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# THE FRESHWATER COLLECTION OF UNITED STATES

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# SPINK

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*WHERE HISTORY IS VALUED*

**January 28, 2020**

**NEW YORK**



# THE FRESHWATER COLLECTION OF UNITED STATES

Formed by Leonard Plowman, Esq.

## at Spink NYC Office

January 28, 2020

145 W 57th Street 18th Floor

New York, NY 10019

Sale Details | Spink NYC Office  
Tuesday January 28, 2020 at 1:00 p.m. est Lot 1  
this sale should be referred to as **COLLECTORS - 172**

Viewing of Lots | At our offices in New York:  
Thursday January 23, 2020 10:00 a.m. – 4:00 p.m.  
Friday January 24, 2020 10:00 a.m. – 4:00 p.m.  
Monday January 27, 2020 10:00 a.m. – 4:00 p.m.  
Or by appointment in our galleries.

Live platform | **StampAuction**  
NETWORK

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Before bidding by internet for the first time, we recommend finding a sale in progress and listening to the public broadcast or logging in as a registered bidder. This will help you develop a feel for the sale tempo and bidding interface.

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## CATALOG KEY

### SYMBOLS

★	MINT (O.G.) OR UNUSED AS ISSUED	BK	COMPLETE BOOKLET
★★	MINT-NEVER HINGED	BP	BOOKLET PANE
(★)	UNUSED (WITHOUT GUM OR REGUMMED)	E	ESSAY
○	USED	P	PROOF
□	BLOCK OF FOUR OR LARGER	PB	PLATE BLOCK
▲	ON PIECE	R	REPRINT
☒	COVER, CARD OR ON ENTIRE	S	SPECIMEN
◆	GRADED	TC	TRIAL COLOR PROOF
©	CANCELLED		

### ABBREVIATIONS

c.d.s.	CIRCULAR DATE STAMP	ms.	MANUSCRIPT
cpkt.	COMPLETE	n.h.	NEVER HINGED
fdc	FIRST DAY COVER	o.g.	ORIGINAL GUM
h.r.	HINGE REMNANT	ovpt.	OVERPRINT
h.s.	HANDSTAMP	pmk.	POSTMARK
incl.	INCLUDING	s.e.	STRAIGHT EDGE

### CONDITION

EXTREMELY FINE -	OUTSTANDING, THE HIGHEST QUALITY POSSIBLE.
VERY FINE -	CHOICE CONDITION, WELL CENTERED; IF IMPERFORATE WITH FOUR WELL CLEAR MARGINS.
FINE -	SOUND IN ALL RESPECTS, PERFORATIONS CLEAR DESIGN; IF IMPERFORATE MARGINS MAY BE CLOSE OR TOUCHING, BUT WILL NOT CUT DESIGN.
VERY GOOD -	STAMPS ARE SO GRADED EITHER BY REASON OF GENERAL APPEARANCE OF IMPERFECT CENTERING OR A FAULT.

*At all times any faults such as a crease, tear, thin spot, etc. will be specifically described. In collection lots and balances the condition described is meant to indicate the overall average to be found. Examples above and below the condition listed can be expected. Typically we do not describe minor perforation separations on blocks or sheets as these are quite normal and are not grounds for their return. In regard to covers, torn or partially missing black flaps, light filing folds and small edge tears, unless they affect the adhesive or markings, are not justification for the return of a lot.*

### CATALOGUES USED

*The Catalogue numbers and catalogue values of the lots, given in the descriptions, are from the Scott Specialized Catalog of Stamps and Covers and other catalogs named in the description of the lot.*

### ESTIMATES

*If an Estimated cash value is given, it is shown in the descriptions with the abbreviation "Est." Estimates, which are in United States dollars, are used when a lot is large or when it is not listed in the standard catalogs. Our estimates are typically conservative and the lots invariably realize in the estimate ranges or higher.*

*Tuesday, January 28, 2020  
First Session Lots 1-135  
Commencing at 1:00 pm EST  
Spink, New York, NY*

**UNITED STATES  
POSTMASTERS' PROVISIONALS**



1

- 1  **#9X1, New York, N.Y., 5c Black Postmaster Provisional, signed ACM connected**, two large margins, touching frameline at lower left, tied to 1846 folded letter by red curved "Paid" handstamp and "New York 5 Cts Dec 25" circular datestamp, to Morristown, New Jersey, with 2019 P.F. certificate. (photo)

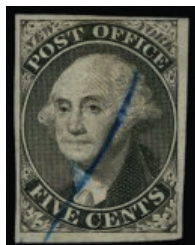
600.00



2

- 2  **#9X1a, New York, N.Y. 5c Black Postmaster Provisional, signed ACM, AC connected**, margins just touching to clear, on 1848 folded letter to Liverpool, England, red curved "Paid" handstamp and "New York 5 Cts 31 Dec" circular datestamp, black shilling due marking, endorsed per "Acadia via Boston" (Cunard Line), "America Liverpool JA 15 1846" arrival backstamp, an attractive and desirable transatlantic usage, with 2019 P.F. certificate. (photo)

2,250.00



3



4

- 3 ○ **#9X1e, New York, N.Y. 5c Black Postmaster Provisional, without signature**, ample to large margins, cancelled in blue manuscript, detailed impression, light horizontal crease along bottom of vignette and trace of fingerprint, fine, with 2019 P.F. certificate. (photo) 900.00

**1847 ISSUE**

- 4 ○ **#1, 5c Red brown**, four clear to large margins, used with red grid cancel, very fine, with 2019 P.F. certificate (VF 80). (photo) 350.00



5

- 5 ☒ **#1, 5c Red brown**, large margins at top and right, tied by red circular grid cancel to folded cover, matching "Rochester NY 5" c.d.s., to Coburg, Canada West, with double split-ring arrival postmark on front, a fine usage to Canada, paying the rate for under 300 miles distance to the border, with 2019 P.F. certificate. (photo) Est. 400-500



6

- 6 ☒ **#1a, 5c Dark brown**, four clear margins, cancelled with "5" rate handstamp, struck again beside, on 1851 folded letter, postmarked with blue "Baltimore MD. JAN 18" circular datestamp, to Philadelphia, Pennsylvania, typical filing folds, clear of stamp, fine, with 2019 P.F. certificate. (photo) 900.00





7

- 7  #2, 10c Black on folded letter from Canada, four-margin example, tied by red "10" rate handstamp, postmarked with "Kingston NO 26 1849" double split-ring, to New York, via Sackets Harbor, New York, with NO 28 transit c.d.s., "CANADA" exchange office handstamp and manuscript "10" rate notation, typical filing folds, clear of stamp, a fine and rare usage from Canada, ex-Kennedy correspondence, with 2019 P.F. certificate. (photo)

Est. 1,500-2,000



8

- 8  #2, 10c Black on transatlantic cover to England, four clear to large margins, tied to folded letter by orange grid cancel, with contents datelined New Orleans 1848, "Mobile ALA Jun 2" circular datestamp, to Blackburn, England, magenta manuscript "WAY" and manuscript shilling due mark, an attractive cover, unusual and very fine, with 2019 P.F. certificate. (photo)

Est. 750-1,000



9

- 9  **#2, 10c Black major double transfer Type C**, four clear to large margins, on cross-border folded cover, tied by red grid cancel, matching "Hudson Riv. Mail N.Y. Aug 30" circular datestamp, to Montreal, red "10" rate handstamp and manuscript "4 1/2" due marking indicating the Canadian domestic portion from the border, Montreal arrival backstamp, side flaps removed, not detracting from this scarce usage on cover, fine, with 2019 P.F. certificate. (photo)

Est. 750-1,000



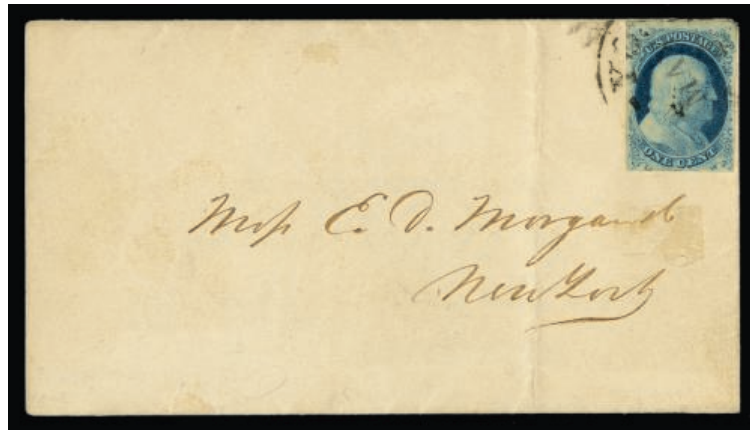
10

- 10  **#2, 10c Black**, position 44R, four clear margins, tied by red square grid cancel on 1848 folded letter, to D.B. Stevenson, Picton, Canada West, noted "Paid to the Lines", crossed at the Queenston/Lewiston exchange office, with OCT 26 1848 double split-ring transit, "9" pence in manuscript being the amount due for domestic Canadian postage, endorsed "if not at Picton try Kingston, Canada," a fine cross-border cover, with 2019 P.F. certificate.

New York-born David Barker Stevenson was a significant figure in Prince Edward County, Upper Canada, both for his business as well as political interests. A Conservative and ally of Sir John A. MacDonald (who represented Kingston, and was later Canada's first Prime Minister), Stevenson was elected in 1848 to represent Picton in the Third Legislative Assembly of the Province of Canada. (photo)

Est. 1,000-1,500

**1851-1856 ISSUE**



11

- 11    ☒    **#5A, 1c Blue, Ty. Ib**, position 4R1E, large margin at top, tight at bottom and lower right, tied by New York circular datestamp (1852) on folded letter with printed contents, addressed locally, stamp with a tiny vertical scissor cut at bottom left, a fine and rare example on cover, with 2019 P.F. certificate. (photo) 8,500.00



12

- 12    ○    **#6, 1c Blue, Ty. Ia**, position 99R4, large margin at left, just touching on other three sides, indistinct postmark, tiny ink spot showing through at lower left, a fine example of this rare printing, showing the full ornaments at bottom, with 2019 P.F. certificate. (photo) 9,500.00



13

- 13    ○    **#6, 1c Blue, Ty. Ia**, position 95R4, trimmed from sheet on an angle, thus showing portion of adjoining stamp at upper right and lower left, cut into at upper left and lower right, large margins at top and bottom, with indistinct circular datestamp, fine and rare, with 2019 P.F. certificate. (photo) 9,500.00



14

- 14    ☒    **#6, 1c Blue, Ty. Ia**, position 92R4, close margins just touching at top and lower right, tied to cover by "Middlebury Vt May 13" circular datestamp, to Weybridge, Vermont, fine, a rare stamp particularly on cover, with 2019 P.F. certificate. (photo)

14,000.00



15

- 15    ○    **#6b, 1c Blue, Ty. Ic**, position 89R4, large to ample margins, deep rich color on bright, fresh paper, unobtrusive partial town cancel, minute thin spot and trivial scissor cut confined to lower right margin only, an attractive and desirable example, very fine, with 2019 P.F. certificate. (photo)

3,000.00



16

- 16 ★ **#7, 1c Blue, Ty. II, Major Plate Crack**, a dramatic top margin block of ten, positions 1-4, 11-14, 23, and 24L2, showing the major **cracked plate flaw** from plate 2, through positions 2L, 13L, 23L and intermediate crack through 12L, disturbed original gum, vertical and horizontal creases, and closed tear in position 12, fine appearance, with 2019 P.F. certificate.

