# THE WATERBIRD COLLECTION OF **CHOICE NUMISMATIC RARITIES**





Where History is Valued

**24 SEPTEMBER 2019** LONDON









# THE WATERBIRD COLLECTION OF CHOICE NUMISMATIC RARITIES

# All the lots in this auction to be sold with no reserve

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Sale Details	Ι	Tuesday 24 September 2019 at 3.00 p.m. When sending commission bids or making enquiries, this sale should be referred to as <b>WATERBIRD</b> - <b>19040</b>	
Viewing of Lots		At Spink London Friday 20 September 2019 at 10:00 a.m. – 5:00 p.m. Monday 23 September 2019 at 10:00 a.m. – 5:00 p.m. Private viewing by appointment only	

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Front Cover Illustration: 63 'Edward VIII (January-December 1936), pattern proof Penny, 1937 Back Cover Illustrations: 5, 18, 20, 24, 26

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Where History is Valued

# BRITISH, INDIAN AND ISLAMIC COINS: AUTUMN AUCTION

24 SEPTEMBER 2019 | LONDON



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SPINK LONDON | 69 Southampton Row | Bloomsbury | WC1B 4ET WWW.SPINK.COM



# Order of Sale

# **TUESDAY 24 SEPTEMBER 2019**

# Commencing at 3.00 p.m.

Lot

# Lot

1 Henry IV Noble 2 Henry VI Noble 3 Richard III Angel 4 Edward VI Half-Sovereign **Eight-Testerns** 5 Elizabeth I 6 Angel James I 7 Charles I Angel 8 Charles II Two-Guineas 1681 9 Charles II Guinea 1683 Charles II Half-Guinea 1670 10 11 Charles II Halfcrown 1678 12 James II Guinea 1687 Guinea 1688 13 James II James II Proof Halfcrown 1687 14 15 W&M Two-Guineas 1693 William III 16 Guinea 1698 William III 17 Half-Guinea 1696 Elephant 18 George I Two-Guineas 1726 Halfcrown 1726 19 George I Pattern Guinea 1763 20 George III 21 George III Guinea 1813 22 Half-Guinea 1775 George III Penny 1797 23 George III 24 George III Penny 1808 25 George III Proof Halfcrown 1816 George IV Pattern Halfcrown 1822 26 27 George IV Halfcrown 1823 28 William IV Proof Shilling 1835 29 William IV Gold Pattern Groat 1836 30 Victoria Halfcrown 1839 31 Victoria Halfcrown 1841 32 Victoria Florin 1854 No Stop 33 Victoria Florin 1863

34	Victoria	Florin 1864 Heavy Flan
35	Victoria	Shilling 1850/49
36	Victoria	Shilling 1850
37	Victoria	Shilling 1850
38	Victoria	Shilling 1851
39	Victoria	Shilling 1854/4
40	Victoria	Shilling 1863/1
41	Victoria	Shilling 1879
42	Victoria	Sixpence 1893
43	Victoria	Proof Groat 1837
44	Victoria	Proof Groat 1857 Mule
45	Victoria	Penny 1843
46	Victoria	Penny 1849
47	Victoria	Penny 1853
48	Victoria	Penny 1854
49	Victoria	Penny 1856
50	Victoria	Penny 1860/59
51	Victoria	Penny 1863
52	Victoria	Penny 1869
53	Victoria	Proof Copper Halfpenny 1860
54	Victoria	Halfpenny 1862 Letter A
55	Victoria	Halfpenny 1862 Letter C
56	Victoria	Farthing 1844
57	Victoria	Copper Farthing 1860
58	Edward VII	Halfcrown 1905
59	Edward VII	Halfcrown 1909
60	George V	Halfcrown 1930
61	George V	Florin 1932
62	George V	Penny 1922 rev.1927
63	Edward VIII	Penny 1937
64	Cook Islands	Gold Maundy Set 2002
65	Isle of Man	5oz gold 'Crown' 1990

# END OF SALE



# TUESDAY 24 SEPTEMBER 2019

# Commencing at 3.00 p.m.

## All the lots in this auction to be sold with no reserve

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We will not accept return of lots that have been authenticated by the third party grading company. Any disputes will need to be settled between the buyer and the respective company.







