 167, 31 March 2004  
**Martin**, Sotheby, 23 May 1859  
‘**Millennia**’, Goldberg 46, 28 May 2008  
**Montagu**, First Portion, Sotheby, 18-23 November 1895  
**Morrison**, collection by private treaty with Ennismore, 2014  
**Morrison**, collection by private treaty with Ennismore, 2019  
**Murdoch**, Sotheby 11 March 1903  
**Reynolds**, Sotheby, 4 May 1914  
**Robinson**, Christie’s, 25 November 1891,  
**Ridgemount**, Spink 69, 20 April 1989  
**Rashleigh**, Sotheby, 21 June 1909  
**Stack**, Sotheby (New York), 22-23 April 1999  
**Stewartby**, Part I, Spink 234, 22 March 2016  
**Terner**, collection purchased en bloc by Goldberg for ‘Millennia’  
**Wayne**, CNG Triton XIX, 5 January 2016  
**Wayne**, CNG Triton XX, 10 January 2017  
**Webb**, second portion, Sotheby’s, 6-7 August 1895  
**Webb-Ware**, Rasmussen FPL, 17 October 2001  
**Wheeler**, Sotheby 12-14 March 1930





**O**ðen genit wecca-  
 g' wittam. q' g' wicbaga  
 q' genit hoelam ær hem  
 gest.

**O**ðen gen' belær. q' gen  
 Brond. q' g' freothegarum.  
 q' g' freawmum. q' gen  
 wittam. q' g' ealle. q'  
 g' eflam. q' g' eflam q' g'  
 eardic.

**O**ðen g' feochulgeac q' g'  
 waga. q' g' wicbaleig. q'  
 g' wermundum. q' g' ofta.  
 q' g' ongeltheou. q' g' eo  
 merum. q' g' icel. q' g'  
 lubbam. q' g' kinewaldū.  
 q' g' crydā. qui g' bibbam  
 qui genit pendam.

**O**ðen g' urber q' g' brond.  
 qui g' benoc. q' g' aloc. q' g'  
 Angenwita. q' g' linguu. q'  
 g' eflam. q' g' eopam. q' g'  
 Idam. a q' reges northanhymbre  
 ceperunt æigmeas.

**O**ðen genit wegdam q'



## EARLY ANGLO-SAXON ENGLAND



1

**Early Anglo-Saxon England**, 'Secondary Phase' (c. 710-760), Sceat, 'Annulet Cross' type, 1.15g, 12h, 'Odin' head facing, radiating hair around, cross pommée crucifix each side of wiry beard, *rev.* annulet cross pommée, trio of pellets in angles, all in toothed circle and outer plain band (Abramson P780; N.170 variety; S.834 ~ Series X, type 30 [3e]), *a quite medallic striking, attractively centred on a full flan, a thoroughly pleasing coin, good very fine, the reverse type very rare*

## PROVENANCE

**Lord Stewartby**, Part 1, Spink 234, 22 March 2016, lot 52 [with his ticket]

Spink 16, 9-10 July 1981, lot 458

~ Found near Norwich ~

The century succeeding AD 650 was a formative period in the history of this island. From which would emerge a socio-political structure of governance named the 'Heptarchy' {v: 'Seofonrice'} of ruling 'petty' English kingdoms, and a universal religion - Christianity. The independent territories of Kent, East Anglia and Northumbria were among the first to transition away from paganism, thanks to the papal missions of Augustine in AD 595 and Paulinus in AD 604. In the West Midlands, the territory of Mercia proved more resistant to change. Their legendary King Penda waged war against the Northumbrians at Hatfield Chase in AD 633 and again at Maserfield in AD 641/42 where he twice succeeded in killing their king - both slain rulers would later be venerated as Christian martyrs.

Following this initial turbulence, it would appear that the Midlands then experienced a period of sustained prosperity during which time this coinage was struck. East Anglia and Mercia would record lengthy reigns and seamless transitions of Royal power between Ealdwulf (663-713) and Ælfwald (713-749) in the East, and Æthelbald (716-757) in the West. While coining locations have yet to be firmly established, the newly-prospering municipalities of Ipswich and Norwich are probable candidates for mint sites, like that established for Southampton {'Hamwic'}.

The concept of hedging one's religious beliefs is the defining aspect of life in this era, with Pagan and Christian influences clearly visible on this coin. On one side: the imagery of 'Odin', the Norse God of wisdom and death, and on the other: the symbol of a cross pommée redolent those borne on contemporary Heraclian gold Solidii from Byzantium. Both are symbolic, and both allegories of life and death. Perhaps such a message reflects a widely-held insecurity about the spiritual unknown? A similar fear gripped the occupant of the Sutton Hoo ship - a man who quite literally held a drinking horn in one hand, and a baptismal spoon in the other. However, the blending of such imagery may have been intended as message of hope instead - the possibility of life imitating art - side-by-side - two diametrically opposed religions peacefully co-existing. Whatever the true purpose, within mere decades of coining, the monastery at Lindisfarne would be desecrated, and the opinion of this newly fostered Christian isle forever tarnished by the 'heathen'.



Estimate £1,000-2,000



## 'THE ROYAL ENGRAVER'



2

**Mercia, Offa** (757-796), Penny, 'Light Coinage', Canterbury, Eoba, 1.26g, 3h, + O|FF|A R|EX, pellet cross inside lobed quatrefoil set upon a long cross fourchée, pellets in angles, *rev.* diademed and cuirassed bust right, EOBA before, trefoil stops before and between letters (Ruding [1819], pl. IV, no. 19; Lockett [1920], pp. 80 and pl. VIII, no. 15 *same dies*; Chick 112b **this coin**, cf. *The Yorkshire Numismatist* 3 1997, pp. 47, fig. 2 **this coin**; CEB 52; N.306; Spink 905), *a deft edge nick at 8 o'clock, otherwise of sound fabric with an attractively uniform dark cabinet tone, the classical portrait beautifully executed by a London die cutter on behalf of 'the Royal engraver', good very fine, of equal rarity and with an exemplary pedigree*

## PROVENANCE

Private acquisition from Rasmussen (2016)  
From the **LaRiviere** (2002, lot 972); **Webb-Ware** (2001); **Stack** (1999, lot 283); **Ridgemount** (1989, lot 33); Spink Numismatic Circular, (Sept. 1977, no. 8241); **Clonterbrook Trust** (1974, lot 6); **Lockett** (1960, lot 3564); **Reynolds** (1914, lot 6); 'Astronomer' = **McClellan** (1906, lot 85); **Montagu** (1895, lot 188); **Brice** (1887) and **Bartolomeo Borghesi Museum** (1880, lot 1363) Collections.



The numismatic record testifies to the elevated position of the moneyer Eoba within the Mercian Royal court, and loans to us a great number of his issues from the earliest identified in the hegemon's reign through to his Royal apogee - both artistically and imperially. As Gannon has noted: 'Offa's coinage mirrors the richness of Anglo-Saxon art, stress[ing] the experimental, even the exotic, besides the traditional in some designs; thus one can postulate at the wealth of models available, and the taste and curiosity of the King.' Evidently his trusted status, along with that of the contemporary moneyers Ealhraed and Lul(la), enabled Eoba to produce this dramatic series which simultaneously portrayed Offa in the guise of a Roman Emperor, as well as providing the official introduction of his Queen Cynethryth in a tandem issue. Previous interpretation has concluded that Eoba simply miscalculated the first renderings of the dies and mistakenly removed the King's name to the reverse, whilst elevating his own to be that next to the portrait. Ealhraed is known to have performed the same feat on his own issue (Chick 92), before subsequent permutations restore the Royal 'precedent' (Chick 93-98). However, there may be a more practical explanation for this 'mistake', with the obvious clue coming from the highly competent rendering of the 'King'. This has evidently been cut by London diesmiths, and undertaken at a mint site where Offa could have more oversight of his prototype. As Naismith notes: 'Eoba highlights the directness with which Kings could at times take a hand in minting, but also that this role might be mediated through specific moneyers.'

As the procedure added a degree of separation to the usual minting procedure, and in advance of receipt of the new 'model', it is conceivable that the Canterbury moneyers pre-prepared their own reverse dies to marry with the new design. The realisation of the mistake in punching the King's name into their own die, would only be noticed upon receipt of the London hubs, leaving the somewhat awkward 'fudge' to further satisfy the need for the moneyer's name to also appear on the new coin. This would account for the subsequent variants where the legends are swapped as the portraits begin to exhibit local engraving flair (Chick 93-98). There are however other possibilities for this somewhat bizarre marriage with a numismatic precedent found in the Sceatta series of portrait and moneyer types of the earlier 8th Century, as well as the Roman Republican issues of denarii that no doubt appeared to Saxon farmworkers as had the 4th century prototype which first inspired the design of the present coin. Perhaps these iconographic inspirations are also the very source of the metal used to produce this new and highly considered coinage. For Offa perhaps the creation of this portrait was not just a personal boast about being modelled as a Roman Emperor, but in fact a very real statement of his intent his world-view: the renewal, renovation and 'restitution' of Imperial power under him.

## BIBLIOGRAPHY

Rory Naismith, 'Money and Power in Anglo-Saxon England: The Southern-English Kingdoms - 757-865', pp. 151-152  
Anna Gannon, 'The Iconography of Anglo-Saxon Coinage: Sixth to Eighth Centuries', pp. 169

Estimate £10,000-15,000



VIEW LOT



## THE ONLY ANGLO-SAXON ISSUED IN THE NAME OF A QUEEN



3

**Mercia, Cynethryth** († after 798), Penny, 'Light Coinage', Canterbury, Eoba, 1.25g, 12h, + CFNEÐRFD • REGINA, uncial letter M, contraction bar above and pellets evenly spaced around, rev. + E | O | B | A, in limbs of quadrilobe overset on long cross fleury (EMC 2014.0031 **this coin**; PAS HAMP-73ADA0 **this coin**; cf. Allan Williams I, lot 23; Chick 148, unlisted, but 'd'/b', same dies; CEB 124; N.340; Spink 910), a soil find with resultant crimping, otherwise of excellent fabric and on a pleasingly complete flan, about very fine, extremely rare and amongst the finest known for type



## PROVENANCE

Spink 223, 26-27 March 2014, lot 1345

Recorded with the Wiltshire Museum (ref. 3695)

~ Found near Combe Bissett (Wiltshire), 25 September 2013 ~

Little is known of Cynethryth's ancestry, although previous scholarship has connected her name to the legendary Mercian King Penda († 655) by way of his similarly-named wife and daughters (Cynewise, Cyneburh, and Cyneswith). Medieval historiographies however point to Frankish origins and possible exile from the continental Royal court, a factor which would account for Offa's subsequent pretence in ruling Mercia in the likeness of Charlemagne. The date of her marriage to Offa is not recorded, but Cynethryth begins appearing on Royal charters after the birth of the heir apparent, Ecgrifh in AD 770. A decade later, she is recorded as 'Regina Merciorum' and it is assumed her coinage begins soon after - the only Anglo-Saxon Queen to have such an honour.

The dominant role of Eoba in the production of this series is abundantly clear, with the varieties in his emissions explained by the use of skilled London engravers as well as local craftsmen at his Canterbury workshop. Only twelve examples of her non-portrait type are currently known, with nine in private ownership and over half of those are chipped or in a fragmentary state. This renders the present offering quite remarkable within her numismatic corpus and equally noteworthy amongst the paucity of surviving material history from her reign.