*An exceptionally striking and important example of this famous flaw. Our research suggests that this is the largest known multiple, next to a block of nine, ex-Colonel Green, which is illustrated in Ashbrook. Offered for the first time in over half a century, it is a spectacular and key exhibit piece destined for an advanced collection. (photo)*

Est. 4,000-5,000



17



18



19

- 17 ○ **#7, 1c Blue, Ty. II, triple transfer, one inverted**, position 91L1E, mostly clear margins, just touching at bottom, trivial tear confined to right margin, fine, with 2019 P.F. certificate. (photo) 400.00
- 18 ○ **#8, 1c Blue, Ty. III**, three large to huge margins, just touching at right, deep color, used with black circular grid cancel, corner crease at upper left, fine, with 2019 P.F. certificate. (photo) 1,600.00
- 19 ○ **#8, 1c Blue, Ty. III**, position 66R4, four clear to large margins, deep color, filled in thin at lower right, very fine appearance, with 2019 P.F. certificate. (photo) 1,600.00



20



21

- 20 ○ **#8A, 1c Blue, Ty. IIIa**, position 40R4, four large to huge margins, clear New York circular date stamp, crisp impression, a lovely and extremely fine stamp, with 2019 P.F. certificate (XF90). (photo) 800.00
- 21 (★) **#9, 1c Blue, Ty. IV**, unused, position 79L1L, recut twice and top and twice at bottom, three clear to large margins, just into at bottom, attractive deep shade, fine, with 2019 P.F. certificate. (photo) 240.00



22



23

- 22 (★) **#9, 1c Blue, Ty. IV**, unused, position 71R1L, straddle pane single with center line at left, generous margins at top and right, trivial natural inclusion at bottom right, a visually-appealing example, extremely fine, 2019 P.F. certificate (XF90) (photo) 240.00
- 23 ★ **#9, 1c Blue, Ty. IV major double transfer**, position 44L1L, hinged original gum, ample margins just into at bottom, an attractive example of this desirable variety, fine-very fine, with 2019 P.F. certificate. (photo) 850.00



24

24



**#9, 1c Blue, Ty. IV upper margin pair**, tied to folded cover by partial New Orleans c.d.s., handstamped "open printed circular", endorsed "Per 1st Steamer" to Liverpool, handstruck black "2" due marking, "America Liverpool Ju 10 55" c.d.s. arrival backstamp, a few toning spots, yet a nice transatlantic usage (photo)

Est. 100-150



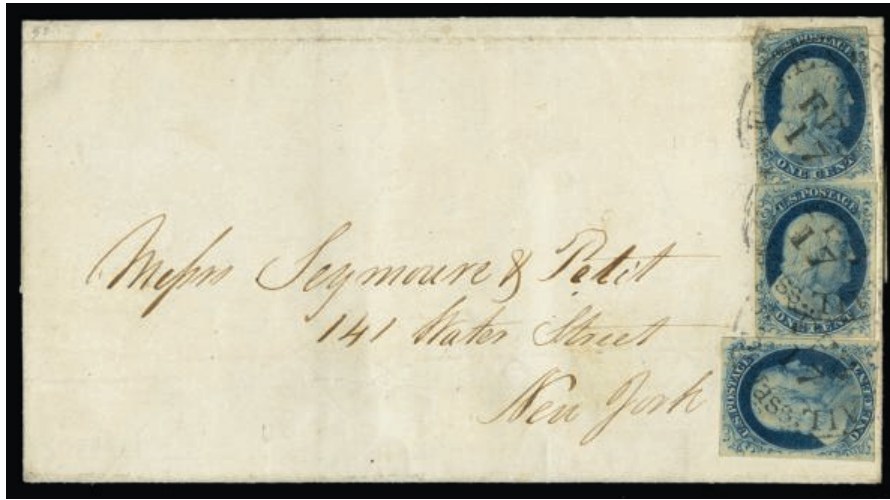
25

25



**#9, 1c Blue, Ty. IV**, tied by Bridgewater VT circular datestamp, on buff cover to Rev. A Booth at Darien's Depot, Connecticut, with "Intemperance is the Curse of the World" design, Wm. C. Hale imprint at right, a desirable example of this popular political propaganda illustration, very fine. (photo)

Est. 750-1,000



26

- 26 ☒ #9, 1c Blue, Ty. IV, three singles tied by folded cover by "U.S. Express Mail Boston Mass. Feb 17" circular datestamp, to New York, horizontal filing fold through top of stamp, an uncommon strike on a fine cover. (photo)

Est. 150-200



27

- 27 ☒ #9, 1c Blue, Ty. IV 1853 British Open Mail Rate to St Petersburg, Russia, plate IL, recut top and bottom, with additional 1c and 3c dull red Type I, all tied by "Philadelphia PA May 3" circular datestamp, red "New York May 4 Br. Pkt" transit, "London 16 MY 1853" and red "Seebrief per England und Aachen" c.d.s. backstamps, manuscript "1/-" due and "11/3" silbergroschen marking, a fine and rare example of this difficult rate.

Lots 27, 28, and 35 are ex-Winans correspondence. William Louis Winans was a noted railway pioneer, who, with his brother, traveled to Russia to equip the new railway linking St. Petersburg and Moscow, establishing locomotive workshops in Alexandrovsky. He remained in Russia until 1862, later settling in London until his death. (photo)

Est. 1,500-2,000





28

- 28  #9, 1c Blue, Ty. IV (Two), 1855 British open mail cover to St Petersburg, Russia, with 3c dull red Type I, all tied by "Philadelphia Jan 1" circular datestamps, partial "Seebrief per England und Aachen" c.d.s. backstamp, endorsed "via Boston Steamer / January 3rd 1855", manuscript "1/-" due and "12/2" silbergroschen manuscript marking in blue, rare.

Lots 27, 28, and 35 are ex-Winans correspondence. William Louis Winans was a noted railway pioneer, who, with his brother, traveled to Russia to equip the new railway linking St. Petersburg and Moscow, establishing locomotive workshops in Alexandrovsky. He remained in Russia until 1862, later settling in London until his death. (photo)

Est. 1,500-2,000



29

- 29  #10A, 3c Orange brown, Type II, three singles, with 1c blue Franklin, all postmarked with black PAID circular grid handstamp, red "Boston 7 Jan 10 Cts" credit marking, black circular "6d" Canadian postage due, "Montreal JA 9 1852 U.C." double split-ring arrival backstamp, some edge soiling including to 1c stamp, still an attractive and eye-catching franking, very fine. (photo)

Est. 150-200



30

- 30 ○ #12, 5c Red brown, horizontal interpane pair, showing the center line at right, large margins to just touching at upper right, postmarked with New Orleans c.d.s., fine, with 2019 P.F. certificate. (photo)

1,600.00



31

- 31 ○ #12, 5c Red brown, used vertical strip of three, large balanced margins, cancelled with crisp black and red town postmarks, center stamp with light diagonal crease, not detracting from the visual appeal of this scarce strip, very fine, with 2019 P.F. certificate. (photo)

3,750.00



32

- 32  #12, 5c Red brown, clear to large margins, tied to cover by "New Orleans Dec 29" c.d.s., red New York British packet exchange transit datestamp, received in France on 19 January 57 with black "Etats-Unis Paq. Brit. A. Calais" entry c.d.s., boxed "GB / 1F 60c" debit mark and "8" (decimes) due handstamp, black "Vendome 20 Janv 57" arrival backstamp. Some light soiling around edges, a fine example of the 5c red brown used during the "Three Month" period. (photo)

Est. 500-750



33

- 33  #16, 10c Green, Ty. IV, position 76L1, large to huge margins, clear at right, centrally-struck black grid cancel, appealing deep color, very fine, with 2019 P.F. certificate. (photo)

1,600.00

## BUYER'S PREMIUM

PLEASE NOTE THERE IS A 20% BUYER'S PREMIUM ADDED TO THE HAMMER PRICE OF EACH LOT.



34

- 34  #17, 12c Black strip of 3 on 45c British Mail Rate cover to Buenos Aires, Argentina, clear to ample margins at top and bottom, right stamp partially affixed ovetop by strip of three 3c dull red, postmarked with black circular grid cancels, red "New York Br Pkt Oct 26" circular datestamp, endorsed "per Steamer / via England" and "per Canada / from Boston" (Cunard Line), to Buenos Aires, Argentina, manuscript "40" accountancy mark and red London "Paid" transit datestamp, horizontal filing fold, not detracting from this rare cover. (photo)

Est. 3,000-4,000

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35

- 35 ☒ **#17, 12c Black (3) 37c Prussian Closed Mail cover to St Petersburg, Russia**, three singles, mostly close margins, with 1c blue Type IV (9), on folded cover, tied by Philadelphia circular datestamp, to William Winans at Alexandrovsky, St Petersburg, Russia, manuscript "Via Prussian Closed Mail" at upper left, red boxed "Aachen 26/2 / Franco" handstamp ovetop, manuscript "14" credit marking and blue "f3" transit fee notation, typical filing folds, a few tone spots on 12c stamps, a lovely example illustrating this scarce rate.

Lots 27, 28, and 35 are ex-Winans correspondence. William Louis Winans was a noted railway pioneer, who, with his brother, traveled to Russia to equip the new railway linking St. Petersburg and Moscow, establishing locomotive workshops in Alexandrovsky. He remained in Russia until 1862, later settling in London until his death. (photo)

Est. 2,000-3,000



36

- 36 ○ **#17, 12c Black horizontal pair**, close to clear margins, tied to folded letter by "Philadelphia PA June 12 1855" circular datestamp, to Stratford, London, England, endorsed "via New York & Liverpool," with red "3 Jun 12 Phila Am Pkt" and London Paid (25 Jun) arrival datestamp, fine. (photo)

750.00



37

- 37 ○ **#17, 12c Black**, four large, balanced margins, crisp strike of blue circular datestamp, attractive and very fine, with 2019 P.F. certificate (VF80). (photo) 265.00

**1857-1860 ISSUE**



38

- 38 ○ **#18, 1c Blue, Ty. I**, position 90R12, bright color, jumbo margins, postmarked with indistinct c.d.s., a beautifully-centered stamp, extremely fine, with 2019 P.F. certificate (XF-90J) (photo) 500.00



39

- 39 ○ **#18, 1c Blue, Ty. I**, horizontal pair, position 21-22 R12, showing center guide line at left, postmarked with red grid cancels, small manuscript mark at upper left, a fine pair, with 2019 P.F. certificate. (photo) 1,070.00



40

- 40 ☒ #18, 1c Blue, Ty. I, on folded cover, tied by "New York 3 Jul" circular datestamp, to Geneva, Switzerland, with July 17 1861 arrival backstamp, a fine and uncommon usage. (photo)

Est. 200-300



41

- 41 ☒ #18, 1c Blue, Ty. I, in combination with 3c dull red (#26) and 10c green Type V pair (#35), tied to cover by indistinct circular date stamp, the 1c also tied by red "19" rate handstamp, to Skipton, Yorkshire, England, with "Leeds My 21 61" and "Skipton My 21 61" c.d.s. backstamps, some creasing not detracting from this scarce three-color combination franking. (photo)

Est. 200-300



42

- 42 (★) **#20, 1c Blue, Ty. II**, plate 12, relief "B", unused, impressive margins at left and right, deep color and striking appearance, very fine, with 2019 P.F. certificate (VF-XF85) (photo) 375.00



43

- 43 ○ **#21, 1c Blue, Ty. III**, nicely centered amidst large balanced margins, used with 1859 town c.d.s., small thin spot at top, a very fine appearing stamp that presents wonderfully, with 2019 P.F. certificate. (photo) 1,600.00



44

- 44 ○ **#21, 1c Blue, Ty. III & Ty. IIIa**, horizontal pair, positions 75L4 and 76L4, centered to upper left, fresh paper and crisp appearance, indistinct black town postmark, a fine and attractive combination pair, with 2019 P.F. certificate. (photo) 2,500.00





45



46

- 45 ○ **#22, 1c Blue, Ty. IIIa**, position 60R4, well-centered and tied to small piece by crisp corner cancel, showing two albino scoring lines at bottom, very fine, with 2019 P.F. certificate. (photo) 475.00
- 46 (★) **#23, 1c Blue, Ty. IV**, unused, position 9R2, dramatic deep color, well-centered but re-perforated at top, fine appearance, with 2019 P.F. certificate. (photo) 4,250.00



47

- 47 ○ **#23, 1c Blue, Ty. IV**, uncommonly well-centered, distinct impression, struck with partial c.d.s., very fine, with 2019 P.F. certificate (VF80) (photo) 700.00



48

- 48 ○ **#23, 1c Blue, Ty. IV**, a nicely-centered example with pleasing color, two strikes of partial circular datestamp, attractive and very fine, with 2019 P.F. certificate. (photo) 700.00



49

- 49 ○ #23, 1c Blue, Ty. IV, horizontal pair, positions 31, 32L1L, well-centered with centrally-struck town postmark, the right stamp with two short perforations at bottom right, fine, with 2019 P.F. certificate. (photo)

2,000.00



50

- 50 ☒ #24, 1c Blue, Ty. V, pair, tied to 1860 cover to Amsterdam, Netherlands, by black PAID handstamp, endorsed per steamer "Asia" (Cunard Line), received in France on August 31 with "Et. Unis Serv. AM.D. Havre" circular datestamp, "7" manuscript accountancy mark in black, undated blue triangular Amsterdam arrival backstamp, some light overall soiling, an uncommon destination. (photo)

Est. 150-200



51

- 51    ☒    **#27, 5c Brick red, Ty. I**, three overlapping singles, tied to folded letter by "New Orleans LA. May 12 1859" circular datestamp, to Viella, Gers, France, endorsed "Per Steamer," with boxed red P.D. and "ET. Unis Serv. Am. D. Havre 3 Juin 59" transit, "Le Havre a Paris" and "Paris Aux Pyrenees" traveling post office transit markings, "Paris 3 Juin 59" and "Biscle 4 Juin 59" c.d.s. backstamps, a few short perfs on left stamp and wrinkling to cover, a fine and attractive example of this rate, with 2019 P.F. certificate. (photo)

Est. 400-500



52

- 52    ○    **#28, 5c Red brown, Ty. I**, vertical strip of three, pleasing deep color, cancelled with neatly-struck circular datestamps, a scarce and desirable strip, very fine, with 2019 P.F. certificate. (photo)

4,000.00



53

- 53    ☒    #28, 5c Red brown, Ty. I, used in combination with three overlapping 10c green singles (#32), tied to partial folded letter by "Highland Ill Jan 9" circular datestamp, to Geneva, Switzerland, endorsed "Prussian Closed Mail" at upper right, red P.D. and "Aachen 30/1 Franco" handstamps, "35" in red manuscript, "New York Jan 16 Pkt" c.d.s transit and "Geneve 2 Fevr 59" arrival backstamps, the 5c with diagonal crease and a few short perforations, trivial scrape to right 10c, some small edge faults to cover, a good illustration of the 35c Prussian Closed Mail rate, with 2019 P.F. certificate. (photo)