Estimate £4,000-6,000

At time of publication, only fifty-four coins of Cynethryth have been documented; fifty-two from the period of Offa's 'Light coinage' in the name of the 'Royal engraver' Eoba, and two recent single fragmentary finds in the name of 'Heavy coinage' moneyer Ludoman at London. At least thirteen of this total number are held institutionally, with the remaining examples available to commerce varying wildly from unstable fragments to the imposing examples recovered from the Aiskew Hoard (2006) and the Bascom-Lockett-Norweb specimen last seen at public sale in 1999. Although Chick divides his corpus into eleven types (nos. 138-148), realistically but four core varieties exist for her coinage: three portrait issues which make up three-quarters of the present corpus, and one non-portrait type as the example here.



## A COIN TO AN ANGLO-SAXON SAINT

4

**East Anglia**, Æthelberht II († 20 May 794), Penny, 'Light Coinage', Non-Portrait type, Ipswich [?], Lul(la), 1.30g, 9h, † EDILBERHT RE, trefoil stops between letters, HT ligate, upright lobed cruciform extending from central pellet, trefoils in angles, all within pelleted inner circle, *rev.* + | L | V | L in quarters of voided quadrilobe inset with central escarbuncle formed of upright lobed cruciform and long saltire fourchée, trefoil stops between letters and in fields (BNJ [2014], pp. 230-32 **this coin**; EMC 2014.0071 **this coin**; Chick -; N -; Spink 946A), a 'discovery' piece in the name of an Anglo-Saxon Saint, latterly King of East Anglia for which only four coins are known, about extremely fine and on a full flan, of the highest rarity and utmost historical importance, the only example of his coinage available to commerce

## PROVENANCE

DNW 123, 11-12 June 2014, lot 309

~ Found Pevensey (East Sussex), 3 March 2014 ~

Estimate £60,000-80,000



The discovery of a new type within the Anglo-Saxon corpus would always be a red letter day. To add a new variety for a King at the centre of a 1,200 year-old murder mystery at the Mercian court is nothing short of historic.

Yet, that is what was achieved on 3 March 2014, during a prophetic hailstorm in the Pevensey area of East Sussex. The coin, unlike any of the three previously recorded coins of Æthelberht II, provides us a model as meticulous in engraving finery as it displays the confidence of her subject. It is thought that the coin demonstrates the political manoeuvrings by this client king under the hegemony of Mercia, and a pretension therein towards independence. The juxtaposition of both name and title 'RE+' ['King'] may well have been the catalyst for the King's infamous execution at Sutton Walls on 20 May 794. The same legend styles are found on the Kentish issues of Eadberht **Præn** (lot 5), a known rebel in the South east after Offa's death.

Whilst the particular chain of events at Hereford remain unclear, the subsequent remorse Offa felt for the beheading is apparent. In atonement for his greivous sin, Offa sought the story of another Christian martyr, and elected to found an Abbey on the site. The development of St Albans (see right) as an ecclesiastical centre was borne of this decision.

For further details on this remarkable coin, see our Spink Insider article on the legacy of Æthelberht II.







*“Her Offa Myrcna  
cyning het Æpelbryhte  
þæt heafod ofaslean”*



KINGDOM OF KENT  
THE LAST INDEPENDENT RULER OF KENT



5

**Kent, Eadberht Præn** (796-798), Penny, 'Three Line Phase', Canterbury, Dudda, 1.39g, 1h, EAD . | BEARHT | REX in three lines divided by bars, trefoil before EAD and REX, *rev.* DV | DA in two lines, the fields ornamented with dense and loose clusters of pellets all in 'Boeotian shield' motif, with cross and pellets beside (EMC 2016.0254 **this coin**; Naismith C5.1a, *same dies*; N.202/1; Spink 875), *of good fabric on a full flan with only a touch of striking softness to peripheries, otherwise extremely fine or near so, the finer of the two examples recorded for this moneyer and seemingly amongst the finest of the only two-dozen specimens of Praen's type I coinage, extremely rare thus*

PROVENANCE

Timelines Auction (London), 10 December 2016, lot 3000; ~ Found near Maidstone, Kent, 2016 ~

Estimate £10,000-15,000



## KINGDOM OF WESSEX



6

**Wessex, Alfred the Great** (871-899), Penny, 'Lunettes Coinage', Canterbury dies, Torhtmund, 1.14g, 9h, +AELBRED: REX, diademed and draped bust right, *rev.* NDMO | TORHTMV | NETA in three lines, inverted trefoil above inscription in upper lunette (Corbet Anderson [1870], pp. 144, no. 11; Lyons & Mackay, obv. 1a, style i/3, as AfL1.117-118, *albeit this coin not listed*; BMC 471; N.625: Spink 1057), *some rust deposits to reverse and fine scratches in fields, otherwise on a full flan with a trace of peripheral tone, extremely fine and very rare, only four coins of Torhtmund known for Alfred with only two available to commerce, this being by far the finer*

## PROVENANCE

**A W Morrison** collection by private treaty with Ennismore, 2019

With both recorded examples of this moneyer from the Whitehorse (Croydon, 1862), and the Beeston Tor Cave (1924) finds accessioned into the British Museum cabinets, the provenance for this coin remains somewhat of a mystery, although a hoard discovery is also probable. On 7 July 1870, Sotheby's auction rooms saw the dispersal of W. Cotton Risley's collection, late Curate of Deddington, Oxon. His cabinet featured amongst others Saxon coins, ten Burgred Pennies, one Aethelberht, one Aethelred I, and four Alfred coins, all described as in 'varied' condition. The latter two were noted thus: "Alfred, bust to right, as Hawkins n. 172, the moneyers HEREMOD. and TORTHMUND. the letters curiously dipthonged, both fine." Such a collection would be entirely consistent with an early 10th Century hoard, the mixture and absence of duplication within the Burgredians suggestive of a selected parcel from a larger find.

Estimate £3,000-4,000



## HEAHSTAN'S 'MAGNUM OPUS'



7

Wessex, Alfred the Great (871-899), Penny, 'Cross and Lozenge' type, c. 876-80, Winchester, Heahstan, 1.38g, 5h, +ÄLFRED REX SA, draped and diademed bust right, commencing above head, *rev.* HEA | STA | NMO | NETA, saltire within central lozenge, arms of cross extending from bar at each lozenge point, pellet in the field in each angle (EMC 2016.0034 **this coin**; SCBI 9, 247 *same dies* [= Blackburn and Keynes, 46]; N.629; Spink 1058), *darker tone to peripheries framing sharply struck up devices, an outstanding coin with a delightful artistic flair, much as struck and of the highest rarity thus*

## PROVENANCE

CNG Triton XX, 11 January 2017, lot 1526; ~ Reported as found at Alfriston, East Sussex, September 2015 ~

Estimate £25,000-35,000







8

**Wessex, Alfred the Great** (871-899), Penny, 'London Monogram', type A1(ii), 1.58g, 11h, AELFR-ED REX, diademed bust right with ornate tunic, *rev.* LONDONIA Monogram, cross above, pellets within O, and cluster of nine in pile below (MacKay, London, dies 8 (O3/R8), **coin 1**; N.644; Spink 1061), *an evidently late striking from a rusty obverse die, otherwise on a full flan with a neat uniform 'charcoal' tone, good very fine, a desirable example of this talismanic type*

#### PROVENANCE

**A W Morrison**, collection by private treaty with Ennismore, 2019  
 Finn, Winter FPL, 1994/95, no. 44  
 with a Frank Purvey (Seaby) ticket, ref. 72/604H - £425

Estimate £8,000-12,000



**'THE MOST INTERESTING AND DISTINCTIVE BUST TYPE OF THE LONDON  
MONOGRAM COINAGE'**



9

Wessex, Alfred the Great (871-899), Penny, 'London Monogram', type A2, 1.39g, 8h, AELFR-ED REX, diademed bust right with curly hair, wearing compact ornate tunic, curly hair, pellets in fields before, *rev.* LONDONIA Monogram, three pellets in D, and on outer border, fields plain (Murdoch 77 **this coin**; Lockett 504, *same reverse die*; MacKay, London, dies 9 (O4/R9), **coin 2**; N.644; S.1061), *very minor edge loss at 12 o'clock, otherwise a most handsome coin, with a remarkably bold portrait in an arrestingly rustic but highly competent style, near extremely fine, very rare and with an excellent pedigree*

**PROVENANCE**

From the A W Morrison (2014); Finn, FPL 14 (1998, 88); Bascom (1914, lot 59); Murdoch (1903, lot 77); and Webb (1895, lot 12) Collections

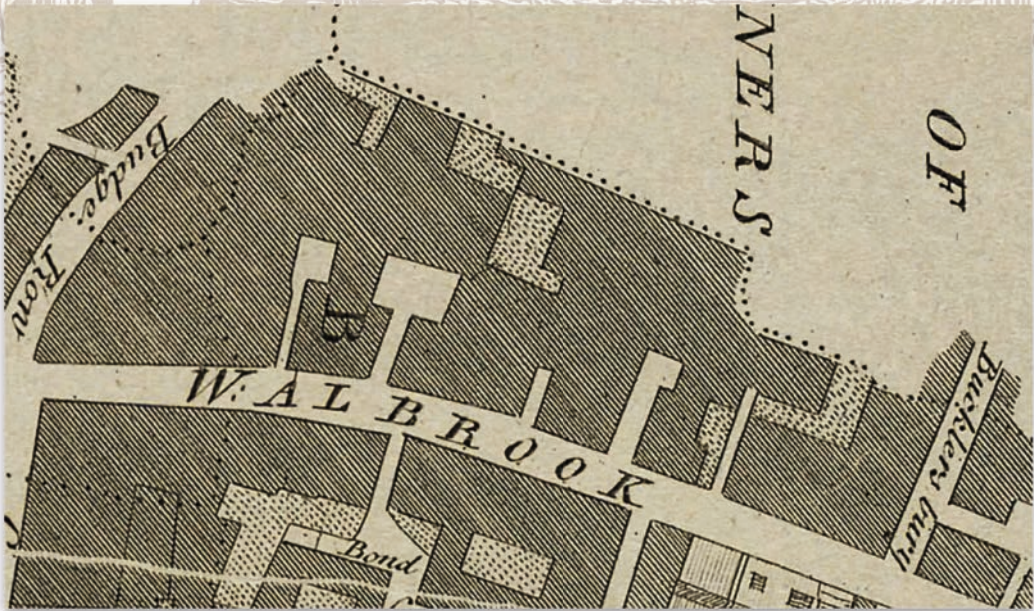
~ Bucklersbury, 'Barge Yard' Hoard, 1872 ~

Estimate £8,000-12,000

Little is known of the mysterious 'Barge Yard' discovery other than two scant references in later sale catalogues. Interestingly the location of the find (see right) is very close to Walbrook; the site of a major find of Edward the Confessor Pennies in the same year. It is evident that both therefore must have been unearthed during wider excavations related to the construction of Queen Victoria Street. Mysteriously the Roman discoveries from these archaeological investigations are well documented, including the fine 'Bucklersbury Mosaic' transferred to the Guildhall on 12 June 1869 (Illustrated London News, inset) and the preparatory illustrations of nails drawn by one Beatrix Potter.