Est. 200-300



54

- 54    ▲    #30A, 5c Brown, Ty. II, horizontal strip of three, nicely-centered and in a rich, deep shade, postmarked with neatly-struck circular datestamps, trace of black offset along right side, very fine, with 2019 P.F. certificate. (photo)

575.00



55

55 ☒

**#30A, 5c Brown, Ty. II**, three deep-color singles, all tied to folded cover by black four-ring target cancels, postmarked with "New Orleans La Jul 24 1862" circular datestamp, red "New York Aug 2 6" credit mark, red boxed P.D. and "3 Etats-Unis 3 Serv Am. Calais 10 Aout 62" c.d.s. applied upon arrival in France, "Paris 16 Au 62" c.d.s. arrival backstamp, some light soiling, a fine and desirable usage. (photo)

Est. 250-300



56

56 ○

**#34, 10c Green, Ty. IV**, position 55L1, margins clear on all sides and particularly large at bottom, postmarked with "PAID" circular handstamp, bright appearance, very fine, with 2019 P.F. certificate. (photo)

2,100.00



57

- 57 ○ #34, 10c Green, Ty. IV, position 3R1, postmarked with light grid cancel, clear margins with jumbo at top, deep shade, trivial short perforation at top right mentioned for strict accuracy, a fine example, with 2019 P.F. Certificate. (photo) 2,100.00



58

- 58 ★ #36BVar, 12 black "Double Frame Line" variety, three clear margins, just touching frameline at top, hinged original gum, fine, with 2019 P.F. certificate. (photo) 750.00



59

- 59 ☒ #36, 12c Black (Plate 1) pair, tied to cover by Louisville, Kentucky, c.d.s., to London, England, the top 12c also cancelled with red "3" rate handstamp, red "Paid B Ja 25 59 London" c.d.s., perforated Penny Red affixed otop of lower stamp, this paying the forwarding fee to Kensington Gravel Pits, tied by "London JA 29 59" duplex, handstamped blue oval on back "Forwarded by Geo. Peabody & Co London," partial indistinct split-ring, some edge creasing, an impressive and eye-catching forwarded cover. (photo) Est. 750-1,000



60

- 60 ○ **#37, 24c Gray lilac**, large margins on three sides, struck with bold red “3” numeral handstamp, showing trace of black circular date stamp, two short perforations at lower left, an eye-catching stamp, fine, with 2019 P.F. certificate. (photo)

440.00



61

- 61 ☒ **#37, 24c Gray lilac**, vertical pair, tied to cover by red circular grid cancels, postmarked with red “N. York Br. Pkt. 38 Apr 1861 30” circular datestamp, received in London with red “Paid London Z 12 MY 51 W” double split-ring, some edge discoloration and perf faults to stamps, yet a scarce double rate cover to England. (photo)

Est. 500-750



62



63

- 62 ○ **#38, 30c Orange**, nicely-centered amidst generous balanced margins, postmarked with crisp black “Boston Mar 20” circular datestamp, striking deep shade, a lovely stamp, very fine, with 2019 P.F. certificate (VF-XF 85) (photo)

455.00

- 63 ○ **#38, 30c Orange**, postmarked with red supplementary mail cancel, deep color, good margins on three sides, into design at top, corner crease at top left, fine appearance, with 2019 P.F. certificate. (photo)

475.00

**1875 REPRINTS OF THE 1857 ISSUE**



64

- 64 ★ **#40, 1c Bright blue reprint**, without gum as issued, bright color on fresh paper, trivial nibbed perforation at upper left, fine, with 2019 P.S.E certificate. (photo) 575.00



65

- 65 ★ **#41, 3c Scarlet reprint**, without gum as issued, nicely centered for this difficult value, rich color and crisp impression on bright paper, fine; only 479 stamps were sold; with 2019 P.S.E. certificate. (photo) 2,750.00



66

- 66 ★ **#42, 5c Orange brown reprint**, without gum as issued, wonderfully well centered, sumptuously rich color on fresh white paper, full perforations all around, extremely fine; only 878 stamps were sold; with 2019 P.S.E. certificate (VF-XF 85; SMQ \$3,750.00). (photo) 1,150.00





67



68

- 67 ★ **#43, 10c Blue green reprint**, without gum as issued, nicely centered for this challenging value, deep rich color, small thin spot, fine appearance; only 516 stamps were sold. (photo) 2,500.00
- 68 ★ **#47, 90c Deep blue reprint**, without gum as issued, intense color on fresh white paper, skillfully reperforated at right, fine appearance; only 454 stamps were sold; with 2019 P.S.E. certificate. (photo) 3,500.00

**1861-1866 ISSUES**



69



70

- 69 ○ **#65, 3c Rose**, postmarked with "Devil and Pitchfork" fancy cancel of West Meriden, Connecticut (Skinner-Eno #PH-F 40), stamp repaired and rebacked, but a visually pleasing example of this cancellation, fine appearance, with 2019 P.F. certificate. (photo) Est. 50-75
- 70 ○ **#65, 3c Rose**, with "Eagle Over U.S. Flag" fancy cancel of Albany, New York (Skinner-Eno #PT-E 12), a few short perfs at right, fine, with 2019 P.F. certificate. (photo) Est. 100-150



71



72



73

- 71 ○ **#65, 3c Rose**, postmarked with "Paid" star fancy cancel of Bonds Village, Massachusetts (Skinner-Eno #S-C18), small thin spot at top, a lovely example of this desirable cancellation, very fine appearance, with 2019 P.F. certificate. (photo) Est. 100-150
- 72 ○ **#65, 3c Rose**, postmarked with bold strike of Brooklyn, New York "Clover" fancy cancel, deep rich color, light horizontal crease that does not detract from this stamp's eye-appeal, very fine appearance, with 2019 P.F. certificate. (photo) Est. 75-100
- 73 ○ **#65, 3c Rose**, postmarked with negative "Lincoln" with stars fancy cancel of Albany, New York, small thin spot at center, in no way detracting from the beauty of this popular and desirable strike, very fine appearance, with 2019 P.F. certificate. (photo) Est. 100-150



74

- 74 ○ **#67, 5c Buff**, used with centrally-struck small quartered cork cancel, attractive deep shade, nicely-centered, two tiny thins at upper left, very fine appearance, with 2019 P.F. certificate. (photo)

750.00



75

- 75 ○ **#67b, 5c Olive yellow**, radiant color characteristic of this distinctive shade, cancelled with Philadelphia octagon postmark, choice centering, reperforated at left, a visually appealing example of this rare shade, with 2019 P.F. certificate. (photo)

4,750.00



76

- 76 ○ **#70c, 24c Violet on thin paper**, beautifully-centered and struck with attractive segmented cork cancel, fresh color, tiny tear at bottom right, typical of this fragile paper, nevertheless a striking example of this scarce printing, very fine appearance, with 2019 P.F. certificate. (photo)

2,250.00



77

- 77  #70, 24c Red lilac, with black circular grid cancel, postmarked "Washington City D.C. Dec 19", to Birkenhead, England, endorsed "Dec 21 Steamer via Boston" at top and "Please forward..." at lower left, with red "Boston Br Pkt 19 Paid Dec 21" c.d.s., affixed on back with perforated 1d Penny Red to pay the forwarding fee to Hastings, tied by "Birkenhead JA 3 65" duplex, received the following day in Hastings, with arrival c.d.s. on front, a lovely forwarded cover, very fine. (photo)

Est. 750-1,000

## BUYER'S PREMIUM

PLEASE NOTE THERE IS A 20% BUYER'S PREMIUM ADDED TO THE HAMMER PRICE OF EACH LOT.



78

78  #70c, 24c Violet on thin paper , two horizontal strips of three, a pair, and a single, tied to large cover (approximately 9.25"x10") by black open grid cancels, the top left stamp being a straddle pane copy with vertical guide line at left, the bottom right stamp a straddle pane copy irregularly cut at left, the cover postmarked Philadelphia Br Pkt Dec 23. to Oxford, England, with JA 11 62 circular datestamp arrival backstamps, inscribed "5oz" and handstamped "Insufficiently / Prepaid", with original enclosure, a December 9, 1861 edition of the New York Herald, some creasing and small edge tears as as expected, with 2019 P.F. certificate noting "additional stamps removed".

Multiples of the 24c violet on thin paper are incredibly scarce, and our research yielded no examples of used strips of three either on or off cover. A dramatic and visually striking usage, and an undoubtably unique rarity. (photo)

Est. 4,000-5,000



79

- 79 ○ **#78a, 24c Greyish lilac**, razor-sharp impression and select centering, bright fresh color, unobtrusive cancel, a beautiful stamp in all respects, very fine, with 2019 P.F. certificate (XF90) (photo) 2,100.00

### 1867 - 1868 GRILLED ISSUES



80



81

- 80 ○ **#84, 2c Black, "D" Grill** sharp impression, three wide margins, postmarked with centrally-struck quartered cork cancel, well-defined grill, a presentable example of this scarce stamp, fine, with 2019 P.F. certificate. (photo) 4,250.00
- 81 ○ **#85, 3c Rose "D" Grill**, nicely-centered with evident grill, bright color, attractive centrally-struck rosette cancel, very fine, with 2019 P.F. certificate. (photo) 1,000.00


### BIDDING ASSISTANCE

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Tel: +1 (646) 941-8664



82

- 82  **#89, 10c Green, "E" Grill**, tied to folded letter by circle of wedges fancy cancel, to Moscow, Russia, endorsed "via Liverpool," red credit and black "Franco" handstamps on front, red New York transit and two black "30 Map. 1868" Moscow c.d.s. arrival backstamps, stamps with some toned perfs, with the letter fresh and very fine, with 2019 P.F. certificate. (photo)

Est. 400-500



83

- 83  **#99, 24c Gray lilac, "F" Grill**, with single 10c "F Grill" (#96), tied by fancy cork cancels to 1869 cover from Boston to South Africa by fancy cork cancels, endorsed "via England," "London M Paid 6 OC 69" and "Cape Town B NO 10 69 Cape Colony" circular datestamps on front, red '1d' handstamp and manuscript '24' credit markings, backstamped with "Port Elizabeth B NO 19 69" c.d.s., the 10c with small repair at top, a very scarce usage of the 24c gray lilac "F Grill" to an uncommon destination, with 2019 P.F. certificate. (photo)

Est. 750-1,000

## 1875 RE-ISSUES OF THE 1861-1866 ISSUES



84

- 84 ★ **#102, 1c Blue Re-issue**, excellent centering within balanced margins, strong color and impression on fresh paper, full original gum, hinge remnant, extremely fine, with 2019 P.S.E. certificate. (photo) 750.00



85

- 85 ★ **#103, 2c Black re-issue**, intense color and detailed impression, original gum, fine; only 979 stamps were sold; with 2019 P.S.E. certificate. (photo) 3,500.00



86



87

- 86 ★ **#103, 2c Black re-issue**, strong color on fresh paper, original gum, light hinge remnant, fine; only 979 stamps were sold; with 2019 P.S.E. certificate. (photo) 3,500.00

- 87 (★) **#105, 5c Brown re-issue**, attractively centered within large margins, rich color and detailed impression, regummed, nearly very fine; only 672 stamps were sold, with 2019 P.S.E. certificate. (photo) 1,150.00



88

- 88 ★ **#107, 12c Black re-issue**, a choice mint single, incredibly well centered amidst larger margins than most, intense color and sharp impression, full and even perforations, small part original gum, a beautiful stamp, extremely fine; only 389 stamps were sold; with 2019 P.S.E. certificate (XF 90; SMO \$8,500.00 for o.g. and \$3,500.00 for no gum). (photo)

3,500.00



89

- 89 ★ **#108, 15c Black re-issue**, remarkably well centered within generous margins, deep luxuriant color and razor-sharp impression, disturbed original gum, single short perf. at right, otherwise very fine; only 397 stamps were sold; with 2019 P.S.E. certificate. (photo)

4,500.00



90

- 90 ★ **#108, 15c Black re-issue**, well centered, deep rich color on bright paper, small part original gum, very fine; only 397 stamps were sold; with 2019 P.S.E. certificate. (photo)

4,500.00



## 1869 PICTORIAL ISSUES



91

- 91 ○ **#121, 30c Blue and carmine**, select used example with no apparent grill, wonderfully centered within balanced margins, bright and fresh, light cork cancel, extremely fine, with 2019 P.S.E. certificate (XF 90; SMO \$1,300.00). (photo) 375.00

## 1875 RE-ISSUES OF THE 1869 PICTORIAL ISSUES



92

- 92 ★ **#124, 2c Brown re-issue**, nicely centered, fresh color, disturbed original gum, nearly very fine, with 2019 P.S.E. certificate. (photo) 600.00



93

- 93 ○ **#125, 3c Blue re-issue**, sound mint example of this difficult stamp, attractively centered, bright color on fresh paper, disturbed original gum, very fine; while 1,406 stamps were sold, many have been lost to philately; with 2019 P.S.E. certificate. (photo) 5,000.00



94

- 94 ★ **#127, 10c Yellow re-issue**, nicely centered, radiant color on fresh paper, slightly disturbed original gum, nearly very fine; only 1,947 stamps were sold; with 2019 P.S.E. certificate. (photo) 1,600.00



95



96



97

- 95 (★) **#128, 12c Green re-issue** unused, strong color on fresh paper, reperforated, fine appearance; only 1,584 stamps were sold; with 2019 P.S.E. certificate. (photo) 900.00

- 96 (★) **#129, 15c Brown and blue re-issue, Type III**, unused, well centered within generous margins, deep rich colors on fresh white paper, nibbed perf. at right, otherwise very fine; only 1,981 stamps were sold; with 2019 P.S.E. certificate. (photo) 575.00

- 97 ○ **#129, 15c Brown and blue re-issue, Type III**, used with typical New York double oval registry cancel, strong colors, a few toned perfs. at right, otherwise fine; only 1,891 stamps were sold; with 2019 P.S.E. certificate. (photo) 1,000.00



98



99

- 98 (★) **#130, 24c Green and violet re-issue**, unused, vivid rich colors on fresh paper, fine; only 2,091 stamps were sold; with 2019 P.S.E. certificate. (photo) 900.00

- 99 (★) **#132, 90c Carmine and black re-issue**, sound unused example, rich colors and crisp impression on fresh white paper, fine and attractive; only 1,356 stamps were sold; with 2019 P.S.E. certificate. (photo) 1,500.00

### 1870-1888 BANK NOTE ISSUES



100

- 100    ○    **#145, 1c Ultramarine**, even, balanced margins, postmarked with negative "Top Hat" cancel of Danbury, Connecticut, very fine, with 2019 P.F. certificate (photo) 100.00



101

- 101    ☒    **#157, 2c Brown**, and 3c green (158), on cover to Kensington, London, England, red "Boston Paid Aug 4" and "London W Paid Au 18 75" c.d.s., affixed with perforated 1d Penny Red and forwarded first to Filey, Yorkshire (AU 17), then again to Birmingham (AU 18), with c.d.s. backstamps of both, a few stains and some edge creasing, an impressive and eye-catching cover. (photo) Est. 750-1,000



102

- 102 (★) #161, 10c Brown, hinged original gum, beautifully-centered, extremely fine, with 2019 P.S.E. certificate (VF-XF 85, SMQ \$1,600) (photo) 800.00



103

- 103 ✉ #210, 2c Red brown, tied to rose cover by black CAKE intaglio cork, postmarked with St Louis Jul 9 RPO, "Saint Louis Jul 9 1882" arrival backstamp, the front with Dean & Rogers Drugs & Medicines of Campbell Hill, Illinois, illustrated advertisement, a lovely strike of this interesting fancy cancel, very fine. (photo) Est. 150-250

### 1893 COLUMBIAN EXPOSITION



104

- 104 ★ #242, \$2.00 Columbian, scarce and attractive bottom margin imprint, "No. 105" and letter "AA" strip of four, original gum, a some hinge reinforced perf. separations at top center, left stamp with a couple small thinned spots and the stamp adjacent to it with stained spot at top, otherwise fine-very fine, a pleasing substitute to the almost impossible plate block. (photo) 8,500.00



105

- 105 ○ #245, \$5.00 Columbian, well-centered example with detailed impression, bold color, very fine, with 2019 P.S.E. certificate. (photo) 1,150.00

### 1895 - 1898 BUREAU ISSUE



106

- 106 ★ #273, 10c Dark green, top sheet-margin imprint and plate no. 306 strip of three, original gum, lightly hinged in the un gummed selvage, the stamps all never hinged, fine. (photo) for singles 840.00



107

- 107 ★ #276A, \$1.00 Black, Ty. II, well-centered, pleasing deep shade, crisp impression, fine, with 2019 P.S.E certificate. (photo) 1,250.00

### 1902 - 1903 REGULAR ISSUE



108



109

- 108 ★ #312, \$2.00 Dark blue, remarkably well-centered, stunning deep color and vivid impression, very fine, with 2019 P.S.E. certificate (VF80, SMQ \$850) (photo) 800.00
- 109 ★ #313, \$5.00 Dark green, hinged, well-centered, detailed impression and vivid color, very fine, with 2019 P.S.E. certificate. (photo) 2,000.00

### 1909 BLuish PAPER ISSUES



110

- 110 ★ #359, 3c Deep violet on bluish paper, hinged original gum, nicely-centered, rich deep shade, reperfornated at bottom, very fine appearance, with 2019 P.S.E. certificate. (photo) 1,800.00



111



112



113

- |     |   |   |          |
|-----|---|---|----------|
| 111 | ★ | <b>#362, 6c Red orange on bluish paper</b> , hinged original gum, striking bright shade, fine, with 2019 P.S.E. certificate. (photo)  | 1,250.00 |
| 112 | ★ | <b>#364, 10c Yellow on bluish paper</b> , hinge remnant, characteristic vibrant color, fine, with 2019 P.S.E. certificate. (photo)  | 1,600.00 |
| 113 | ★ | <b>#365, 13c Blue green on bluish paper</b> , hinged, detailed impression, pretty shade, tiny hinge thins and partial owner's mark on gum, fine, with 2019 P.S.E certificate. (photo) | 2,600.00 |

### PANAMA - PACIFIC ISSUES



114

- |     |   |  |        |
|-----|---|--|--------|
| 114 | ★ | <b>#404, 10c Panama-Pacific</b> , perf. 10, lightly hinged, vibrant rich shade, fine, with 2019 P.S.E certificate. (photo) | 650.00 |
|-----|---|--|--------|

### 1912-1920 WASHINGTON - FRANKLIN ISSUES



115

- |     |   |   |        |
|-----|---|---|--------|
| 115 | ★ | <b>#423, \$1.00 Violet brown</b> , hinge remnant, striking deep shade, vivid impression, fine, with 2019 P.S.E certificate. (photo) | 450.00 |
|-----|---|---|--------|



116

- 116 ★ #440, 50c Violet, hinged with small remnant, beautiful pastel-like shade, near-perfect centering, a lovely stamp, extremely fine, with 2019 P.S.E certificate (XF-90, SMO \$700) (photo) 425.00



117

- 117 ★ #446, 4c Brown flat plate coil, perf. 10 vert., horizontal pair, lightly hinged, delightful warm color, exceptionally well-centered, a choice coil pair, extremely fine, with 2019 P.S.E certificate (XF90, SMO \$375) (photo) 300.00



118

- 118 ★★★/★□ #467, 5c Carmine error, block of six (3x2), the bottom center stamp the error, original gum, top left and top right stamps lightly hinged, the others all never hinged, fine. (photo) 839.00





119



120

- 119 ★□ #475, 15c Gray, top sheet-margin block of four with plate No. 6819 imprint, choice centering, original gum, which is slightly disturbed on the right pair, bottom left stamp very lightly hinged, extremely fine. (photo) 725.00
- 120 ★□ #476, 20c Light ultramarine, top sheet-margin mint block of four, original gum, top left stamp with hinge remnant, the others lightly hinged, natural gum bends mostly affecting the top left stamp, fine-very fine. (photo) 850.00



121

- 121 ★ #477, 50c Light violet, lightly hinged, rich pastel-like shade, fine, with 2019 P.S.E. certificate. (photo) 850.00



122

- 122 ★★★□ #480, \$5.00 Light green, mint block of four with horizontal guide line, original gum, lightly hinged, the bottom left stamp never hinged, fine-very fine. (photo) for singles 885.00



1123

- 123 ★★/★□ #519, 2c Carmine, the top two stamps with central hinge remnant, bottom two never hinged, deep color, an uncommon block, fine, with 2019 P.S.E certificate. (photo) for singles 2,700.00

**AIR POSTS**



124

- 124 ★★/★□ #C1-3, 6c-24c 1918 Air Posts complete matched set of left sheet-margin arrow blocks of four, fresh, original gum, hinge remnant in selvage, all but two 24c stamps never hinged, fine-very fine. (photo) for singles 1,310.00

### OFFICES IN CHINA



125

- 125 ★ #K1-16, **Offices in China**, heavy hinge remnants, bright colors, chiefly fine centering, an always-popular set. (photo) 1,920.00

### OFFICIALS



126

- 126 ○ #O14, **10c Executive**, nicely-centered, postmark with black cork cancel, pleasing rich color, very fine, with 2019 P.S.E. certificate. (photo) 1,000.00

### CARRIERS & LOCALS



127

- 127 ☒ #15L5, **D.O. Blood and Co, Philadelphia, Pa., (2c) Black**, on 1847 printed notice from the Pennsylvania Railroad Company, used locally, small Blood & Co. City Despatch advertising label for circulars affixed at upper right (moved from back of letter), the label with some faults, fine, with 2019 P.F. certificate. (photo) 800.00

**CIVIL WAR**



128

- 128 ☒ **General McClellan colorless embossed Union patriotic cover to Germany**, postmarked with black "N. York Br. Am. Pk 3 Mar 26" circular datestamp, to Heidelberg, Baden, endorsed "Via Bremen" matching blue two-line America / Uber Bremen and "99" handstamps, "Frankfurt 17 Apr 1864" c.d.s. and boxed Heidelberg arrival backstamps, some light edge toning, a nice stampless usage of a Civil War patriotic to Germany. (photo)

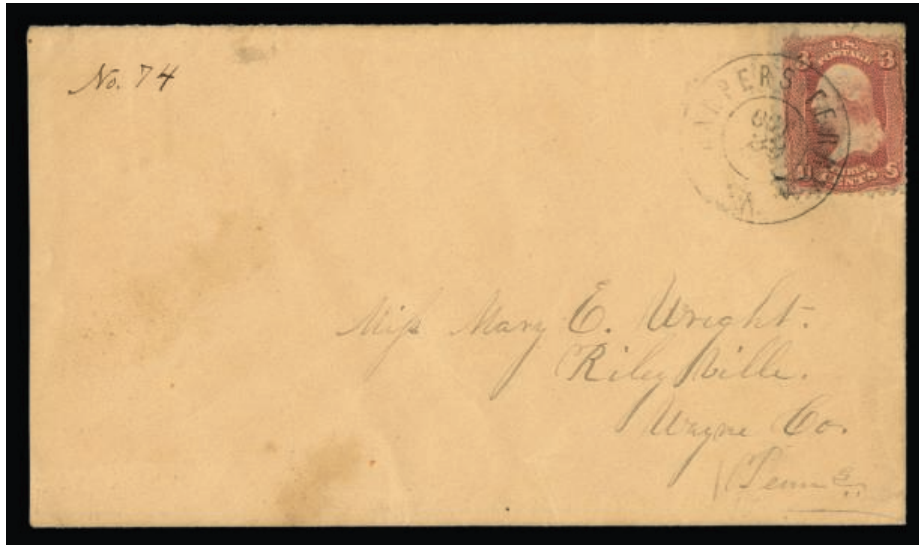
Est. 200-300



129

- 129 ☒ **"Ever Victorious" patriotic cover to Wales**, noted "unpaid 24" in black, postmarked with New York packet mail circular datestamp, black UK shilling due handstamp, with "Cardiff FE 11 62" c.d.s. arrival backstamp, lovely color cachet, back flap partially removed, an attractive and uncommon patriotic usage to Wales. (photo)

Est. 200-300



130

- 130 ☒ **Engineering Detachment HQ at Harpers Ferry**, cover and letter, the cover postmarked with "Harpers Ferry VA OCT 29" circular datestamp, to Rileyville, Tennessee, the letter on scarce color letterhead depicting a soldier being treated for his wounds while a battle rages in the background, the writer discusses having to pay for lost clothes, and "also I lost my gun and equipment," continuing his note a few days later from Berlin, Maryland, by saying "we find ourselves eight miles below Harpers Ferry where we have lain a bridge," sent only a month after the Confederate victory under Stonewall Jackson, a very fine letter. (photo)

Est. 400-500



131

- 131 ☒ **#65 3c Rose "Forever float that standard sheet" patriotic cover**, with matching letterhead enclosed, the stamp tied by "Oceola IA Mar 19" circular datestamp, to Belle Plaine, Minnesota, the sender writing "...t'is queer you should have such a northward tendency. It surprised me much indeed, to learn you had passed the Rubicon," cover slightly reduced at right, attractive and most interesting. (photo)

Est. 150-250



132

- 132   ✉   **#65, 3c Rose on color Union patriotic cover,** tied to buff cover by four-ring target cancel, bold red "Lockport ILL 1 Aug 1862" circular datestamp, to an artillery regiment, First Division, Illinois Volunteers, at Jackson, Tennessee, with black "Received Cairo Ill Aug 3 1862" c.d.s. arrival backstamp, color patriotic cachet depicting a soldier, flag, and tents with the Capitol building in the background, slightly reduced at right, fine. (photo)

Est. 150-250

## EXTENSION REQUESTS

Buyers placing lots on extension are requested to review paragraph 3.4 of our Terms and Conditions for Buyers. All lots to be placed on extension will be submitted by Spink to the appropriate expertizing authority. The buyer may request a particular expertizing service, however Spink retains the right to the final decision on the choice of the expert. For United States stamps, the Philatelic Foundation and the Professional Stamp Experts are the accepted authorities. Also, all extension lots must be paid for at the time of invoicing. Any extension lots not conforming to the above will not be accepted as returns in the event of a negative opinion. Requests for expertization of stamps with certification dated within the last 5 years of the sale date will not be granted.