10

Wessex, Alfred the Great (871-899), Penny, 'London Monogram', type A8 (iv), 1.60g, 8h, ÆLFR-ED REX, diademed bust right, wearing ornate tunic, eye turned upwards to heavens, with two rows of pellets (stars [?]) above, *rev.* LONDONIA Monogram, with bar to A, pellets within O, cross above, cluster of six pellets below (MacKay, London, dies 27 (O16/R24), **coin 3**; N.644; Spink 1061), *lightly toning on a full flan, some partial striking softness, otherwise a pleasingly good very fine and with an established provenance*

## PROVENANCE

From the 'North Yorkshire Moors' = **Lessen** (2018, lot 227), via (SNC, Feb. 1970, no. 1406A); Glendining, 19 March 1969, lot 143; and **Drabble**, (1943, lot 838) Collections

Estimate £12,000-15,000







11

**Wessex, Alfred the Great** (871-899), Penny, 'London Monogram', type A8(v), 1.52g, 6h, AELFRE-D REX, diademed bust right, with ornate tunic, eye turned upwards to heavens, *rev.* Monogram, no link between L and O, pellets within O, cross above, cross of five pellets to left (MacKay, London, dies 33 (O17/R30), coin 1; N.644; Spink 1061), *softly struck above head, otherwise of quirky style, good very fine to almost extremely fine, a pleasing example of this iconic issue*

PROVENANCE

**A W Morrison**, collection by private treaty with Ennismore, 2019

Estimate £8,000-12,000



## AN ARRESTING PORTRAIT OF EDWARD THE ELDER



12

**Wessex, Edward the Elder** (899-924), Penny, 'Early Phase II' - Horizontal-Trefoil type, c. 903-910, Chester, Dudig, 1.57g [21mm.], 4h, +EADVVEARD REX, diademed and draped bust in relief, neat lettering, *rev.* DVDIG | MON, divided by central pellet between two crosses, trefoil above and below (Rashleigh 235 = Lockett 2715 **this coin**; CTCE 16, and pl. 4, no. 6 **this coin**; N.651; Spink 1084 **the plate coin**), a 'totemic' coin that leaps from the pages of every sale it graces, lightly toned with a thoroughly arresting portrait albeit for touch of striking softness to hair; otherwise near extremely fine, a highly-prized numismatic rarity

### PROVENANCE

**Wayne**, CNG Triton XX, lot 1529

'**Magnus**', Spink 212, 28 March 2012, lot 793

Purchased privately at Spink. April 1982

**Lockett**, Part III, 4-6 November 1958, lot 2715

**Rashleigh**, 21 June 1909, lot 235 [plate] - 'extremely fine and very rare'

**Rev. Martin**, Sotheby, 23 May 1859, lot 23 - £6.6.0 - 'very rare and fine' - [Rashleigh]

Illustrated by Lindsey, 'Coinage of the Heptarchy', 1842, plate 4, 103 (Noted as Rev. J W Martin collection)

Estimate £15,000-20,000









## 'EXCEPTIONAL TYPES OF NORTH-WEST MERCIA'



13

**Wessex, Edward the Elder** (899-924), Penny, 'Exceptional Issues' - Floral type, c. 910-15, Chester, Buga, 1.55g [23.9 grns], 10h, +EADVVEARD REX, small cross pattée within inner circle, rev. BV-GA, within tendrils of floral device (Montagu 592 **this coin**; Lockett 521; SCBI [Mack] 759; CTCE 327d **this coin**; N.660; BMC IX; Spink 1079 **the plate coin**), probably struck by the 'Lady of the Mercians' for her brother King, with a beautiful rich dark tone flaired in recesses by further hints of iridescence, near extremely fine, and of equal rarity, with only four known, two of these in institutional collections

## PROVENANCE

**From the Wayne** (2016, lot 914); **'Millennia'**, (2008, lot 215); **Dr. Turner**; **'Marshall'** (2004, lot 45), via Spink Numismatic Circular, (July 1942, no. 15395); **Drabble** (1939, lot 402); **Bruun**, (1925, lot 78); 'Astronomer' = **McClean** (1906, lot 163); and **Montagu** (1895, lot 592) Collections.

CTCE records just four examples of this delightful coin, two in institutions, and two in private hands. The other example has not been seen at public auction since the Mack dispersal of 1977. Recent research has suggested that the Dean (Cumberland) find (c. 1780) is the likely origin of the Mack coin, having passed through the Keswick Museum, before entering the cabinets of Samuel Tyssen and the British Museum duplicate sale (1811), before a reappearance in the Dimsdale cabinet in 1824. The provenance chain can from there be reconstructed, unbroken, down to Montagu's own duplicate sale in 1888. For Montagu to have sold his Brice collection 'duplicate', suggests that he already possessed the present specimen, with the most obvious source at the time being the collection of Samuel Addington, from whom he had purchased en bloc in 1883. Although this attribution is merely conjectural, it is worth noting that research is greatly hindered by the widespread confusion in attribution of 'EADWEARD' signed coins, with an early commentator attempting:

*'The three Edwards before, and as many after the Norman Advent being not easily distinguished, I shall here add what in my apprehension will most effectively distinguish those under our present consideration: Those with the half-face, crown and sceptre, I ascribe to Edward the Elder; those with the full face (as he is represented on the Great Seal) and arched crown to Edward the Confessor; and the third sort, without either Crown or Sceptre, and indeed for the most part without any effigies at all, to this Edward [the Martyr], the son of Eadgar.'*

Estimate £15,000-25,000





**DANELAW**



14

**Danelaw**, in the name of Alfred (c. 885-895), Penny, in the style of the 'London Monogram', type A4, 1.52g, 7h, ELFR-ED REX, double-diademed bust right, wearing triangular tunic with concave pelleted drapery, *rev.* 'LONDONIA Monogram', cross bar of letter A omitted, small cross pattée above, inverted pellet-trefoil below (W J Andrew [1903-4], pl. 1, no. 10 **this coin**; MacKay, Danelaw, dies 16 (O16/R16), **coin 1**; N.644; Spink 964 **the plate coin**), *an outstanding example, albeit the portrait crudely rendered with the Mercian iteration of Alfred's name, the reverse otherwise of exceptionally fine workmanship, extremely fine, and unquestionably amongst the finest of the Danelaw imitations extant, with an enviable pedigree back to the Cuerdale trove*

**PROVENANCE**

**A W Morrison**, collection by private treaty with Ennismore, 2014

**Lockett**, Glendining, 26-27 April 1960, lot 3643 [plate]

**Major P W P Carlyon-Britton**, Sotheby, 17-21 November 1913, lot 342 [plate], *'extremely fine, as struck and bust unpublished'*

**Cuerdale Hoard**, 1840

Estimate £6,000-10,000







15

**Danelaw**, in the name of Alfred (c. 890-900), Penny, in the style of the 'London Monogram', type A4, 1.12g, 4h, +ELFR-ED RE, L and F inverted, double-diademed bust right, wearing semi-circular tunic banded with vertical hatching, *rev.* 'LONDONIA Monogram', C for letter L, bar of A omitted, small cross pattée to left, quatrefoil above, three wedges below, trefoil above and pellet below I all in D, two pellets within O (W J Andrew [BNJ I, 1903-4], pl. 1, 9 **this coin**; MacKay, Danelaw, dies 15 (O15/R15), **coin 1**; N.644; Spink 964), *minor edge loss between 6 and 8 o'clock, otherwise a sumptuous even tone overlying slightly crimped surfaces, the central devices superbly struck-up with finely incised details accentuating an enchanting portrait, good extremely fine, very rare, and with an excellent pedigree traced to the Cuerdale trove*

#### PROVENANCE

Acquired by private treaty, 2016

DNW, 16 September 2014, lot 2331

Lockett, Part I, 6-9 June 1955, lot 503 [illus.]

P W P Carlyon-Britton, portion of collection offered through Spink Numismatic Circular, November and December 1919, no. 76234  
Cuerdale Hoard, 1840

Estimate £6,000-10,000



VIEW LOT



16

**Dancelaw**, in the name of Alfred (c. 890-900), Penny, in the style of the 'London Monogram', type A1, 1.21g, 8h, ÆLFR-ER-D EX, diademed bust right, *rev.* 'LONDONIA Monogram', L inverted, A without bar, cross of five pellets above, quatrefoil below (MacKay, Dancelaw, dies 6 (O6/R6), **coin 6.1**, plates 63; N.463; Spink 964), *flan crack at 7 o'clock to neck line, subsequently repaired on obverse, 'peck marks' across a full and toned flan, very fine, rare*

## PROVENANCE

Morton and Eden 93, 27 June 2018, lot 211  
SJA 12, 5 November 2009, lot 359

Estimate £3,000-5,000







17

**Danelaw, Viking Kingdoms, Cnut of Northumbria** (c. 900-905), Penny, 1.34g, 6h, Jorvik [York], C N V T R E X around limbs of large plain cross, *rev.* +EB IAI CEC IVI, quatrefoil stops, around small cross pattée with pellet in each angle, all within inner circle (N.495; Spink 989 **the plate coin**), *attractively toned with a uniform strike, extremely fine, scarce thus*

PROVENANCE

Wayne, CNG Triton XIX, 5 January 2016, lot 897

SJA 5, 26 September 2006, lot 7

Lockett, Part I, Glendining, 6 June 1955, lot 423 (part)

Estimate £1,000-1,500







18

**Danelaw, Viking Kingdoms, Cnut of Northumbria** (c. 900-905), Penny, Cunnetti type, Jórdvík [York], 1.38g, 3h, C N V T R E X, trefoil after E, cross of wedges after N, *rev.* >H>CVNNaETTI, quatrefoil after first N and trefoil after first T, cross pattée, pellet in two angles, all within inner-circle (SCBI 29 [Merseyside], 272/273, *same dies*; N.501; Spink 993 **the plate coin**), *a handsome coin, evenly struck with a light tone, extremely fine*

PROVENANCE

Wayne, CNG Triton XX, 10 January 2017, lot 1510  
SJA 5, 27 September 2006, lot 8

Estimate £600-1,000



VIEW LOT



19

**Danelaw, Viking Kingdoms**, Municipal or Ecclesiastical issues, temp. **Archbishop Hrothweard** (c. 919-925), Penny, 'St Peter Coinage', Sword type, Jórvið [York], 1.11g, 6h, SCIIE | THIO in two lines, divided by sword right, + to right, pellets to left, *rev.* +ERIVIITOI, voided hammer upright, pellet in head, bars beside (SCBI 4 [Copenhagen], 591, *same dies*; N.556; Spink 1015), *a delightful coin with a pleasing old cabinet tone across a full flan, the central devices in high relief, extremely fine or very near so, rare, especially so in this condition*

PROVENANCE

DNW, 16 September 2014, lot 2333

SCMB, June 1960, no. 6264 (acquired 1962)

Lockett, Part III, Glendining, 4-6 November 1958, lot 2685 (illus).

Lord Grantley, Part III, Glendining, 22-23 March 1944, lot 943

Sir Charles Robinson, Christie's, 25 November 1891, lot unspecified

Estimate £5,000-8,000





## THE 'VIK-LOPS' - SIHTRIC 'ONE-EYE'



20

Danelaw, Viking Jarldoms, 'The Five Boroughs', Sihtric Cáech {Sigtryggr Gále}, 'The One-Eyed' (921-27), Penny, Lincoln [?], 1.38g, 9h, +SITDIC DEX, hammer *rev.* HIOAPRPAISIII, blundered legends, second A inverted, vertical sword (EMC 2017.0001, **this coin**; cf. N.536; cf. Spink 1013), *minor edge loss, otherwise of good metal, well struck on a full flan, near extremely fine, and of the highest rarity, the type unlisted for Sihtric*

## PROVENANCE

DNW 140, 15 March 2017, lot 236

~ Found Nottinghamshire, 26 December 2016 ~

Estimate £10,000-15,000



VIEW LOT





Odinn  
Suginn

Köngur  
Suginn

Geir  
Suginn

Drasnar  
Eveir Sigin  
at Ox linn  
anz theij  
a hugis  
Suginn  
paraho  
nu priett  
am alla  
Reim

Opin  
birtist  
rydum  
Masle  
eboltre  
Recklu  
z hafdi  
stund  
um Ge  
yr. Sti  
ndu staz  
i hendi  
mad si  
idan  
hatta hordi  
p. Seil hn  
Sindholtur

Chahymid  
Contrafeij  
Kargar na  
on piödir  
Adur dyrba  
Omz a mey  
Sem aunu  
du villu slo  
der. padv  
Skuro Gop  
ept Opni  
Sniudad.