## COLLECTIONS & BALANCES

133 ★/O

**Balance of the Freshwater Collection of United States**, mint and used in three albums, the efforts of a collector who enjoyed studying the minutiae of these issues, and expanded far beyond simply accumulating basic single stamps, but rather sought out shades, postmarks, multiples, and proofs, all of which are found throughout, the first volume beginning with an unused 5c Providence Rhode Island (#10X1), as well as a 5c 1845 New York Postmaster's Provisional, multiple 1847 Issues including four used #1, and a used #2, 1847 Reproductions including a 5c plate proof, a used study of 1851-7 Franklins, including recuts and cracked plates, a similar 1853 3c study showing various plate varieties, worn plates, frameline varieties, recuts, and more, a cancellation study including colored, express, railway, and steamboat cancels, 1851-6 5c Jefferson including three different plate proofs, 10c Washington proof from reprint die, used multiples, and various varieties showing different recuts, a group of seven 12c Washington die proofs in various colors, 12c plate proof and used pair with attractive red cancel, 1c Franklin mostly used plating study, including pairs and strips, as well as an extensive study of the 3c Washington, 10c green Washington including multiples, featuring a strip of five cancelled "Paid", as well as a plating study, 1861 1c Franklin study, 3c Washington used group including postmark interest, 10c, 12c, and 24c values with plate proofs, 1867 30c Franklin plate proof and multiple used examples, variety of 1861-6 Jackson, 5c Jefferson shade study, 1867 NBN Co. including double grills, F-Grills including used with fancy cancels, multiple Z-Grills, 1869 issues including one used with Japan cancel, as well as a complete mint set, all appearing at least part o.g., multiple red cancellations including 90c pair, as well as various plate proofs, extensive 1870-1 Banknote issues, the second volume continuing with 1873 Banknotes, including mint, used, and colored cancels, as well as lovely fancy cancels on 6c-90c issues, 1875 Continental Banknote issues including examples on horizontally ribbed paper, 1879 ABN issues including 6c with Japan c.d.s., 15c with "Wheel of Fortune" cancel, 10c used strip of four, 30c and 90c with "Sample" overprint, Kicking Mule fancy cancel on 2c, 1882 ABN Issues including 6c rose o.g. pair, 1887 and 1890-3 ABN issues mint and used, including plate proofs and shade studies, 1893 Columbian set o.g. and used, 1894 -1903 Bureau issues basic sets complete, including many shade varieties, in mint and used, 1898 Trans-Mississippi set mint and used, including a couple plate imprint pairs, 1901 Pan-American set mint and used, 1902-3 Regular issues complete used and mint to the \$1, from then on to the Pilgrim Tercentenary generally complete mint and used as well as various other highlights such as plate blocks and strips, imperfs, line pairs, and more, over thirty pages of Washington-Franklins mint and used, coils, postmarks, multiples, and shades, the third and final volume includes the Prexies and back-of-the-book, including C13-15 Graf Zeppelin mint set, 1885-95 Special Delivery including shades, perf changes, etc., Parcel Post, Postal Savings including plate proofs, Postage Dues beginning with mint examples of the 1879 issues, Postal Agency in China including used 2c on 1c block of four, Canal Zone, Philippines, Officials including plate proofs and specimen overprints, as well as 2c, 3c, and 6c Executive stamps, Interior, Justice, Post Office, Navy, State, Treasury, and War Department, an exhaustive and detailed study that took decades of diligent searching and research, cataloguing well into six figures, and a tremendous opportunity for the enthusiast to expand upon this collector's dedicated effort and achievement.

Est. 40,000-50,000



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BE ADDED TO YOUR INVOICE FOR LARGE LOTS**

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\* Should the shipping cost exceed \$100 your invoice total will be adjusted accordingly


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134 EX

- 134 E** **Small Die essay group** including 3c black Washington vignette on India (#11-E4), 3c Washington vignette in deep green from Toppan, Carpenter, Casilear & Co (#11-E17), 3c Washington vignette small die in blue and green from Draper, Welsh & Co (#11-E7 & 11-E8), 1c Franklin blue Bowlsby Patent Coupon pair (#63-E13), 1c Franklin die on orange-brown (#63-E2), 1c Franklin die in deep green (#63-E3), 1c Franklin complete design on orange (#63-E9), 5c Jefferson on proof paper in brown (#67-E4), 12c Washington incomplete frame & blank rosettes in green (#69-E3), 24c Washington completed design with numerals in violet (#70-E4), 90c Washington vignette with completed design in brown (#72-E5), Die proof portrait medallion for 3c Washington on white wove paper, No. 14 (#182-E1b), most with small thins due to fragility of paper, an attractive and desirable group of essays, chiefly very fine. (photo)

Est. 500-750

- 135**  **Balance of the Freshwater United States postal history collection**, a fascinating group of around a hundred covers, almost exclusively nineteenth century, illustrating usages, rates, and destinations, beginning with a few stampless covers showing a variety of rate markings, American Letter Mail Co. adhesive on folded letter, multiple 1851 1c Franklin covers including multiples, 1856 folded letter New Orleans to Paris via Liverpool, 1855 10c Washington solo franking to Paris, 1859 1c Franklin pair on folded letter to Italy, 1861 24c Washington solo franking and pair on covers to London, 1860s postage due steamboat cover from Baltimore to Boston, 1863 30c Franklin solo franking to France, 1c Washington strip of five on 1865 cover from New York to France, 1865 transatlantic mourning cover to Belgium, 1867 28c rate three-color franking on folded letter to Bordeaux, 15c Lincoln insufficiently paid via Boston to Paris, unusual 3c Banknote on Washington YMCA label forwarded letter, multiple transatlantic Banknote issues covers with a variety of frankings, 2c Banknote fancy cancel on bankruptcy discharge returned to sender, Civil War usages including 13th Regiment, Massachusetts Volunteers illustrated cover and letter, several Union patriotic covers, two Confederate States usages, two Boyd's City Express and multiple Blood's Penny Post local covers, and many more, a delightful collection offering a glimpse of the many fascinating byways of the postal history of this period, typical mixed condition as expected, ideal for the collector or dealer alike as there are a great many covers with retail values into the hundreds of dollars, deserving of closer inspection.

Est. 3,000-4,000



# SPINK

CHINA

## 2019 - 2020 Philatelic Highlights

### THE LAM MAN YIN COLLECTION OF SMALL DRAGONS, DOWAGERS & SURCHARGES

18 JANUARY 2019 | HONG KONG



►1896 (16 Dec.) envelope to the French Legation in Peking bearing France Peace and Commerce 25c. tied by Fourmies despatch c.d.s. All mail used before the official opening of the Imperial Post Office and the introduction of the new currency stamps are extremely rare with only about fifteen covers or fronts so far recorded.



►10c. on 9ca. dull green variety, surcharge inverted. A magnificent example of this legendary stamp, considered the rarest and most sought after Dowager surcharge. Many of the finest collections were without an example of this stamp.



►The 'Red Ruby', 30c. on 24ca. rose-carmine. A superb example of this, one of the key stamps of the 1897 surcharges.



►1897 (4 Mar.) commercial envelope to London bearing 2ca. rose-red. A fabulous and rare cover, believed to be the only combination of Local Post, Imperial Post and Foreign Post Office adhesive. This is also the latest recorded use of Chungking stamps, handstamped E.H. Harpenden on the reverse.

►15ca. De la Rue artist's essay of the same design in ultramarine, black and Chinese white on card (115x90mm) marked 'A' and 'Oct. 4th 94'; extremely fresh and fine. A stunning and desirable essay.



### FORTUNE WANG "THE SHANGHAI POSTAL SYSTEM: THE TREATY PORTS STAMPS AND POSTAL HISTORY" 2019-2020 | HONG KONG



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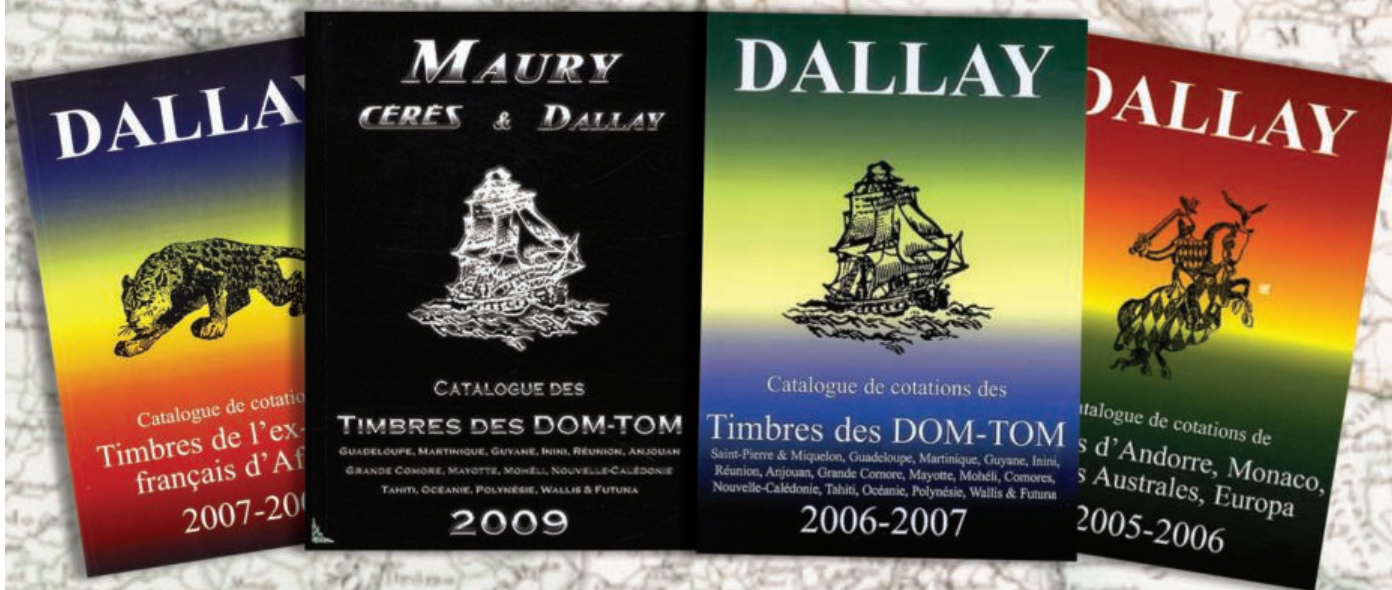
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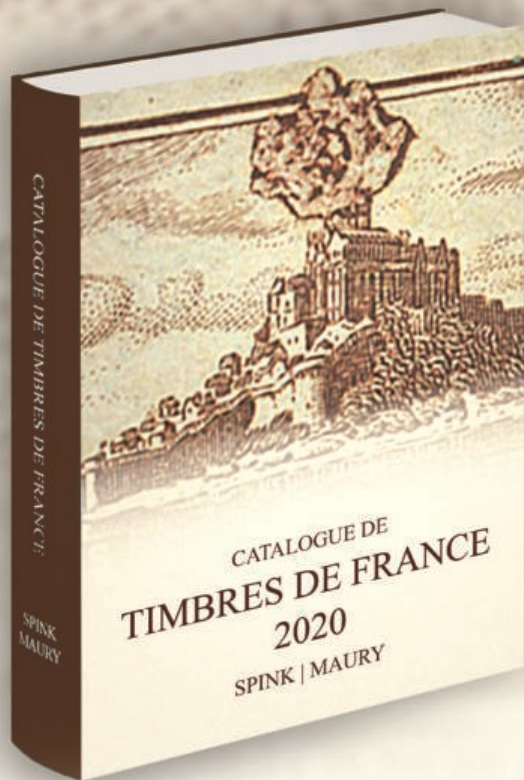
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WHERE HISTORY IS VALUED

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# SPINK

WHERE HISTORY IS VALUED

## THE PHILATELIC COLLECTORS' SERIES SALE

29 January 2020 | New York



For more information please contact:

Chris Green | +1 646 941 8667 | [cgreen@spink.com](mailto:cgreen@spink.com)

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# TERMS AND CONDITIONS FOR BUYERS

The following terms and conditions set forth the scope of your contract with Spink USA Inc ("Spink"), by which Spink contracts with you either as agent on behalf of the Seller or as principal if Spink is the Seller. You should read these conditions carefully.