## ODIN'S RAVENS: HUGINN AND MUNINN



21

**Danelaw, Hiberno-Norse Kings of Northumbria and Dublin, Ánláf Guthfrithsson** {Óláfr Guðrøðsson} (939-41), Penny, 'Raven type', Jórdvik [York], Æthelferth, 1.21g, 10h, ·+A·NLA·F CVNVNCI, Raven upwards, head left, wings outstretched, *rev.* +·A·DEL·FERD MINETR, small cross pattée (Ryan [1952], 676 = Williams II, 238, *same obverse die*; SCBI 1 [Fitzwilliam], 519, *same obverse die*; SCBI 4 [Copenhagen], 628-33; BMC 1092-96; N.537; Spink 1019 *the plate coin*), *an outstanding example with a light smoky tone across a full and unblemished flan, perhaps the finest known example of this iconic Viking coin, extremely fine, very rare*

### PROVENANCE

Acquired by private treaty, 2017

Kunker 227, 11 March 2013, lot 2355 (via Bryant, 2013)

'**Millennia Collection**' Goldberg, 26 May 2008, lot 205 - '*probably the finest example*' (via Baldwin)

**LaRiviere**, Spink, 9-10 October 2002, lot 990 - '*a superb specimen, flan very slightly bowed, otherwise mint state with a pleasing light tone, extremely rare thus*'

Acquired by private treaty CNG, January 2002

Estimate £18,000-22,000





22

**Danelaw, Hiberno-Norse Kings of Northumbria and Dublin, Ánláf Guthfrithsson {Óláfr Guðrøðsson} (939-41), Penny, 'Raven type', Jórvík [York], Æthelferth, 1.30g, 10h, ·+ANLAF CVNVNC·, Raven upwards, head left, wings outstretched, crescent shaped privy marks about beginning *rev.* +AÐELFERD MINETR, small cross pattée (EMC 2014.0032 **this coin**; SCBI 29 [Merseyside], 410 *same obverse die*; N.537; Spink 1019), *evidence of crimping, otherwise attractively toned to peripheries, and much as the last, an icon of Anglo-Viking numismatics, about very fine, rare***

#### PROVENANCE

Spink 223, March 26-27 2014, lot 1350

~ Found near Dunnington (East Yorkshire), 1992 ~

Estimate £8,000-12,000





## 'HAVELOK THE DANE'



23

**Danelaw, Hiberno-Norse Kings of Northumbria and Dublin, Ánláf Sihtricsson {Óláfr Sigtryggsson}, First Reign (941-44), Penny, 'Triquetra type', York, Farman, 0.94g, 9h, + ·A·NL·A·F CVNVNC C, triquetra, *rev.* +F·A·RM·A·N MONET·A·, Danish standard emblazoned with cross pommée (Dolley 35; CTCE, Group V; Stewartby I, 127; N.540; Spink 1020), *a trace of striking softness in fields, otherwise a hint of tone in recesses, extremely fine, extremely rare***

## PROVENANCE

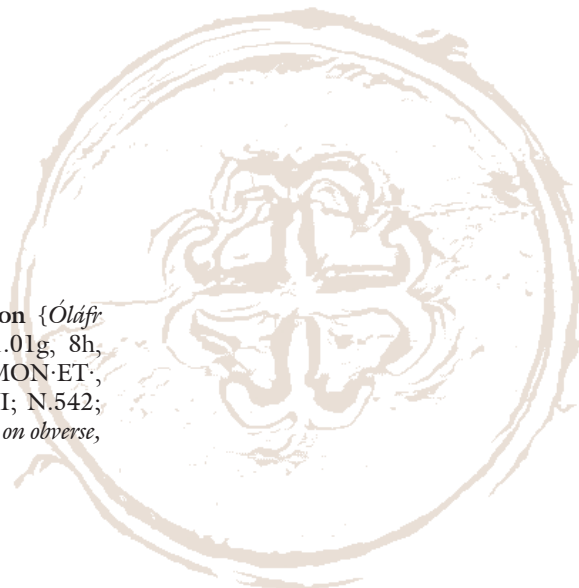
Acquired by private treaty, CNG, May 2014

CTCE records just 16 examples listed of this type for this moneyer, of which thirteen are in museums. Since publication, the cataloguer is aware of several new discoveries, limited to half-a-dozen at most. Nonetheless this type, and indeed this example remains very rare. It is believed that Ánláf's life is believed to be the inspiration for the Middle-English Romance *Havelok the Dane*, a 13th Century poem notable for its interest and exploration of ideal kingship, and the life of the working-classes in 13th-century Lincolnshire. *Havelok* is still named on the town seal of Grimsby today.

Estimate £15,000-20,000



## 'THE LAST OF THE GREAT Uí Ímair RULERS OF NORTHERN ENGLAND



24  
**Danelaw, Hiberno-Norse Kings of Northumbria and Dublin, Ánláf Sihtricsson {Óláfr Sigtryggsson}**, First Reign (941-44), Penny, 'Cross Moline' type, York, Rathulf, 1.01g, 8h, +·A·NL·A·F CVNVNC-, inverted As for Vs, cross moline, *rev.* +R·A·DVL·F MON·ET-, inverted A for V, cross pattée (SCBI 34 [British Museum], 1256; CTCE Group VI; N.542; Spink 1022), *small peck by cross on reverse, and surface crease radiating through cross moline on obverse, otherwise with a rich dark tone, near extremely fine, a great rarity*

### PROVENANCE

Kunker 232, 17 June 2013, lot 24 (via Baldwin, August 2014)

CTCE lists six of the presumed nine examples known, with five held by institutions. Consequently amongst the rarest of the Hiberno-Norse coinages struck at York in the 10th Century.

Estimate £15,000-20,000



VIEW LOT



## THE FINEST KNOWN 'TRIQUETRA' PENNY OF REGNALD



25

**Danelaw, Hiberno-Norse Kings of Northumbria, Regnald Guthfrithsson** {*Ragnvaldr Guðrøðsson*} (943-944), Penny, 'Triquetra type', York, Branting, 1.05g, 3h, + REG·N·A·L·D CVNVN:, triquetra, rev. +B·R·A·NT HONET·A·, Danish standard emblazoned with cross pommée (CTCE, Group V; N.548; Spink 1024 **the plate coin**), *a new moneyer for issue and only complete coin known for type, a pleasingly good very fine, the central devices better still, of the highest rarity, one of four known*

## PROVENANCE

**Wayne**, CNG Triton XX, 10 January 2017, lot 1517

Acquired by private treaty, Ormonde Coins, 21 March 2013

This coin appears to be the first recorded and only known coin of the moneyer Brant for Regnald, a king whose numismatic record is notoriously pauc. CTCE assigns the 'Triquetra type' to Group V, covering the reigns of Anlaf Guthfrithsson (cf. lot 21), Anlaf Sihtricsson (lot 23) and Regnald Guthfrithsson (2 examples noted). Since publication, several additions can be made to this corpus, although the examples for Regnald remain excessively rare. To date only four have been recorded, including the present coin, which holds the notably further distinction of being the only complete coin of its type known either to commerce or institutionally. By way of comparison, the fame of Eric the Bloodaxe is matched by the size of his numismatic legacy - no fewer than thirty-nine coins of his are now recorded, yet only a dozen are known for Regnald. His corpus can be set out thus:

## Type V - Triquetra

1. SCBI 34 [British Museum], no. 1254 - Baciager - *a fragment*
2. SCBI 4 [Copenhagen], no. 644 - Banotral - *a fragment*
3. EMC 2006.0224 (Found East Yorkshire) - Durand - *a fragment*
4. This coin - Brant

## Type VI - Cross Moline (All struck by Avra)

1. BMS 1257 - Avra - *a fragment*
2. SCBI 2 [Glasgow], no. 523 - Avra
3. SCBI 2 [Glasgow], no. 524 - Avra
4. SCBI 42 [South-Eastern], no. 2438 - Avra
5. Private collection, (Found Ireland, c.1960) - Avra - *a fragment*
6. Dr Wayne, (CNG Triton XIX), lot 903 - Rathulf

Recent study would suggest that SCBI 29 [Merseyside], no. 116, and SCBI 30 [America], no. 815 may be later concoctions

Estimate £25,000-35,000







## KINGS OF ALL ENGLAND



26

**Kings of All England, Aethelstan (924-939), Penny, 'Portrait Type',** London, Leofhelm, 1.55g, 12h, +ÆDELSTAN REX, crowned and draped bust right, *rev.* +LIOFHELM MO LOND CI, small cross pate within inner circle (SCBI 6 [Edinburgh], 186; SCBI 34, 134, *same dies*; N.675; BMC VIII; Spink 1095), *bright surfaces and some peripheral striking softness, the key devices otherwise clear, good very fine, rare*

### PROVENANCE

Morton and Eden, 72, December 2014, 174 - £5k

'Midlands hoard. Baldwins etc. cleaned'

'Advised from a private collection by Tom Eden.'

Liofhelm is not a rare moneyer for this type with a number of much inferior examples by him of this style having appeared on the market in recent years. Coin is same dies as SCBI 6 (Edinburgh), 186 and 34 (BMC), 134, same obv. as SCBI 34, 135 and 136. This coin is among the best examples of this type and this moneyer.

Estimate £3,000-5,000





## STRUCK BY THE BLOODAXE'S MONEYER



27

**Kings of All England**, Eadmund (939-946), Penny, North Eastern I, York, Ingalter, 1.52g, 6h, +EADMVND REX EB, cross pattée, *rev.* INGEL | +++ | GAR MO, in two lines divided by row of three cross pattée, trefol above and below (Montagu 656; SCBI 6 [Edinburgh], 199; N.688 var.; Spink 1105), *slightly ragged flan, otherwise with an attractive old tone, good very fine, an historic issue recording the English reconquest of Northumbria in 944*

### PROVENANCE

Spink 224, 25 June 2014, lot 523

In celebration of the reconquest of York and expulsion of the Viking King Regnald (see lot 25), Edmund struck coins recording himself as 'REX EB' - King of York.

Estimate £1,500-2,500



VIEW LOT

## THE MARTYR OF CORFE CASTLE



28

**Edward the Martyr** (975-978), Penny, Sole type, Lincoln, Levig, 1.37g, 10h, +EADPEA REX ANGLO, diademed and draped bust left, *rev.* +LEVIG M-O LND COL, trefoil at end of legend and O in M-O containing a pellet, cross pattée (Mossop, pl. 2, no. 5, dies Ei; N.763; Spink 1142), *traces of doubling, otherwise a most attractive coin with richly patinated surfaces especially to reverse, near extremely fine, rare and with an illustrious pedigree*

## PROVENANCE

Acquired by private treaty (2016), via Rasmussen (Sept. 2012)

From the A H F Baldwin (from 1970); Wheeler (1930, lot 45); Major P W P Carlyon-Britton; Montagu (1895, lot 755) and Brice (1887) Collections.

Possibly

~ Oakham (Rutland) Hoard, 1749 ~

Mossop (Lincoln Mint, 1970) lists two coins with this die combination. The first is not illustrated, but is noted as: '1.37g - AHFB'. The second, is illustrated by Mossop and is evidently not the present coin which has since 1974 been housed by the Lincoln Museum [SCBI 27, no. 14, 1.39g]. Prior to 1970, deduction and process of elimination is required to reconstruct the provenance. Mossop's own collection acquired by Baldwin in 1967 is known to have contained a coin of Levig from the Willes' parcel of Oakham (Rutland) coins - that coin appeared in the cabinet of Dr Erik Miller sold through these rooms in March 2019.