## 1 DEFINITIONS

1.1 The following definitions apply to these terms and conditions:

**Auction Agent, Auctioneer, Spink, us or we** means Spink USA Inc;

**Bidder and you** means a person making, attempting to make or considering making a bid for a Lot including a Buyer;

**Buyer** means the person who makes the highest bid for a Lot which is accepted by the Auctioneer and, if the person is acting as an agent, will be a reference to the agent's principal only if Auction Agent has accepted the agency in writing;

**Buyer's Premium** means the charge payable by you as a percentage of the Hammer Price, at the rates set out in clause 5.1 below;

**Certificate of Authenticity** means a certificate issued by an Expert Committee confirming the authenticity of a Lot;

**Commission Bid** means an instruction from a Bidder to us to bid on their behalf at the Auction;

**Expert Committee** means a committee of experts to whom a Lot may be sent for an expert opinion or Certificate of Authenticity in accordance with clause 3.4.3;

**Forgery** means a Lot constituting an imitation originally conceived and executed as a whole with a fraudulent intention to deceive as to authorship, origin, age, period, culture or source where the correct description as to such matters is not reflected by the description in the catalogue and which at the date of the auction had a value materially less than it would have had if it had been in accordance with the description in the catalogue. Accordingly, no Lot shall be capable of being a Forgery by reason of any damage and/or restoration work of any kind (including re-enamelling);

**Hammer Price** means the amount of the highest bid accepted by the Auctioneer in relation to a Lot;

**Lot** means any item deposited with us for sale at auction and, in particular, the item or items described against any Lot number in any catalogue;

**Purchase Price** means the Hammer Price plus Buyer's premium and New York State and City tax, where applicable;

**Reserve** means the amount below which we agree with the Seller that the Lot cannot be sold;

**Seller** means the owner of the Lot being sold by us;

**Spink Group** means Spink and Son Limited, its subsidiaries and associated companies.

1.2 By making a bid, Bidder acknowledges acceptance of these terms and conditions and agrees to be bound by them.

## 2 SPINK'S ROLE AS AGENT

2.1 This is a public auction and mail-bid sale held by Spink, which is a licensed and bonded auctioneer.

2.2 All sales undertaken by us either at auction or privately are undertaken either as agent on behalf of the Seller or, from time to time, as principal if we are the owner of the Lot. Please note that even if we are acting as agent on behalf of the Seller, rather than as principal, we may have a financial interest in the Lot.

2.3 The contract for the sale of the Lot will be between you and the Seller.

## 3 BEFORE THE SALE

### 3.1 Examination of Goods

3.1.1 You are strongly advised to examine personally any goods in which you are interested, before the auction takes place. Condition reports are usually available on request. We provide no guarantee to you other than in relation to Forgeries, as set out in clause 5.10 of these Terms and Conditions.

3.1.2 All Bidders who have inspected the Lots prior to the auction will not be granted any return privileges, except for reasons of genuineness. It is presumed that all Floor Bidders have inspected the Lots prior to bidding. Therefore, Lots purchased by Floor Bidders are sold "AS IS" and may not be returned. Floor Bidders include those Bidders acting as agents for others.

3.1.3 Spink reserves the right to refuse to honour or reject any bid which, in its opinion, is not submitted in good faith, or, as the case dictates, is not supported by satisfactory references, as Spink, in its sole discretion, shall determine. Spink further reserves the right to ban any Bidder from participation in its sales for any reason deemed appropriate.

### 3.2 Catalogue Descriptions

3.2.1 Statements by us in the catalogue or condition report, or made orally or in writing elsewhere, regarding the authorship, origin, date, age, size, medium, attribution, genuineness, provenance, condition or estimated selling price of any Lot are merely statements of opinion, and are not to be relied on as statements of definitive fact. Catalogue and web illustrations are for guidance only, and should not be relied on either to determine the tone or colour of any item. No Lot shall be rejected on the grounds of inaccurate reproduction. No Lot illustrated in the catalogue and online shall be rejected on the grounds of cancellation, centering, margins, perforation or other characteristics apparent from the illustration. Estimates of the selling price should not be relied on as a statement that this price is either the price at which the Lot will sell or its value for any other purpose.

3.2.2 Many items are of an age or nature which precludes their being in perfect condition and some descriptions in the catalogue or given by way of condition report make reference to damage and/or restoration. We provide this information for guidance only and the absence of such a reference does not imply that an item is free from defects or restoration, nor does a reference to particular defects imply the absence of any others.

3.2.3 Other than as set out in clause 5.10, and in the absence of fraud, neither the Seller nor we, nor any of our employees or agents, are responsible for the correctness of any statement as to the authorship, origin, date, age, attribution, genuineness or provenance of any Lot nor for any other errors of description or for any faults or defects in any Lot. Every person interested should exercise and rely on his own judgment as to such matters.

### 3.3 Your Responsibility

3.3.1 You are responsible for satisfying yourself as to the condition of the goods and the matters referred to in the catalogue description.

### 3.4 Extensions - Stamps Only

3.4.1 If you wish to obtain an expert opinion or Certificate of Authenticity on any Lot (other than a mixed Lot or Lot containing undescribed stamps) you must notify us in writing not less than forty-eight hours before the time

fixed for the commencement of the first session of the sale. If accepted by us, such request shall have the same effect as notice of an intention to question the genuineness or description of the Lot for the purposes of clause 5.10 (Refund in the case of Forgery) of these Terms and Conditions and the provisions of clause 5.10 (Refund in the case of Forgery) shall apply accordingly.

3.4.2 Notice of a request for an expert opinion or Certificate of Authenticity must give the reason why such opinion is required and specify the identity of your proposed expert which will be subject to agreement by us. We reserve the right, at our discretion, to refuse a request for an expert opinion or Certificate of Authenticity including (without limitation) where the proposed expert is not known to us.

3.4.3 If we accept a request for an expert opinion or Certificate of Authenticity we will submit the Lot to the Expert Committee. You acknowledge and accept that the length of time taken by an Expert Committee to reach an opinion will vary depending on the circumstances and in any event is beyond our control.

3.4.4 We will not accept a request for an extension on account of condition. Any Lot described in the catalogue as having faults or defects may not be returned even if an expert opinion or Certificate of Authenticity cites other faults or defects not included in the catalogue description, other than in the case of a Forgery.

3.4.5 Should Spink accept a request for an extension under the foregoing provisions of this paragraph, the fact may be stated by the Auctioneer from the rostrum prior to the sale of the Lot.

3.4.6 It should be noted that any stamp accompanied by a Certificate of Authenticity is sold on the basis of that certificate only and not on the basis of any other description or warranty as to authenticity. No request for an extension will be accepted on such a stamp and the return of such a stamp will not be accepted.

3.4.7 If you receive any correspondence from the Expert Committee in relation to the Lot, including but not limited to a Certificate of Authenticity, you must provide us with copies of such correspondence no later than seven (7) days after you receive such correspondence.

## 4 AT THE SALE

### 4.1 Refusal of Admission

Our sales usually take place on our own premises or premises over which we have control for the sale, and we have the right, exercisable at our complete discretion, to refuse admission to the premises or attendance at an auction.

### 4.2 Registration Before Bidding

All bidders must be registered either by completing a registration form or creating an account online. Please be aware that we usually require buyers to present identification before making a bid at auction, undergo a credit check or provide a trade reference. If you have not bid successfully with Spink in the past, or you are registering with us for the first time, we reserve the right to require a deposit of up to 50% of the amount you intend to spend. Such deposit will be deducted from your invoice should you be successful. If you are unsuccessful at auction, your deposit will be returned by the same means it was paid to Spink. Some Lots may be designated, prior to the auction, as "Premium Lots", which means a deposit may be required before placing a bid on the item for sale. Information will be posted on our website in such an event.

### 4.3 Bidding as Principal

When making a bid (whether such bids are made in person or by way of telephone bids operated by Spink, commission or online or email bids), you will be deemed to be acting as principal and will be accepting personal liability, unless it has been agreed in writing, at the time of registration, that you are acting as agent on behalf of a third party buyer acceptable to us.

#### 4.4 Commission Bids

4.4.1 If you give us instructions to bid on your behalf, by using the form provided in our catalogues, or via our website, we shall use reasonable endeavours to do so, provided these instructions are received not later than twenty-four hours before the auction. If we receive commission bids on a particular Lot for identical amounts, and at auction these bids are the highest bids for the Lot, it will be sold to the person whose bid was received first. Commission Bids are undertaken subject to other commitments at the time of the sale, and the conduct of the auction may be such that we are unable to bid as requested. Since this is undertaken as a free service to prospective buyers on the terms stated, we cannot accept liability for making (or failing to make) a Commission Bid. You should therefore always attend personally if you wish to be certain of bidding. If we receive two Commission Bids for equal value for the same Lot, the Bid received first by us shall take precedence.

4.4.2. For all Commission Bids, you must supply your name and address, contact telephone number and email. You must also provide the Lot number and description of the Lot, the amount of your Bid and any other information requested in the Commission Bid Form or by us. It is your responsibility to provide the correct information and to ensure that we have received your Commission Bid.

4.4.3 If you submit a Commission Bid verbally (whether by telephone or otherwise), we shall not be responsible for any misunderstandings (by either us or our agent or you) in relation to your bid. All bids made in this way must be confirmed in writing by you before the Auction.

4.4.4 Bids will be executed for mail bidders at one advance over the next highest bid in competition with floor and/or internet bidders, until the maximum bid is executed for the mail bidder, or until the lot is sold. No buy or unlimited bids will be accepted.

#### 4.5 On-line Bidding

We offer internet services as a convenience to our clients. We will not be responsible for errors or failures to execute bids placed on the internet, including, without limitation, errors or failures caused by (i) a loss of internet connection by either party for whatever reason; (ii) a breakdown or problems with the online bidding software and/or (iii) a breakdown or problems with your internet connection, computer or system. Execution of on-line internet bids on www.spink.com and Spink Live is a free service undertaken subject to other commitments at the time of the auction and we do not accept liability for failing to execute an online internet bid or for errors or omissions in connection with this activity.

#### 4.6 Telephone Bids

If you make arrangements with us not less than twenty-four hours before the sale, we shall use reasonable endeavours to contact you to enable you to participate in bidding by telephone, but in no circumstances will we be liable to either the Seller or you as a result of failure to do so.

#### 4.7 Video Images

At some auctions there will be a video screen. Mistakes may occur in its operation, and we cannot be liable to you regarding either the correspondence of the image to the Lot being sold or the quality of the image as a reproduction of the original.

#### 4.8 Bidding Increments

Bidding generally opens below the low estimate and advances in the following order although the Auctioneer may vary the bidding increments during the course of the auction. The normal bidding increments are:

Up to \$100	by \$5
\$100 to \$300	by \$10
\$300 to \$750	by \$25
\$750 to \$1,500	by \$50
\$1,500 to \$3,000	by \$100
\$3,000 to \$7,500	by \$250
\$7,500 to \$15,000	by \$500
\$15,000 to \$30,000	by \$1,000
\$30,000 to \$75,000	by \$2,500
\$75,000 to \$150,000	by \$5,000
\$150,000 to \$300,000	by \$10,000
\$300,000 and up	Auctioneer's discretion

#### 4.9 Bidding by Spink

4.9.1 We reserve the right to bid on Lots on the Seller's behalf up to the amount of the Reserve (if any), which will never be above the low estimate printed in the auction catalogue.

4.9.2 The Spink Group reserves the right to bid on and purchase Lots as principal.

4.9.3 Spink reserves the right to bid on any Lot in the sale. Additionally, Spink may or may not have financial interest in any of the Lot(s) in the sale.

#### 4.10 The Auctioneer's Discretion

4.10.1 The Auctioneer has the right, to be exercised in good faith, to refuse any bid, to advance the bidding in such manner as he may decide, to withdraw or divide any Lot, and to combine any two or more Lots. Bidding shall be regulated by the Auctioneer.

4.10.2 The Auctioneer may reopen the bidding on a Lot under the following circumstances: (a) the Auctioneer has failed to execute correctly a mail bid; (b) a party purchasing the Lot on the floor has done so in error; (c) where a protest is made after the hammer has fallen but before bidding has commenced on the next Lot; (d) where the Auctioneer has determined that he/she has overlooked a party still bidding on the Lot but before the calling of the next Lot or (e) to effectuate a fair and reasonable resolution of any error or dispute. In the event of a dispute, the Auctioneer's decision shall be final.

4.10.3 In the event of any dispute between Bidders, the Auctioneer may, at its sole discretion, immediately put the Lot up for sale again. The Auctioneer's decision shall be final and binding upon all Bidders.

4.10.4 The Auctioneer reserves the right to postpone the sale by auction for a reasonable period of time as a result of any significant event which, in the sole discretion of the Auctioneer, makes it advisable to postpone the event. No prospective Bidder or prospective Buyer shall have recourse as a result of any postponement.

#### 4.11 Successful Bid

4.11.1 The highest Bidder for each Lot shall be the Buyer. Subject to the provisions of Clause 4.10, the striking of the Auctioneer's hammer marks the acceptance of the highest bid, provided always that such bid is higher than the Reserve (where applicable), and the conclusion of a contract for sale between you and the Seller.

#### 4.12 Spink's Own Material

Auctioneer reserves the right to include in any auction its own material as well as material from affiliated or related companies, principals, officers or employees. Auctioneer may have direct or indirect interest in any of the Lots in the Auction and may collect commissions.

#### 4.13 After Sale Arrangements

If you enter into any private sale agreements for any Lot with the Seller within sixty days of the auction, we, as exclusive agents of the Seller reserve the right to charge you the applicable Buyer's Premium in accordance with these terms and conditions, and the Seller a commission in accordance with the terms of the Seller's agreement.

#### 4.14 Return of Lot

4.14.1 All the Lots are sold as genuine. For the purpose of this sale, "genuine" is defined as not faked or forged and Spink will not knowingly sell any item that has been "repaired", "restored", "processed", "cleaned", "pressed" or "conserved" in any way without disclosure of such facts to the potential Buyer.