Consequently the attribution of the present specimen to the Baldwin family collection seems beyond reasonable doubt, as to does the possibility of construction an earlier provenance based on past catalogue descriptions. In the Wheeler sale of 1930, famously boycotted by many collectors who found his aggressive purchasing style unfavourable, just such a coin is transcribed and bought by Baldwin (lot 45, £3.0.0). Crucially the additional detail of the trefoil stop at end, and pellet in O add to confidence of a die match in the absence of a physical plate illustration.

From this listing is given the earlier provenance through the cabinets of Major Carlyon-Britton, Hyman Montagu and Brice. The first has no such listing in any of his three catalogues produced in the Great War years so we must conclude that it was transacted privately or as part of his fixed price offerings through the Numismatic Circular (cf. November-December 1919). More luck is found in the Montagu sale, wherein his lot 755 matches the Wheeler description, and also reconfirms the Brice pedigree. Prior to this the provenance is not known, although examples of this reverse reading are to be found in the infamous Colonel Durrant collection sold in 1847, suggesting the origins for this coin extend back even further. Supporting this is the beautiful patination and exquisite surfaces pointing to a likely recovery from the Oakham trove that is known to have aided the formation of the Hermitage and Hunterian cabinets.

Estimate £4,000-6,000





## AN OXFORD PENNY OF HAROLD HAREFOOT



29

**Harold I** (1035-40), Penny, 'Fleur-de-Lis with Pellets' type, Oxford, Leofwine, 1.18g, 6h, +HARO-D REX A:, legend commencing at one o'clock, diademed and armoured bust left, lis-tipped sceptre before, *rev.* +LEOFINE ON O, voided long cross extending from central circular panel containing pellet (N.803; Spink 1165), *bright surfaces on a slightly concave flan otherwise neatly struck up, about extremely fine, rare*

### PROVENANCE

SJA 38, 29 September 2016, lot 202

Estimate £3,000-4,000

Harthacnut was occupied in Denmark at the time of his accession allowing his half-brother Harold Harefoot to assume the Regency of the English kingdom. However following the barbaric murder of Ælfred Æpeling, and unsure how he would be received by his subjects, Harthacnut invaded England in June 1040. Harold however was already dead, succumbing to illness that Spring in Oxford aged just 24

Harthacnut subsequently permitted Harold to be exhumed from Westminster Abbey, have his body decapitated and thrown in fens near the Thames.



## A PROFILE RIGHT PENNY OF HARTHACNUT



30

**Harthacnut**, Regency Period (1035-1037), Penny, 'Jewel Cross' type, London, Bruna, 1.10g, 1h, +HARÐA-CNVT RE, legend commencing at 12 o'clock, diademed and draped bust right, *rev.* +BRVN ONN LVNDE:, quadrilobe cross extending from central pelleted-annulet (SCBI 18 [Copenhagen], 666; SCBI 51 [Estonia], 1008, *same dies*; N.809; BMC I; Spink 1167), *minor striking softness above head, otherwise well-centred on bright surfaces, extremely fine, rare*

### PROVENANCE

Acquired by private treaty from Bennett  
From a 'Collection in the North', 2015

Estimate £4,000-6,000



## A HUNTINGDON PENNY OF HARTHACNUT 'THE OATH-BREAKER'



31

**Harthacnut**, Sole Reign (1040-42), Penny, 'Arm-and-Sceptre' type, Huntingdon, Wulfwine, 1.00g, 6h, +HAR:DA CNV, NV ligate, legend commencing at 12 o'clock, diademed bust left, lis-tipped sceptre before, *rev.* +P.VIIPINE ON HVNTA, pellet-tipped quadrilobe over voided short cross (Eaglen 245/-, *same obverse die/reverse die not recorded*; N.811; Spink 1168), *bright and lustrous surfaces, otherwise beautifully struck up, much as issued, very rare with only seven coins recorded by Eaglen inscribed in Harthacnut's full name*

### PROVENANCE

Acquired by private treaty from Bennett  
From a 'Collection in the North', 2015

Estimate £3,000-5,000

Unused to English Royal custom, Harthacnut replicated the autocratic regime he had honed in Denmark with his council. This included the regular and unpopular raising of taxation to pay for projects such as the increase of the English navy. On one occasion in 1041, two of his tax officials were killed whilst trying to extract levies from the local populace in Worcester. Harthacnut responded with a 'harrying' punishment, some three decades before the Normans would attempt the same.

In the same year, a dispute arose between the Earl of Northumbria, Siward and Earl Eadwulf of Bernicia who ruled the northern part of the same region semi-independently. Harthacnut was not satisfied by this arrangement, and therefore colluded to murder Earl Eadwulf on the pretence of granting him safe conduct. The murder would be widely condemned, and the Anglo-Saxon Chronicle rebuke the king as an "oath-breaker". To the church however, he would be far more charitable, with Ramsey Abbey one of several institutions that would later laud him for his benevolence.

A long term sufferer of tuberculosis, Harthacnut would succumb to a stroke at the wedding of Tovi the Proud, former standard-bearer to King Cnut at Lambeth on 8 June 1042.







**32**  
**Harold II** (1066), Penny, 1.38g, 11h (to mm.) PAXS type, Lewes, Oswold, +HAROLD REX ANG, crowned bust left, sceptre before, rev. +OZPOLD ON LEPEEI, PAXS in central frieze (H H King 278; N.836; Spink 1186), *a rich dark cabinet tone, the portrait sharply rendered if a touch doubled, almost extremely fine*

PROVENANCE

DNW, 16 September 2014, lot 2417  
 Purchased Baldwins, 1962

Many Harold II Pennies in private and institutional cabinets today originate from the Upper Chancton Farm trove (1866), which was said to have contained at least thirty-six coins bearing the Lewes mint signature (Harold II and Edward the Confessor). The patination of the present coin is consistent with a hoard find, although its provenance prior to 1962 has yet to be ascertained.

Estimate £5,000-8,000



VIEW LOT







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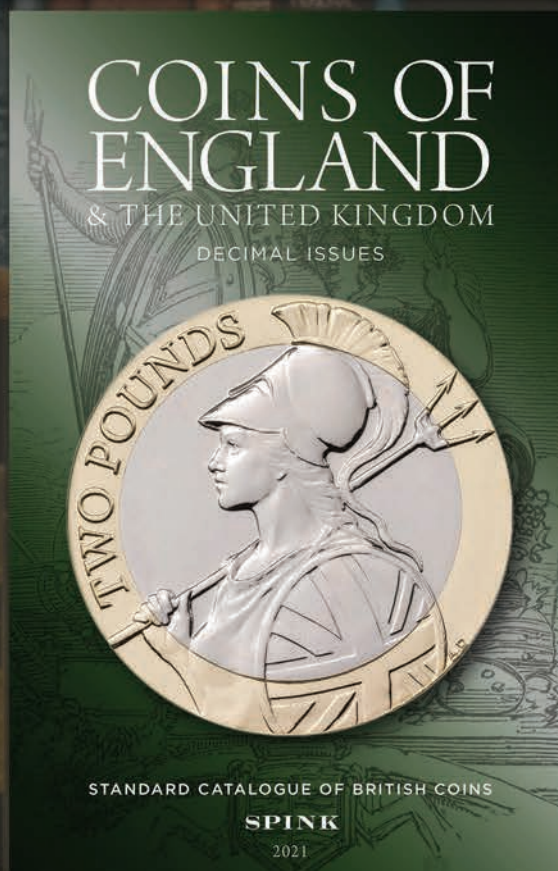
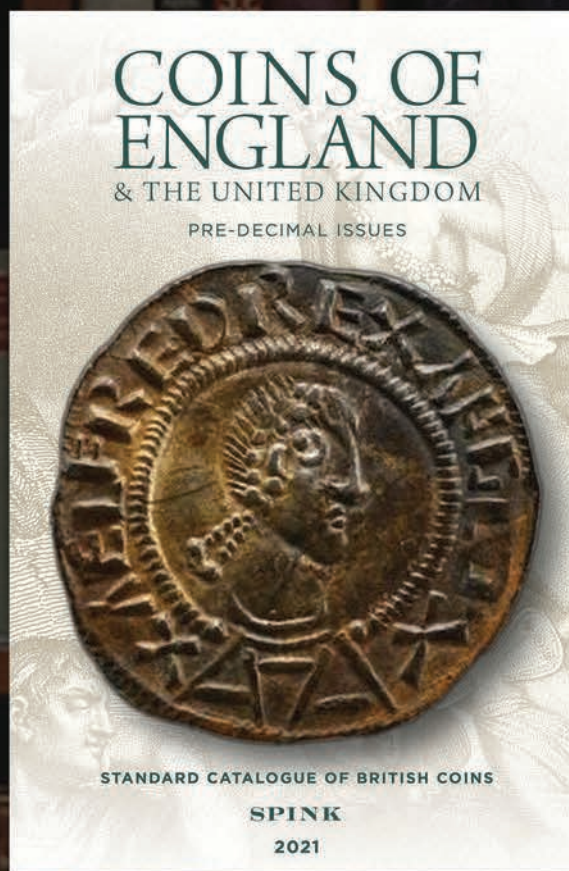
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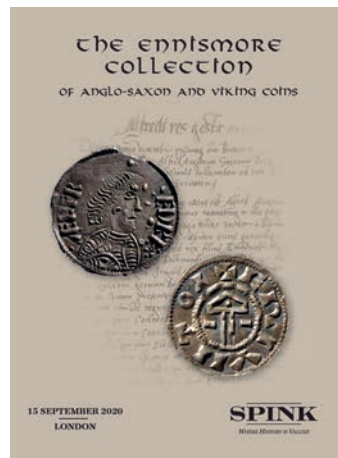


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# TERMS AND CONDITIONS FOR BUYERS

These conditions set out the terms on which we (**Spink and Son Limited** of 69 Southampton Row, Bloomsbury London WC1B 4ET (company no. 04369748)) contract with you (**Buyer**) either as agent on behalf of the Seller or as principal if we are the Seller. You should read these conditions carefully.

## 1 DEFINITIONS

The following definitions apply in these conditions:

<b>Auctioneers' Margin Scheme</b>	means a VAT margin scheme as defined by HM Revenue & Customs;
<b>Buyer's Premium</b>	means the charge payable by you as a percentage of the Hammer Price, at the rates set out in clause 5.1 below;
<b>Certificate of Authenticity</b>	means a certificate issued by an Expert Committee confirming the authenticity of a Lot;
<b>Expert Committee</b>	means a committee of experts to whom a Lot may be sent for an extension in accordance with clause 3.4.3;
<b>Forgery</b>	means a Lot constituting an imitation originally conceived and executed as a whole with a fraudulent intention to deceive as to authorship, origin, age, period, culture or source where the correct description as to such matters is not reflected by the description in the catalogue and which at the date of the auction had a value materially less than it would have had if it had been in accordance with the description in the catalogue. Accordingly, no Lot shall be capable of being a Forgery by reason of any damage and/or restoration work of any kind (including re-enamelling);
<b>Hammer Price</b>	means the amount of the highest bid accepted by the auctioneer in relation to a Lot;
<b>Lot</b>	means any item deposited with us for sale at auction and, in particular, the item or items described against any Lot number in any catalogue;
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<b>Spink Group</b>	Spink and Son Limited, our subsidiaries and associated companies.
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<b>VAT Symbols</b>	means the symbols detailing the VAT status of the Lot details of which are set out at the back of the catalogue.