4.14.2 No Lots may be returned without a written request by the successful Bidder and the written approval of Spink. In the unlikely event of returning a Lot, Spink must receive notification of the Buyer's intent within three (3) days of the Buyer's receipt of the Lot. The following Lots may not be returned for any reason whatsoever: (a) Lots containing ten or more items; (b) Lots described as having faults or defects because of the faults described or by any others, including lots described as "repaired", "corroded", "holed", "whizzed", or similar damage, except for non-authenticity; (c) illustrated Lots because of centering, margins, etc. or other factors shown in the illustrations; (d) Lots described "AS IS", including third party graded coins or banknotes (i.e. PCGS, NGC, ANACS, ICG); (e) Lots valued primarily for the bullion content; (f) Lots examined by postal viewers; and (g) any philatelic extension Lot unless the conditions set forth in Item 3.4 above is complied with fully. Except for Lots placed on extension, as per the conditions of Item 3.4 above, no Lots may be returned for any reason whatsoever after thirty days from sale date. Late payment for purchase may, at Spink's option, be considered just cause to revoke all return privileges. If any disputes arise regarding payment, authenticity, or description between the Bidder and Spink, Spink, at its sole discretion, may submit the disputed matter to binding arbitration before the American Arbitration Association in New York, NY, to which the Bidder, by placing a bid and hereby accepting these terms and conditions of sale, agrees to be bound.

#### 5 AFTER THE AUCTION

##### 5.1 Buyer's Premium and Other Charges

In addition to the Hammer Price, you must pay us the Buyer's Premium at a rate of 20% of the final Hammer price of each lot, postage charge and a fee for paying by card.

##### 5.2 Sales Tax

All Lots are subject to applicable state and local taxes, unless appropriate resale certificates are on file with Spink.

##### 5.3 Payment

5.3.1 You must provide us with your full name and permanent address and, if so requested, details of the bank from which any payments to us will be made. You must pay the full amount due (comprising the amounts set forth in Clause

5.1 above and any applicable New York State sales tax, unless the Lot is exempt) within seven days after the date of the sale. This applies even if you wish to export the Lot and an export licence is (or may be) required.

5.3.2 You will not acquire title to the Lot until all amounts due have been paid in full. This includes instances where special arrangements were made for release of the Lot prior to full settlement.

5.3.3 Spink reserves the right to await clearance of any check used for payment before delivery of any item and a \$25.00 charge will be applied for any check that fails to clear.

5.3.4 All sales are strictly for cash, check and bank/wire transfer in United States dollars; however, Spink will only accept cash payments under \$10,000.00. Spink offers clients the option of paying by credit card (Visa, MasterCard, and American Express only) in United States Dollars for a convenience charge of two-percent (2%) for Visa and MasterCard and four-percent (4%) for American Express, up to a total of \$30,000.00. Credit card payments will only be accepted if (a) the purchase is made by the card holder, (b) any purchased items to be shipped are shipped to the cardholder's verified billing address, (c) floor Bidders present their credit cards and (d) all returns are governed by the terms and conditions of the sale. Payment is due and payable immediately upon receipt of the auction invoice or, if payment is to be made at the auction site, simultaneously with receipt of the purchased items.

5.3.5 Payments should be made by the registered Buyer and not by third parties, unless it has been agreed at the time of registration that you are acting as an agent on behalf of a third party.

#### 5.4 Notification

We are not able to notify successful Bidders by telephone. While Invoices are sent out by mail after the auction we do not accept responsibility for notifying you of the result of your bid. You are requested to contact us by telephone or in person as soon as possible after the auction to obtain details of the outcome of your bids to avoid incurring charges for late payment.

#### 5.5 Collection of Purchases

5.5.1 Unless specifically agreed to the contrary, we shall retain Lots purchased until all amounts due to us, or to the Spink Group, have been paid in full. Buyers will be required to pay for their Lot(s) when they wish to take possession of the same, which must be within seven days of the date of the sale, unless prior arrangements have been made with Spink. Without prior agreement, Lots will not be released until cleared funds are received with regard to payments made by check.

5.5.2 Unless we notify you to the contrary, items retained by us will be covered under our insurance policy, which is available for inspection at our offices, from the date of sale for a period of seven days or until the time of collection, whichever is sooner. The risk of loss for the lot purchased by you shall be entirely yours after the earliest of seven days from the date of sale or from the time of collection.

5.5.3 Our policy will not cover and we are unable to accept responsibility for damage caused by woodworm, changes in atmospheric conditions or acts of terrorism.

#### 5.6 Packing and handling

5.6.1 We shall use all reasonable endeavours to take care when handling and packing a purchased Lot but remind you that after seven days from the date of sale or from the time of collection, whichever is sooner, the risk of loss with respect to the lot purchased by you is entirely yours. Our postage charges are set out in Clause 11 of these Terms and Conditions.

5.6.2 It is the responsibility of the Buyer to be aware of any import duties that may be incurred upon importation to the final destination. Spink will not accept return of any package in order to avoid these duties. The onus is also on the Buyer to be aware of any import restrictions that prohibit the importation of certain collectibles. Spink will not accept return of the Lot(s) if rejected for importation or if Buyer fails to pay appropriate import duties. Spink will not accept responsibility for Lot(s) seized or destroyed by any customs agencies.

5.6.3 Delivery of any Lot(s) to an address other than the invoiced address will be at Spink's sole discretion.

#### 5.7 Recommended Packers and Shippers

5.7.1 Shipping, handling and administration charges will be added to invoices for Lots delivered by mail. All Lots will be shipped via U.S. Express Mail to Post Office boxes, via Federal Express to street addresses, and via FedEx ground for bulky large lots (in the U.S. only). Buyer will be responsible for the total purchase price in the event of loss. Spink is not responsible for the loss of any merchandise shipped outside the United States. Insurance of any items to be shipped outside the United States is solely the responsibility of the Buyer. It is also the responsibility of the Buyer to provide adequate insurance coverage for the items once they are in his/her possession. Unless the risk of loss has already shifted by virtue of the provisions of Clause 5.5.2, risk of loss shall be borne by the Buyer following shipment of the items and Spink assumes no liability for merchandise lost, stolen or damaged while in the possession of any shipper to whom merchandise has been delivered, nor for any FedEx shipment which is subject to a "signature release" on file with FedEx, or otherwise.

5.7.2 If required, our shipping department may arrange shipment as your

agent. Although we may suggest carriers if specifically requested, our suggestions are made on the basis of our general experience of such parties in the past and we are not responsible to any person to whom we have made a recommendation for the acts or omissions of the third parties concerned.

#### 5.8 Remedies for Non-Payment

5.8.1 If you fail to make payment within fifteen days of the payment date set out by your invoice, we shall be entitled to exercise one or more of the following rights or remedies:

5.8.1.1 to charge interest at the rate of 1.5% per month compound interest, calculated on a daily basis, from the date the full amount is due;

5.8.1.2 to set off against any amounts which Spink or the Spink Group may owe you in any other transaction the outstanding amount remaining unpaid by you;

5.8.1.3 we may retain all or some of the Lot(s) purchased by you, or other property in the possession of Spink or the Spink Group, until you have paid all the amounts you owe us or the Spink Group, even if the unpaid amounts do not relate to those Lots or other property. Following fourteen days' notice to you of the amount outstanding and remaining unpaid, Spink and the Spink Group shall have the right to arrange the sale of such Lot(s) or other property. We may apply the proceeds of such sale in satisfaction of the debt outstanding and due us or the Spink Group, and may remit any remaining balance to you;

5.8.1.4 where several amounts are owed by you to the Spink Group in respect of different transactions, to apply any amount paid to discharge any amount owed in respect of any particular transaction, whether or not you so direct;

5.8.1.5 to reject at any future auction any bids made by you or on your behalf or obtain a deposit from you before accepting any bids.

5.8.2 If you fail to make payment within thirty-five days, we shall in addition be entitled:

5.8.2.1 to cancel the sale of the Lot or any other item sold to you at the same or any other auction;

5.8.2.2 to arrange a resale of the Lot, publicly or privately, and, if this results in a lower price being obtained, claim the balance from you together with all reasonable costs including a 10% seller's commission, expenses, damages, legal fees, commissions and premiums of whatever kind associated with both sales or otherwise, incurred in connection with your failure to make payment; or

5.8.2.3 when reselling the Lot, to place a notice in our catalogue stating that you successfully purchased the Lot at auction but have subsequently failed to pay the Hammer Price of the Lot,

5.8.2.4 In the event Spink refers an invoice(s) to an attorney for collection, the Buyer agrees to pay Spink attorney's fees, court costs, witness fees and other costs incurred by Spink;

5.8.2.5 take any other reasonable and appropriate action as we deem fit.

#### 5.9 Failure to Collect

Where purchases are not collected within seven days after the sale, whether or not payment has been made, you will be required to pay a storage charge of \$2 per item per day plus any additional handling cost that may apply. You will not be entitled to collect the Lot until all outstanding charges are met, together with payment of all other amounts due to us.

#### 5.10 Refund in the case of Forgery

5.10.1 A sale will be cancelled, and the amount paid refunded to you if a Lot (other than a miscellaneous item not described in the catalogue) sold by us proves to have been a Forgery. We shall not however be obliged to refund any amounts if either (a) the catalogue description or saleroom notice at the auction date corresponded to the generally accepted opinion of scholars or experts at that time, or fairly indicated that there was a conflict of opinions, or (b) it can be demonstrated that the Lot is a Forgery only by means of either a scientific process not generally accepted for use until after publication of the catalogue or a process which at the date of the auction was unreasonably expensive or impracticable or likely to have caused damage to the Lot. Furthermore, you should note that this refund can be obtained only if the following conditions are met:

5.10.1.1 you must notify us in writing, within seven days of the receipt of the Lot(s), that in your view the Lot concerned is a Forgery;

5.10.1.2 you must then return the item to us within fourteen days from receipt of the Lot(s), in the same condition as at the auction date; and

5.10.1.3 as soon as possible following return of the Lot, you must produce evidence satisfactory to us that the Lot is a Forgery and that you are able to transfer good title to us, free from any third party claims.

5.10.1.4 you must provide us all evidence obtained by you that a Lot is a Forgery no later than seven (7) days after you receive such evidence

5.10.2 In no circumstances shall we be required to pay you any more than the amount paid by you for the Lot concerned and you shall have no claim for interest.

5.10.3 The benefit of this guarantee is not capable of being transferred, and is solely for the benefit of the person to whom the original invoice was made out by us in respect of the Lot when sold and who, since the sale, has remained the owner of the Lot without disposing of any interest in it to any third party.

5.10.4 We shall be entitled to rely on any scientific or other process to establish that the Lot is not a Forgery, whether or not such process was used or in use at the date of the auction.

#### 5.11 USE OF DEFAULT INFORMATION

If you fail to make payment for a Lot in accordance with these Terms and Conditions

5.11.1 we reserve the right to refuse you the right to make bids for any future auction irrespective of whether previous defaults have been settled; and

5.11.2 you acknowledge that we may (as necessary for our legitimate interests those of other auctioneers and live bidding platforms in referencing customers and avoiding customer defaults) disclose details of such default to other auctioneers and live bidding platforms, which will include your name, address, nature of the default and the date of the default. Auctioneers or live bidding platforms who receive details of the default may rely on such information when deciding whether to enter into a transaction with you in the future.

#### 6 LIABILITY

Nothing in these terms and conditions limits or excludes our liability for:

6.1 death or personal injury resulting from negligence; or  
6.2 any damage or liability incurred by you as a result of our fraud or fraudulent misrepresentation.

#### 7 USE OF YOUR PERSONAL INFORMATION

7.1 We will use the personal information you provide to us as set out in our privacy notice (available at <https://spink.com/privacy-policy>) and in particular to:

7.1.1 process the bids you make on Lots (whether successful or otherwise) and other auction related services we provide;

7.1.2 process your payment relating to a successful purchase of a Lot;

7.1.3 arrange for delivery of any Lot you purchase, which will include passing your details to shipping providers and, on overseas deliveries, to customs where they make enquiries regarding the Lot;

7.1.4 inform you about similar products or services that we provide, but you may stop receiving these at any time by contacting us.

7.2 In accordance with clause 4.2, we may pass your information to credit reference agencies in order to obtain credit checks from them, and they may keep a record of any search that they do.

7.3 In accordance with clause 5.11, where you default on making payment for a Lot in accordance with these terms and conditions we may disclose details of such default to other auctioneers and live bidding platforms.

7.4 We are also working closely with third parties (including, for example, other auctioneers and live bidding platforms) and may receive information about you from them.

7.5 Where you provide us with personal information about other individuals, you must ensure that your provision of that information is compliant with applicable data protection law.

#### 8 COPYRIGHT

8.1 We shall have the right (on a non-exclusive basis) to photograph, video record or otherwise produce an image of the Lot. All rights in such an image will belong to us, and we shall have the right to use it in whatever way we see fit.

8.2 All the materials, including, but not limited to, text, data, graphics, logos, images, illustrations, video clips, and software is owned, controlled by, or licenced to Spink USA Inc. and is protected by copyright, trademark, and other intellectual property rights.

#### 9 NOTICES

All notices given under these Terms and Conditions may be served personally, sent by post, or faxed to the address given to the sender by the other party. Any notice sent by post will be deemed to have been received on the second working day after posting or, if the addressee is overseas, on the fifth working day after posting. Any notice sent by fax or served personally will be deemed to be delivered on the first working day following dispatch.