## 2 SPINK'S ROLE AS AGENT

- 2.1 All sales undertaken by us either at auction or privately are undertaken either as agent on behalf of the Seller or from time to time, as principal if we are the owner of the Lot. Please note that even if we are acting as agent on behalf of the Seller rather than as principal, we may have a financial interest in the Lot.
- 2.2 The contract for the sale of the Lot will be between you and the Seller.
- 2.3 The Seller's sale of the Lot to you is subject to any terms and conditions, disclaimers or exclusions included with any promotional material or catalogue descriptions for the Lot, or otherwise notified to you by the Seller or us on their behalf.

## 3 BEFORE THE SALE

### 3.1 Examination of goods

You are strongly advised to examine personally any goods in which you are interested, before the auction takes place. Condition reports are usually available on request. We provide no guarantee to you other than in relation to Forgeries, as set out in clause 5.13 of these Terms and Conditions.

### 3.2 Catalogue descriptions

3.2.1 Statements by us in the catalogue or condition report, or made orally or in writing elsewhere, regarding the authorship, origin, date, age, size, medium, attribution, genuineness, provenance, condition or estimated selling price of any Lot are merely statements of opinion, and are not to be relied on as statements of definitive fact. Catalogue and web illustrations are for guidance only, and should not be relied on either to determine the tone or colour of any item. No lot shall be rejected on the grounds of inaccurate reproduction. No lot illustrated in the catalogue and online shall be rejected on the grounds of cancellation, centring, margins, perforation or other characteristics apparent from the illustration. Estimates of the selling price should not be relied on as a statement that this price is either the price at which the Lot will sell or its value for any other purpose.

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3.2.3 Other than as set out in clause 5.13, and in the absence of fraud, neither the Seller nor we, nor any of our employees or agents, are responsible for the correctness of any statement as to the authorship, origin, date, age, attribution, genuineness or provenance of any Lot nor for any other errors of description or for any faults or defects in any Lot. Every person interested should exercise and rely on his own judgment as to such matters.

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You are responsible for satisfying yourself as to the condition of the goods and the matters referred to in the catalogue description.

### 3.4 Extensions – Stamps only

3.4.1 If you wish to obtain an expert opinion or Certificate of Authenticity on any Lot (other than a mixed Lot or Lot containing undescribed stamps) you must notify us in writing not less than forty-eight hours before the time fixed for the commencement of the first session of the sale. If accepted by us, such request shall have the same effect as notice of an intention to question the genuineness or description of the Lot for the purposes of clause 5.13 (Refund in the case of Forgery) of these Terms and Conditions and the provisions of clause 5.13 (Refund in the case of Forgery) shall apply accordingly.

3.4.2 Notice of a request for an expert opinion or Certificate of Authenticity must give the reason why such opinion is required and specify the identity of your proposed expert which will be subject to agreement by us. We reserve the right, at our discretion, to refuse a request for an expert opinion or Certificate of Authenticity including (without limitation) where the proposed expert is not known to us.

3.4.3 If we accept a request for an expert opinion or Certificate of Authenticity we will submit the Lot to the Expert Committee. You acknowledge and accept that the length of time taken by an Expert Committee to reach an opinion will vary depending on the circumstances and in any event is beyond our control.

3.4.4 We will not accept a request for an extension on account of condition. Any Lot described in the catalogue as having faults or defects may not be returned even if an expert opinion or Certificate of Authenticity cites other faults or defects not included in the catalogue description, other than in the case of a Forgery.

3.4.5 Should Spink accept a request for an extension under the foregoing provisions of this paragraph, the fact may be stated by the Auctioneer from the rostrum prior to the sale of the Lot.

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3.4.7 If you receive any correspondence from the Expert Committee in relation to the Lot, including but not limited to a Certificate of Authenticity, you must provide us with copies of such correspondence no later than 7 days after you receive such correspondence.

## 4 AT THE SALE

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Our sales usually take place on our own premises or premises over which we have control for the sale, and we have the right, exercisable at our complete discretion, to refuse admission to the premises or attendance at an auction.

### 4.2 Registration before bidding

All bidders must be registered either by completing a registration form or creating an account online. Please be aware that we usually require buyers to present identification before making a bid at auction, undergo a credit check or provide a trade reference.

If you have not bid successfully with Spink in the past, or you are registering with us for the first time, we reserve the right to require a deposit of up to 50% of the amount you intend to spend. Such deposit will be deducted from your invoice should you be successful. If you are unsuccessful at auction, your deposit will be returned by the same means it was paid to Spink.

Some lots may be designated, prior to the auction, as "Premium Lots", which means a deposit may be required before placing a bid on the item for sale. Information will be posted on our website in such an event.

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When making a bid (**whether such bids are made in person or by way of telephone bids operated by Spink, commission or online or email bids**), you will be deemed to be acting as principal and will be accepting personal liability, unless it has been agreed in writing, at the time of registration, that you are acting as agent on behalf of a third party buyer acceptable to us.

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If you give us instructions to bid on your behalf, by using the form provided in our catalogues or via our website, we shall use reasonable endeavours to do so, provided these instructions are received not later than 24 hours before the auction. If we receive commission bids on a particular Lot for identical amounts, and at auction these bids are the highest bids for the Lot, it will be sold to the person whose bid was received first. Commission bids are undertaken subject to other commitments at the time of the sale, and the conduct of the auction may be such that we are unable to bid as requested. Since this is undertaken as a free service to prospective buyers on the terms stated, we cannot accept liability for failure to make a commission bid. You should therefore always attend personally if you wish to be certain of bidding.

#### 4.5 On-line Bidding

We offer internet services as a convenience to our clients. We will not be responsible for errors or failures to execute bids placed on the internet, including, without limitation, errors or failures caused by (i) a loss of internet connection by either party for whatever reason; (ii) a breakdown or problems with the online bidding software and/or (iii) a breakdown or problems with your internet connection, computer or system. Execution of on-line internet bids on [www.spink.com](http://www.spink.com) and Spink Live is a free service undertaken subject to other commitments at the time of the auction and we do not accept liability for failing to execute an online internet bid or for errors or omissions in connection with this activity.

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If you make arrangements with us not less than 24 hours before the sale, we shall use reasonable endeavours to contact you to enable you to participate in bidding by telephone, but in no circumstances will we be liable to either the Seller or you as a result of failure to do so.

#### 4.7 Currency Converter

At some auctions, a currency converter will be operated, based on the one month forward rates of exchange quoted to us by Barclays Bank Plc or any other appropriate rate determined by us, at opening on the date of the auction. Bidding will take place in a currency determined by us, which is usually sterling for auctions held in London. The currency converter is not always reliable, and errors may occur beyond our control either in the accuracy of the Lot number displayed on the converter, or the foreign currency equivalent of sterling bids. We shall not be liable to you for any loss suffered as a result of you following the currency converter.

#### 4.8 Video images

At some auctions there will be a video screen. Mistakes may occur in its operation, and we cannot be liable to you regarding either the correspondence of the image to the Lot being sold or the quality of the image as a reproduction of the original.

#### 4.9 Bidding Increments

Bidding generally opens below the low estimate and advances in the following order although the auctioneer may vary the bidding increments during the course of the auction. The normal bidding increments are:

Up to £100	by £5
£100 to £300	by £10
£300 to £600	£320-£350-£380-£400 etc.
£600 to £1,000	by £50
£1,000 to £3,000	by £100
£3,000 to £6,000	£3,200-£3,500-£3,800-£4,000 etc.
£6,000 to £10,000	by £500
£10,000 to £20,000	by £1,000
£20,000 and up	Auctioneer's discretion

#### 4.10 Bidding by Spink

4.10.1 We reserve the right to bid on Lots on the Seller's behalf up to the amount of the Reserve (if any), which will never be above the low estimate printed in the auction catalogue.

4.10.2 The Spink Group reserves the right to bid on and purchase Lots as principal.

4.10.3 Lots with this symbol (Ⓢ) indicate that a party has provided Spink with an irrevocable bid on the lot that will be executed during the sale at a value that ensures that the lot will sell. The irrevocable bidder, who may bid in excess of the irrevocable bid, will be compensated based on his bid in the event he or she is not the successful bidder or may receive a fixed fee in the event he or she is the successful bidder. If the irrevocable bidder is the successful bidder, the fixed fee for providing the irrevocable bid may be netted against the irrevocable bidder's obligation to pay the full purchase price for the lot. If the irrevocable bid is not secured until after the printing of the auction catalogue, a pre-sale announcement will be made indicating that there is an irrevocable bid on the lot.

If you are interested in placing an irrevocable bid in an auction, please contact us at [chairmanoffice@spink.com](mailto:chairmanoffice@spink.com). Typically, only some of the lots with an estimate, which must exceed £100,000 or equivalent in other currencies are open to irrevocable bids.

#### 4.11 The Auctioneer's Discretion

The auctioneer has the right at his absolute discretion to refuse any bid to advance the bidding in such manner as he may decide to withdraw or divide any Lot, to combine any two or more Lots and, in the case of error or dispute, to put an item up for bidding again.

#### 4.12 Successful Bid

Subject to the auctioneer's discretion, the striking of his hammer marks the acceptance of the highest bid, provided always that such bid is higher than the Reserve (where applicable), and the conclusion of a contract for sale between you and the Seller.

#### 4.13 After Sale Arrangements

If you enter into any private sale agreements for any Lot with the Seller within 60 days of the auction, we, as exclusive agents of the Seller reserve the right to charge you the applicable Buyer's Premium in accordance with these Terms and Conditions, and the Seller a commission in accordance with the terms of the Seller's agreement.

#### 4.14 Return of Lot

4.14.1 Once your bid has been accepted for a Lot then you are liable to pay for that Lot in accordance with these Terms and Conditions. If there are any problems with a Lot then you must notify us within 7 days of receipt of the Lot, specifying the nature of the problem. We may then request that the Lot is returned to us for inspection. Save as set out in clause 5.13, the cancellation of the sale of any Lot and the refund of the corresponding purchase price is entirely at our sole discretion. We will not normally exercise that discretion if the Lot is not received by us in the same condition that it was in at the auction date.

4.14.2 No lot may be returned on account of condition if the condition was stated by a third party grading company (including, but not limited to PCGS, NGC, ANACS, ICG, PMG, WBG, Legacy Currency Grading).

### 5 AFTER THE AUCTION

#### 5.1 Buyer's Premium and other charges

In addition to the Hammer Price, you must pay us the Buyer's Premium at a rate of 20% of the final Hammer price of each lot, postage charge and a fee for paying by card.

#### 5.2 Value Added Tax

Other than in respect of Zero-rated Lots (o) VAT is chargeable on the Hammer price and the Buyer's Premium of daggered (†) and (Ω) lots at the standard rate (currently 20%), and on lots marked (x) at the reduced rate (currently 5% on the Hammer price and 20% on the Buyer's premium). VAT on Margin scheme lots (identified by the absence of any VAT symbol next to the lot number) is payable at 20% on the Buyer's premium only.

#### 5.3 VAT Refunds

##### General

5.3.1 As we remain liable to account for VAT on all Lots unless they have been exported outside the EU within 3 months of the date of sale, you will generally be asked to deposit all amounts of VAT invoiced. However, if a Spink nominated shipper is instructed, then any refundable VAT will not be collected. In all other cases credits will be made when proof of export is provided. If you export the Lot yourself you must obtain shipping documents from the Shipping Department for which a charge of £50 will be made.

5.3.2 If you export the Lot you must return the valid proof of export certificate to us within 3 months of the date of sale. If you fail to return the proof of export certificate to us within such period and you have not already accounted to us for the VAT, you will be liable to us for the full amount of the VAT due on such Lot and we shall be entitled to invoice you for this sum.

5.3.3 To apply for a refund of any VAT paid, the proof of export certificate must be sent to our Shipping Department clearly marked 'VAT Refund' within 3 months of the date of sale. No payment will be made where the total amount of VAT refundable is less than £50 and Spink will charge £50 for each refund processed.

##### VAT Refunds - Buyers from within the EU

5.3.4 VAT refunds are available on the Hammer Price and Buyer's Premium of Daggered (†) and Investment Gold (g) Lots. You must certify that you are registered for VAT in another EU country and that the Lot is to be removed from the United Kingdom within 3 months of the date of sale.

5.3.5 Where an EU buyer purchases a Lot on which import VAT has been charged, no refund of VAT is available from us. It may be possible to apply directly for a refund on form VAT 65 to HM Revenue & Customs Overseas Repayment Section, Londonderry.

##### VAT Refunds - Buyers from outside the EU

5.3.6 Where a Lot is included within the Auctioneers' Margin Scheme and evidence of export from the EU is produced within 3 months of the date of sale, the VAT on Buyer's Premium may be refunded.

5.3.7 Where the Lot is marked as a Daggered (†) Lot the VAT charged on the Hammer Price may be refunded where evidence of export from the EU is produced within 3 months of the date of sale. A refund of VAT charged on the Buyer's Premium can also be made on receipt of proof of business as a collectibles dealer.

5.3.8 Where the Lot is marked as an Omega (Ω) Lot or an Import VAT (x) Lot and evidence of export from the EU is produced within 3 months of the date of sale, the VAT charged on both the Hammer Price and Buyer's Premium may be refunded. Where required, we can advise you on how to export such Lots as a specific form of export evidence is required. Where we advise you on the export of the Lots, please be aware that the ultimate responsibility in respect of obtaining a valid proof of export certificate will lie with you and we will not be responsible for your failure to obtain such certificate.

5.3.9 Lot marked as Investment Gold (g) is exempt from VAT on Hammer price. A refund of VAT charged on the Buyer's Premium can be made on receipt of proof of business as a collectibles dealer and where evidence of export from the EU is produced within 3 months of the date of sale.

#### 5.4 Payment

5.4.1 You must provide us with your full name and permanent address and, if so requested, details of the bank from which any payments to us will be made. You must pay the full amount due (comprising the Hammer Price, the Buyer's Premium and any applicable VAT) within seven days after the date of the sale. This applies even if you wish to export the Lot and an export licence is (or may be) required.

5.4.2 You will not acquire title to the Lot until all amounts due have been paid in full. This includes instances where special arrangements were made for release of Lot prior to full settlement.

5.4.3 Payment should be made in sterling by one of the following methods:

- Direct bank transfer to our account details of which are set out on the invoice. All bank charges shall be met by you. Please ensure that your client number is noted on the transfer.
- By cheque or bank draft made payable to Spink and Son Ltd and sent to Spink at 69 Southampton Row, Bloomsbury, London WC1B 4ET. Please note that the processing charges for payments made by cheques or bank drafts drawn on a non-U.K bank shall be met by you. Please ensure that the remittance slip printed at the bottom of the invoice is enclosed with your payment.



- (iii) By Visa or Mastercard. All Corporate cards regardless of origin and Consumer debit and credit cards issued outside the EU are subject to a fee of 3%. For all card payments there are limits to the amounts we will accept depending on the type of card being used and whether or not the cardholder is present.
- 5.4.4 Payments should be made by the registered buyer and not by third parties, unless it has been agreed at the time of registration that you are acting as an agent on behalf of a third party.
- 5.5 Invoices**  
Invoices may consist of one or more pages and will show: Zero rated Lots (o); no symbol Lots sold under the Auctioneers' Margin Scheme; Lots marked (g) special scheme Investment Gold; Daggered Lots (†), imported Lots marked (x) and (Ω), (e) Lots with Zero rated hammer for EU VAT registered buyers.
- 5.6 Collection of Purchases**  
5.6.1 Unless specifically agreed to the contrary, we shall retain lots purchased until all amounts due to us, or to the Spink Group, have been paid in full. Buyers will be required to pay for their lots when they wish to take possession of the same, which must be within 7 days of the date of the sale, unless prior arrangements have been made with Spink. Without prior agreement, lots will not be released until cleared funds are received with regard to payments made by cheque.  
5.6.2 Unless we notify you to the contrary, items retained by us will be covered in accordance with our policy which is available for inspection at our offices from the date of sale for a period of seven days or until the time of collection, whichever is sooner. After seven days or from the time of collection, whichever is the earlier, the Lot will be entirely at your risk.  
5.6.3 Our policy will not cover and we are unable to accept responsibility for damage caused by woodworm, changes in atmospheric conditions or acts of terrorism.
- 5.7 Notification**  
We are not able to notify successful bidders by telephone. While Invoices are sent out by email or mail after the auction we do not accept responsibility for notifying you of the result of your bid. You are requested to contact us by telephone or in person as soon as possible after the auction to obtain details of the outcome of your bids to avoid incurring charges for late payment.
- 5.8 Packing and handling**  
5.8.1 We shall use all reasonable endeavours to take care when handling and packing a purchased Lot but remind you that after seven days or from the time of collection, whichever is sooner, the Lot is entirely at your risk. Our postage charges are set out at the back of the catalogue.  
5.8.2 It is the responsibility of the Buyer to be aware of any Import Duties that may be incurred upon importation to the final destination. Spink will not accept return of any package in order to avoid these duties. The onus is also on the Buyer to be aware of any Customs import restrictions that prohibit the importation of certain collectibles. Spink will not accept return of the Lot(s) under these circumstances. Spink will not accept responsibility for Lot(s) seized or destroyed by Customs.  
5.8.3 If the Buyer requires delivery of the Lot to an address other than the invoice address this will be carried out at the discretion of Spink.
- 5.9 Recommended packers and shippers**  
If required our shipping department may arrange shipment as your agent. Although we may suggest carriers if specifically requested, our suggestions are made on the basis of our general experience of such parties in the past and we are not responsible to any person to whom we have made a recommendation for the acts or omissions of the third parties concerned.
- 5.10 Remedies for non-payment or failure to collect purchases**  
5.10.1 If you fail to make payment within seven days of your stipulated payment date set out in your invoice, we shall be entitled to exercise one or more of the following rights or remedies:  
5.10.1.1 to charge interest at the rate of 2% per month compound interest, calculated on a daily basis, from the date the full amount is due;  
5.10.1.2 to set off against any amounts which the Spink Group may owe you in any other transaction the outstanding amount remaining unpaid by you;  
5.10.1.3 we may keep hold of all or some of your Lots or other property in the possession of the Spink Group until you have paid all the amounts you owe us or the Spink Group, even if the unpaid amounts do not relate to those Lots or other property. Following fourteen days' notice to you of the amount outstanding and remaining unpaid, the Spink Group shall have the right to arrange the sale of such Lots or other property. We shall apply the proceeds in discharge of the amount outstanding to us or the Spink Group, and pay any balance to you;  
5.10.1.4 where several amounts are owed by you to the Spink Group in respect of different transactions, to apply any amount paid to discharge any amount owed in respect of any particular transaction, whether or not you so direct;  
5.10.1.5 to reject at any future auction any bids made by you or on your behalf or obtain a deposit from you before accepting any bids.  
5.10.2 If you fail to make payment within thirty-five days, we shall in addition be entitled:  
5.10.2.1 to cancel the sale of the Lot or any other item sold to you at the same or any other auction;  
5.10.2.2 to arrange a resale of the Lot, publicly or privately, and, if this results in a lower price being obtained, claim the balance from you together with all reasonable costs including a 20% seller's commission, expenses, damages, legal fees, commissions and premiums of whatever kind associated with both sales or otherwise, incurred in connection with your failure to make payment;  
5.10.2.3 when reselling the Lot, place a notice in our catalogue stating that you successfully purchased the Lot at auction but have subsequently failed to pay the Hammer Price of the Lot; or  
5.10.2.4 take any other appropriate action as we deem fit.  
5.10.3 If you fail to collect within fourteen days after the sale, whether or not payment has been made, you will be required  
5.10.3.1 to pay a storage charge of £2 per item per day plus any additional handling cost that may apply.  
5.10.3.2 you will not be entitled to collect the Lot until all outstanding charges are met, together with payment of all other amounts due to us.
- 5.11 Use of Default Information**  
If you fail to make payment for a Lot in accordance with these Terms and Conditions:  
5.11.1 we reserve the right to refuse you the right to make bids for any future auction irrespective of whether previous defaults have been settled; and  
5.11.2 you acknowledge that we may (as necessary for our legitimate interests those of other auctioneers and live bidding platforms in referencing customers and avoiding customer defaults) disclose details of such default to other auctioneers and live bidding platforms, which will include your name, address, nature of the default and the date of the default.  
Auctioneers or live bidding platforms who receive details of the default may rely on such information when deciding whether to enter into a transaction with you in the future.
- 5.12 Export Licence**  
5.12.1 If required we can, at our discretion, advise you on the detailed provisions of the export licensing regulations. Where we advise you in relation to export licensing regulations the ultimate responsibility in respect of any export will lie with you and we will not be responsible for your failure to apply for any necessary licences.  
5.12.2 If the Lot is going to be hand carried by you, you may be required to produce a valid export licence to us or sign a waiver document stating that a licence will be applied for.  
5.12.3 You should always check whether an export licence is required before exporting. Export licences are usually obtained within two or three weeks but delays can occur.  
5.12.4 Unless otherwise agreed by us in writing, the fact that you wish to apply for an export licence does not affect your obligation to make payment within seven days nor our right to charge interest on late payment.  
5.12.5 If you request that we apply for an export licence on your behalf, we shall be entitled to recover from you our disbursements and out of pocket expenses in relation to such application, together with any relevant VAT.  
5.12.6 We will not be obliged to rescind a sale nor to refund any interest or other expenses incurred by you where payment is made by you despite the fact that an export licence is required.
- 5.13 Refund in the case of Forgery**  
5.13.1 A sale will be cancelled, and the amount paid refunded to you if a Lot (other than a miscellaneous item not described in the catalogue) sold by us proves to have been a Forgery. We shall not however be obliged to refund any amounts if either (a) the catalogue description or saleroom notice at the auction date corresponded to the generally accepted opinion of scholars or experts at that time, or fairly indicated that there was a conflict of opinions, or (b) it can be demonstrated that the Lot is a Forgery only by means of either a scientific process not generally accepted for use until after publication of the catalogue or a process which at the date of the auction was unreasonably expensive or impracticable or likely to have caused damage to the Lot. Furthermore, you should note that this refund can be obtained only if the following conditions are met:  
5.13.1.1 you must notify us in writing, within seven days of the receipt of the Lot(s), that in your view the Lot concerned is a Forgery;  
5.13.1.2 you must then return the item to us within fourteen days from receipt of the Lot(s), in the same condition as at the auction date; and  
5.13.1.3 as soon as possible following return of the Lot, you must produce evidence satisfactory to us that the Lot is a Forgery and that you are able to transfer good title to us, free from any third party claims.  
5.13.1.4 you must provide to us all evidence obtained by you that a Lot is a Forgery no later than 7 days after you receive such evidence.  
5.13.2 In no circumstances shall we be required to pay you any more than the amount paid by you for the Lot concerned and you shall have no claim for interest.  
5.13.3 The benefit of this guarantee is not capable of being transferred, and is solely for the benefit of the person to whom the original invoice was made out by us in respect of the Lot when sold and who, since the sale, has remained the owner of the Lot without disposing of any interest in it to any third party.  
5.13.4 We shall be entitled to rely on any scientific or other process to establish that the Lot is not a Forgery, whether or not such process was used or in use at the date of the auction.