#### 10 ADDITIONAL PROVISIONS

##### 10.1 Limitation of Liability

In the event that your bid and/or purchase is in furtherance of a business conducted by you or others, subject to clause 6, we shall not be liable, whether in tort (including for negligence) or breach of statutory duty, contract, misrepresentation or otherwise for any:

10.1.1 loss of profits, loss of business, depletion of goodwill and/or similar losses, loss of anticipated savings, loss of goods, loss of contract, loss of use, loss of corruption of data or information; or

10.1.2 any special, indirect, consequential or pure economic loss, costs, damages, charges or expenses.

##### 10.2 Severability

If any part of these Terms and Conditions is found by any court to be invalid, illegal or unenforceable, that part may be discounted and the rest of the conditions shall continue to be valid and enforceable to the fullest extent permitted by law.

#### 10.3 Force majeure

We shall have no liability to you if we are prevented from or delayed in performing our obligations under these terms and conditions or from carrying on our business by acts, events, omissions or accidents beyond our reasonable control, including (without limitation) strikes, lock-outs or other industrial disputes (whether involving our workforce or the workforce of any other party), failure of a utility service or transport network, act of God, war, riot, civil commotion, malicious damage, compliance with any law or governmental order, rule, regulation or direction, accident, breakdown of plant or machinery, fire, flood, storm or default of suppliers or subcontractors.

#### 10.4 Waiver

10.4.1 A waiver of any right under these terms and conditions is only effective if it is in writing and shall apply only to the circumstances for which it is given. No failure or delay by a party in exercising any right or remedy under these Terms and Conditions or by law shall constitute a waiver of that (or any other) right or remedy, nor preclude or restrict its further exercise. No single or partial exercise of such right or remedy shall preclude or restrict the further exercise of that (or any other) right or remedy.

10.4.2 Unless specifically provided otherwise, rights arising under these Terms and Conditions are cumulative and do not exclude rights provided by law.

#### 10.5 Law and Jurisdiction

THESE TERMS OF SALE SHALL BE GOVERNED BY AND CONSTRUED IN ACCORDANCE WITH THE LAWS OF THE STATE OF NEW YORK, WITHOUT GIVING REGARD TO THE PRINCIPLES OF CONFLICTS OF LAW. THE SIGNER OF A SPINK BID SHEET OR PARTICIPANT IN THE AUCTION AGREES THAT EXCLUSIVE VENUE FOR ANY DISPUTE WITH RESPECT TO THESE TERMS OR IN CONNECTION WITH SPINK SHALL RESIDE IN A STATE OR FEDERAL COURT LOCATED IN NEW YORK, NEW YORK. IN THE EVENT THAT SPINK HAS NOT PREVIOUSLY SUBMITTED A DISPUTE TO BINDING ARBITRATION PURSUANT TO CLAUSE 4.14.2, SPINK MAY DO SO WITHIN THE TIME IN WHICH IT IS REQUIRED TO FILE A RESPONSIVE PLEADING IN ANY LITIGATION COMMENCED BY BIDDER, AND SAID LITIGATION SHALL BE DISCONTINUED.

#### 11 POSTAGE CHARGES

Shipments within the USA - \$30.00

Shipments outside the USA - \$50.00

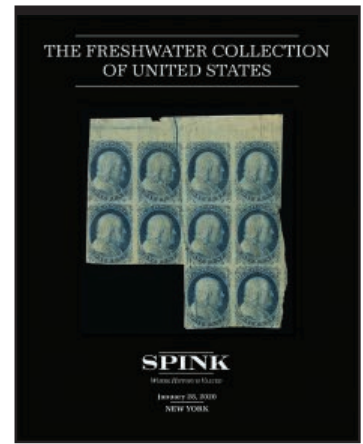
Packages of more than 5lb or volumetric measurement of more than 5lb may incur extra charge. Please contact [usa@spink.com](mailto:usa@spink.com) for calculation of any further relevant cost in addition to the above charges



# SPINK

USA

145 West 57th Street, 18th Floor  
 New York, NY 10019  
 tel: +1 (646) 941-8664  
 fax: +1 (646) 941-8686  
 email: usa@spink.com



NAME \_\_\_\_\_  
 ADDRESS \_\_\_\_\_  
 CITY \_\_\_\_\_  
 STATE \_\_\_\_\_  
 ZIP \_\_\_\_\_

**WRITTEN BIDS FORM**

This form should be sent or faxed to the Spink auction office in advance of the sale. References for new clients should be supplied in good time to be taken up before the sale. Bids received later than one hour before the start of the sale may not be processed.

YOU CAN ALSO BID IN REAL TIME ONLINE. JUST VISIT  
[WWW.STAMPAUCTIONNETWORK.COM](http://WWW.STAMPAUCTIONNETWORK.COM) TO REGISTER

SALE TITLE **THE FRESHWATER COLLECTION OF UNITED STATES**      DATE **JANUARY 28, 2020**      SALE NO. **172**

I request Spink, without legal obligations of any kind on its part, to bid on the following Lots up to the price given below.

I understand that if my bid is successful the Purchase Price will be the sum of the final bid and Buyer's premium as a percentage of the final bid as well as any Sales Tax chargeable. Please note there is a 20% buyer's premium added to the hammer price of each lot.

All bids shall be treated as offers made on the Terms and Conditions of sale printed in the catalogue. I also understand that Spink provides the service of executing bids on behalf of clients for the convenience of clients and that Spink will not be held responsible for failing to execute bids. If identical commission bids are received for the same Lot, the commission bid received first by Spink will take precedence. Please note that you will not be notified if there are higher written bids received.

**PLEASE PRINT CLEARLY IN BLOCK LETTERS AND ENSURE THAT BIDS ARE IN US DOLLARS.**



Lot Number (in numerical order)	Price Bid \$ (excluding Buyer's Premium)

Lot Number (in numerical order)	Price Bid \$ (excluding Buyer's Premium)

Lot Number (in numerical order)	Price Bid \$ (excluding Buyer's Premium)

[ ] **Additional bids on back of form**

Please hold my purchased lots for collection

TEL. HOME \_\_\_\_\_ TEL. OFFICE \_\_\_\_\_

FAX \_\_\_\_\_ E-MAIL \_\_\_\_\_

SIGNATURE \_\_\_\_\_ TAX ID NUMBER \_\_\_\_\_

I agree to receive all marketing notifications about SPINK auctions, news and events via email and direct mail.

I agree to receive marketing notifications related only to the following categories (please select as appropriate)  
 Autographs | Banknotes | Bonds & Shares | Books | Coins | Handbags | Jewellery | Maps | Medals | Stamps | Watches | Whiskies and Spirits | Wine | Corporate News and Events

PLEASE PRINT CLEARLY IN BLOCK LETTERS AND ENSURE THAT BIDS ARE IN US DOLLARS

Lot Number (in numerical order)	Price Bid \$ (excluding Buyer's Premium)

Lot Number (in numerical order)	Price Bid \$ (excluding Buyer's Premium)

Lot Number (in numerical order)	Price Bid \$ (excluding Buyer's Premium)

Please indicate the type of card: VISA  VISA DEBIT  MASTERCARD  SWITCH  AMERICAN EXPRESS

**PAYMENT MADE BY MASTERCARD OR VISA ARE SUBJECT TO A 2% SURCHARGE AND AMERICAN EXPRESS 4%**

CARD NO:                          START DATE:       ISSUE NO:     SECURITY CODE:

SIGNATURE  EXPIRY DATE      NAME (ON CREDIT CARD)

Please charge all my purchases to my card  Please do not charge my card (Spink will charge your card should you default on the payment)

<p><b>BIDDING INCREMENTS</b> Bidding generally opens below the low estimate and advances in the following order although the auctioneer may vary the bidding increments during the course of the auction. The normal bidding increments are:</p> <table border="0"> <tr> <td>Up to \$100</td> <td>by \$5</td> <td>\$7,500 to \$15,000</td> <td>by \$500</td> </tr> <tr> <td>\$100 to \$300</td> <td>by \$10</td> <td>\$15,000 to \$30,000</td> <td>by \$1000</td> </tr> <tr> <td>\$300 to \$750</td> <td>by \$25</td> <td>\$30,000 to \$75,000</td> <td>by \$2,500</td> </tr> <tr> <td>\$750 to \$1,500</td> <td>by \$50</td> <td>\$75,000 to \$150,000</td> <td>by \$5,000</td> </tr> <tr> <td>\$1,500 to \$3,000</td> <td>by \$100</td> <td>\$150,000 to \$300,000</td> <td>by \$10,000</td> </tr> <tr> <td>\$3,000 to \$7,500</td> <td>by \$250</td> <td>\$300,000+</td> <td>at auctioneer's discretion</td> </tr> </table>	Up to \$100	by \$5	\$7,500 to \$15,000	by \$500	\$100 to \$300	by \$10	\$15,000 to \$30,000	by \$1000	\$300 to \$750	by \$25	\$30,000 to \$75,000	by \$2,500	\$750 to \$1,500	by \$50	\$75,000 to \$150,000	by \$5,000	\$1,500 to \$3,000	by \$100	\$150,000 to \$300,000	by \$10,000	\$3,000 to \$7,500	by \$250	\$300,000+	at auctioneer's discretion	<p><input type="checkbox"/> Check here if you wish to limit your total amount of purchases. <i>(Hammer Price Only)</i></p> <p>Limit total: \$ _____</p> <hr/> <p>If Necessary, please increase my bid by:</p> <p><input type="checkbox"/> 10% <input type="checkbox"/> 20% <input type="checkbox"/> 30%</p>
Up to \$100	by \$5	\$7,500 to \$15,000	by \$500																						
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\$1,500 to \$3,000	by \$100	\$150,000 to \$300,000	by \$10,000																						
\$3,000 to \$7,500	by \$250	\$300,000+	at auctioneer's discretion																						

**REFERENCES REQUIRED FOR CLIENTS NOT YET KNOWN TO SPINK**

TRADE REFERENCES \_\_\_\_\_

BANK REFERENCES \_\_\_\_\_

**SPINK**  
 FOUNDED 1666  
 USA  
**SALE CALENDAR 2020**

**STAMPS**

11 January	The Treaty Ports of the Shanghai Postal System - Part IV	Hong Kong	20018
12 January	Fine Stamps of China and Hong Kong	Hong Kong	CSS46
17-31 January	Stamps and Covers of the World e-Auction	London	20112
28 January	The Freshwater Collection	New York	172
29 January	The 'Lionheart' Collection of Great Britain and British Empire - Part XI	London	20011
29 January	The Philatelic Collectors' Series Sale	New York	173
30/31 January	The Philatelic Collectors' Series Sale	London	20010
17 March	Dubois British Commonwealth Collection of Stamps and Covers	London	20024
15 April	The Philatelic Collectors' Series Sale	London	20026
13 June	Stamps and Covers of South East Asia	Singapore	20033

**COINS**

4/5 January	Banknotes, Bonds & Shares and Coins of China and Hong Kong	Hong Kong	CSS45
19 January	The Numismatic Collector's Series at NY INC, Grand Hyatt	New York	355
20-30 January	The Numismatic Collector's Series e-Auction	New York	356
3-10 February	Spink Numismatic e-Circulars	London	20121

**BANKNOTES**

4/5 January	Banknotes, Bonds & Shares and Coins of China and Hong Kong	Hong Kong	CSS45
17 January	World Banknotes at NY INC, Grand Hyatt	New York	354
19 January	The Numismatic Collector's Series at NY INC, Grand Hyatt	New York	355
20-30 January	The Numismatic Collector's Series e-Auction	New York	356

**MEDALS**

4/5 January	Banknotes, Bonds & Shares and Coins of China and Hong Kong	Hong Kong	CSS45
19 January	The Numismatic Collector's Series at NY INC, Grand Hyatt	New York	355
20-30 January	The Numismatic Collector's Series e-Auction	New York	356
April	Orders, Decorations and Medals	London	20001

**BOND & SHARES**

9-22 January	Bonds and Share Certificates of the World e-Auction	London	20016
5-18 February	Stocks and Bonds of the United States of America e-Auction	London	20117
4/5 January	Banknotes, Bonds & Shares and Coins of China and Hong Kong	Hong Kong	CSS45
19 January	The Numismatic Collector's Series at NY INC, Grand Hyatt	New York	355

**HISTORICAL DOCUMENTS**

June	Historical Documents, Postal History and Autographs	London	20025
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**LIFESTYLE COLECTIBLES**

7-21 January	A Collection of early Cutlery sets, Veterinary and Medical Implements, Scales and other Measuring Instruments, Objets Vertu and Scientific Instruments. Property of a gentleman	London	20020
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**THE ABOVE SALE DATES ARE SUBJECT TO CHANGE.**

*Spink offers the following services:*

Valuation for insurance and probate for individual items or whole collections.  
 Sales on a commission basis either of individual pieces or whole collections.

\$ 20.00



BY APPOINTMENT TO  
HER MAJESTY THE QUEEN  
PRINTED & SOLD BY  
SPINK & SPINK LTD, LONDON

BY APPOINTMENT TO  
HIS MAJESTY THE KING OF CANADA  
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# SPINK

WHERE HISTORY IS VALUED

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1666

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