## 6 LIABILITY

Nothing in these Terms and Conditions limits or excludes our liability for:

- 6.1 death or personal injury resulting from negligence; or
- 6.2 any damage or liability incurred by you as a result of our fraud or fraudulent misrepresentation.

## 7 USE OF YOUR PERSONAL INFORMATION

7.1 We will use the personal information you provide to us as set out in our privacy notice (available at <https://spink.com/privacy-policy>) and in particular to:

- 7.1.1 process the bids you make on Lots (whether successful or otherwise) and other auction related services we provide;
- 7.1.2 process your payment relating to a successful purchase of a Lot;
- 7.1.3 arrange for delivery of any Lot you purchase, which will include passing your details to shipping providers and, on overseas deliveries, to customs where they make enquiries regarding the Lot;
- 7.1.4 inform you about similar products or services that we provide, but you may stop receiving these at any time by contacting us.

7.2 In accordance with clause 4.2, we may pass your information to credit reference agencies in order to obtain credit checks from them, and they may keep a record of any search that they do.

7.3 In accordance with clause 5.11, where you default on making payment for a Lot in accordance with these terms and conditions we may disclose details of such default to other auctioneers and live bidding platforms.

7.4 We are also working closely with third parties (including, for example, other auctioneers and live bidding platforms) and may receive information about you from them.

7.5 Where you provide us with personal information about other individuals, you must ensure that your provision of that information is compliant with applicable data protection law.

## 8 COPYRIGHT

8.1 We shall have the right (on a non-exclusive basis) to photograph, video or otherwise produce an image of the Lot. All rights in such an image will belong to us, and we shall have the right to use it in whatever way we see fit.

8.2 The copyright in all images, illustrations and written material relating to a Lot is and shall remain at all times our property and we shall have the right to use it in whatever way we see fit. You shall not use or allow anyone else to use such images, illustrations or written material without our prior written consent.

## 9 VAT

You shall give us all relevant information about your VAT status and that of the Lot to ensure that the correct information is printed in the catalogues. Once printed, the information cannot be changed. If we incur any unforeseen cost or expense as a result of the information being incorrect, you will reimburse to us on demand the full amount incurred.

## 10 NOTICES

All notices given under these Terms and Conditions may be served personally, sent by 1st class post, or faxed to the address given to the sender by the other party. Any notice sent by post will be deemed to have been received on the second working day after posting or, if the addressee is overseas, on the fifth working day after posting. Any notice sent by fax or served personally will be deemed to be delivered on the first working day following despatch.

## 11 ADDITIONAL PROVISIONS

The following provisions of this clause 11 shall apply only if you are acting for the purposes of your business.

### 11.1 Limitation of Liability

Subject to clause 6, we shall not be liable, whether in tort (including for negligence) or breach of statutory duty, contract, misrepresentation or otherwise for any:

- 11.1.1 loss of profits, loss of business, depletion of goodwill and/or similar losses, loss of anticipated savings, loss of goods, loss of contract, loss of use, loss of corruption of data or information; or
- 11.1.2 any special, indirect, consequential or pure economic loss, costs, damages, charges or expenses.

### 11.2 Severability

If any part of these Terms and Condition is found by any court to be invalid, illegal or unenforceable, that part may be discounted and the rest of the conditions shall continue to be valid and enforceable to the fullest extent permitted by law.

### 11.3 Force majeure

We shall have no liability to you if we are prevented from, or delayed in performing, our obligations under these Terms and Conditions or from carrying on our business by acts, events, omissions or accidents beyond our reasonable control, including (without limitation) strikes, lock-outs or other industrial disputes (whether involving our workforce or the workforce of any other party), failure of a utility service or transport network, act of God, war, riot, civil commotion, malicious damage, compliance with any law or governmental order, rule, regulation or direction, accident, breakdown of plant or machinery, fire, flood, storm or default of suppliers or subcontractors.

## 11.4 Waiver

11.4.1 A waiver of any right under these Terms and Conditions is only effective if it is in writing and it applies only to the circumstances for which it is given. No failure or delay by a party in exercising any right or remedy under these Terms and Conditions or by law shall constitute a waiver of that (or any other) right or remedy, nor preclude or restrict its further exercise. No single or partial exercise of such right or remedy shall preclude or restrict the further exercise of that (or any other) right or remedy.

11.4.2 Unless specifically provided otherwise, rights arising under these Terms and Conditions are cumulative and do not exclude rights provided by law.

## 11.5 Law and Jurisdiction

11.5.1 These Terms and Conditions and any dispute or claim arising out of or in connection with them or their subject matter, shall be governed by, and construed in accordance with, the law of England and Wales.

11.5.2 The parties irrevocably agree that the courts of England and Wales shall have exclusive jurisdiction to settle any dispute or claim that arises out of, or in connection with, Terms and Conditions or their subject matter.

## Postal Charges

### Prices for all items including postage and packaging

Invoice Value	UK	EU	Rest of the World
Up to £1,500	£12	£20	£30
Up to £10,000	£20	£40	£60
Above £10,001	£30	£60	£90

The above fees are in GBP and would be converted into the sale currency if applicable.

Shipments of more than 2kg or volumetric measurement of more than 2kg have to be sent by courier. Certain countries may incur extra charge when courier services are required by our insurance policy. For lots sent by courier please contact [Auctionteam@spink.com](mailto:Auctionteam@spink.com) for calculation of any further relevant cost in addition to the above charges.

## Value Added Tax (VAT)

### Charging of (VAT) at Auction

The information shown on this page sets out the way in which Spink intends to account for VAT.

#### i. Auctioneers' Margin Scheme

1. Where possible, we will offer Lots for sale under the Auctioneers' Margin Scheme. Such Lots can be identified by the absence of any VAT symbol next to the Lot number in the catalogue. Such lots are subject to 20% VAT on the Buyers' Premium but are not be subject to VAT on the Hammer Price.
2. Where Lots are sold using the Auctioneers' Margin Scheme to UK VAT-registered businesses, the VAT on Buyers' Premium is not recoverable as input tax. Upon request on sale day, we will issue invoices that show VAT separately on both the Hammer Price and the Buyer's Premium. This will enable VAT-registered businesses to recover the VAT charged as input tax, subject to the normal rules for recovering input tax.

#### ii. Zero-Rated Lots

Limited Categories of goods, such as books, are Zero-rated (o) for VAT in the United Kingdom. Such Lots are offered under the Auctioneers' Margin Scheme. In these circumstances no VAT will be added to the Buyer's premium.

#### iii. Daggedged Lots

Lots which are Daggedged (†) in the catalogue are subject to VAT at 20% on both the Hammer Price and the Buyer's Premium.

#### iv. Imported and Omega Lots

Lots which are marked (x) in the catalogue are subject to VAT at 5% on the Hammer price plus 20% on the Buyer's premium. Lots which bear the Omega symbol (Ω) are subject to VAT at 20% on the Hammer Price and on the Buyer's Premium. This VAT is payable on items imported from outside the EU. In these cases we have used a temporary importation procedure, which in effect means that the point of importation is deferred until the Lot has been sold. At this point the Buyer is treated as the importer and is liable to pay the import VAT due. We will collect the VAT from you and pay it to HM Customs and Excise on your behalf.

#### v. Investment Gold Lots

Lots marked (g) in the catalogue are exempt from VAT on the Hammer Price and are subject to VAT at 20% on the Buyer's Premium. A refund of VAT charged on the Buyer's Premium can also be made on receipt of proof of business as a collectibles dealer outside of the EU.



# SPINK

WHERE HISTORY IS VALUED

## SALE CALENDAR 2020

### STAMPS

25 Aug-8 September	Stamps of the British Commonwealth	London	20139
3 September	The Charles Freeland Collection of Three Islands: St Lucia, Nevis & St Vincent	London	20037
1 October	The Gary Diffen Collection of Australian Colonies Errors - Part 2	London	20039
1 October	The 'Lionheart' Collection of Great Britain and British Empire - Part XIII	London	20013
3 October	The Doyen Collection	London	20034
14 October	Stamps and Covers of Southern Africa	London	20040
28 October-11 November	Stamps and Covers of France and French Colonies	London	20160
4-17 November	The Double Head & Admiral Stamps of Rhodesia	New York	175
19 November	The "Dubois" Collection of Jamaica Postal History and Stamps - Part I	London	20041
1-9 December	The Philatelic Collectors' Series Sale	New York	176

### COINS

9-16 September	Spink Numismatic e-Circular 4 - Part I: Halfcrowns, Florins & Shillings	London	20124
10-17 September	Spink Numismatic e-Circular 4 - Part II: Ancient Coins	London	20154
28-29 August	The Numismatic Collectors' Series sale	Hong Kong	CSS51
10-21 September	The Numismatic Collector's Series Sale	New York	360
15 September	British Coins and Commemorative Medals: Autumn Auction	London	20005
15 September	The Ennismore Collection of Anglo-Saxon and Viking Coins	London	20055
22 September-6 October	Spink Numismatic e-Circular 5: Indian and Islamic Coins	London	20125
7 October	A Collection of English Patterns	London	20006
13 October-1 November	The Numismatic Collector's Series e-Auction	Hong Kong	CSS55
1-10 December	Spink Numismatic e-Circular 6: Sixpences	London	20126
15 December	The Tony Abramson Collection of Dark Age Coinage	London	20000

### BANKNOTES

6-30 August	World Banknotes e-Auction	London	20110
28-29 August	The Numismatic Collectors' Series sale	Hong Kong	CSS51
10-21 September	The Numismatic Collector's Series Sale	New York	360
8 October	The Mark T. Ray Collection of English Banknotes	London	20021
13 October-1 November	The Numismatic Collector's Series e-Auction	Hong Kong	CSS55
4/5 November	World Banknotes	London	20009

### MEDALS

10-21 September	The Numismatic Collector's Series Sale	New York	360
6-15 October	The National Toy Soldier Museum Collection: Part 1 - Uniforms	New York	362
13 October-1 November	The Numismatic Collector's Series e-Auction	Hong Kong	CSS55
11-19 November	The National Toy Soldier Museum Collection: Part 2 - Medals	New York	363
8/9 December	Orders, Decorations and Medals	London	20003

### BONDS & SHARES

2-22 September	Bonds and Share Certificates of the World e-Auction	London	20128
13 October-1 November	The Numismatic Collector's Series e-Auction	Hong Kong	CSS55

### HISTORICAL DOCUMENTS

16-27 October	Autographs & Documents e-Auction	New York	364
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### LIFESTYLE COLLECTABLES

23-30 August	Fine Whisky & Rum E-Auction	Hong Kong	SFW35
3-14 December	Estates and Collections e-Auction	New York	365

The above sale dates are subject to change

Spink offers the following services:

- VALUATIONS FOR INSURANCE AND PROBATE FOR INDIVIDUAL ITEMS OR WHOLE COLLECTIONS -
- SALES ON A COMMISSION BASIS EITHER OF INDIVIDUAL PIECES OR WHOLE COLLECTIONS -



14



23



17



24



20



25



£25



BY APPOINTMENT TO  
HER MAJESTY THE QUEEN  
JEWELLERS & FINE GOLD SMITHS  
SPINK & SONS LTD, LONDON



BY APPOINTMENT TO  
HIS MAJESTY THE KING  
JEWELLERS & FINE GOLD SMITHS  
SPINK & SONS LTD, LONDON

# SPINK

*WHERE HISTORY IS VALUED*

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