Henry IV (1399-1413), light coinage, 1412-13, Noble, 6.91g, Tower, type V, m.m. cross pattée on reverse only, king standing in ship, annulet and slipped trefoil on side, single saltire stops, *rev.* cross fleury in tressure of eight arcs, pellet and H in centre, slipped trefoil in second quarter, double saltire stops (N.1355; S.1715; Schneider 205 same dies), *very rare*, in NGC 'Waterbird' holder, graded MS63 (Cert. #4861777-001)

£6,000-8,000

PROVENANCE: DNW auction 93, 26 September 2011, lot 1566 Lady Duveen, Glendining, 29-30 September 1964, lot 23





Henry VI (1422-61), annulet issue 1422-30, Noble, 6.95g, York, m.m. lis both sides, HENRIC (lis) DI. ' GRA. REX. ANGL.S. FRANC DNS. hYB, king standing in ship, annulet by sword hand, lis over stern, trefoil stops, *rev*. cross fleurée in tressure of eight arcs, annulet in first spandrel of second quarter, H in centre, annulet stops (N.1416; S.1804, Schneider 302A different dies), *well struck on a full flan, rare*, in NGC 'Waterbird' holder, graded MS64 (Cert. #4861777-002)

£10,000-12,000





**Richard III** (1483-85), Angel, 5.14g, type 2B, m.m. boar's head 1/boar's head 2, RICARD. DI: GRA REX. ANGL. Z FRANC, saltire stops, no stops at end of legend, St Michael vanquishing Satan, *rev.* PER CRVSEM TVA SALVA. NOS XPC. REDEMPT, saltire stops, ship with shield and large cross, R over rose to left, rose to right (N.1676; S.2151; cf. Schneider 488 for obverse, and Schneider 489 for same reverse die; cf. Winstanley pl. I, 6, and pl. I, 7 for same reverse die), *a little surface porosity, lightly scuffed, otherwise very fine or better, very rare* 

£16,000-18,000



4

3

Edward VI (1547-53), third period 1550-53, Half-Sovereign, 5.49g, m.m. tun both sides, :EDWARD'. VI: D'. G'. AGL': FRA'. Z'. HIBER': REX:, crowned and armoured half-length figure right, holding sword and orb, *rev.* 1hs'. AVTEM. TRANSIE'. PERMEDI'. ILLO'. IBAT., crowned shield dividing E-R (N.1928; S.2451; Schneider -, obverse not represented but reverse same die as 696), *lightly toned and with a very good portrait*, in NGC 'Waterbird' holder, graded AU50 (Cert. #4633068-002)

£2,500-3,000



## THE SLANEY PORTCULLIS MONEY EIGHT-TESTERNS



5(x1.5)

Elizabeth I (1558-1603), portcullis money, Eight-Testerns, 27.55g, undated (1600-01), m.m. O both sides, toothed borders and inner circles both sides, ELIZABETH. D.' G.' ANG.' FR.' ET. HIB.' REGINA., crown over plain square topped shield dividing crowned E-R, *rev.* POSVI. DEVM. ADIVTOREM. MEVM., crown over portcullis (Prid.1; S.2607A), *some metal flaws otherwise a handsome example, almost extremely fine, very rare*, in NGC 'Waterbird' holder, graded AU55 (Cert. #4861777-004)

£30,000-40,000

PROVENANCE:

5

Slaney II, Spink auction 229, 14 May 2015, lot 321
Capt. H E G Paget, Glendining, 25 September 1946, lot 30, 'extremely fine, very rare', £30.0.0 (with his ticket)
H Webb Jnr, dispersed by Baldwin (acquired 6 June 1942)

PROVENANCE:

St James's auction 2, 11 May 2005, lot 117





6

7

James I (1603-25), third coinage 1619-25, Angel, 4.06g, m.m. trefoil over lis both sides, IACOBVS D:G: MAG: BRI: FRA: ET HIB: REX, St Michael vanquishing Satan, mark of value x above Satan's head, *rev.* A.D/OMINO. FACTVM. EST/ISTVD., three-masted ship sailing to left, with Royal Arms on the mainsail (N.2110; S.2635; Schneider 81 same dies), *good very fine, red tone, very rare,* with PCGS ticket, graded AU53 (Cert. #22028904)

£4,000-5,000

## EXTREMELY RARE CHARLES I ANGEL



**Charles I** (1625-49), Angel, 4.15g, m.m. castle and negro's head/castle (over negro's head), CAROLVS. D. G. MAG. BRI. FRA. ET. HIB. REX, St Michael vanquishing Satan, mark of value X to right of Satan's head, *rev*. AMOR. POPVLI. PRÆSIDIVM ·/REGIS, three-masted ship sailing left, lis on poop, Royal Arms on the mainsail (Schneider, dies O2a/R4a; SCBI 33 (Brooker) 2 this coin; Schneider 102 same dies; N.2144; S.2683), *good very fine, extremely rare*, in NGC 'Waterbird' holder, graded AU53 (Cert. #4632935-001)

£12,000-15,000

PROVENANCE:
Clarendon II, Bonhams, 17 October 2006, lot 1219
Sharps Pixley, Spink auction 72, 9 November 1989, lot 29
J G Brooker, collection dispersed by Spink from 1978
Lockett IV, Glendining, 11 October 1956, lot 2169
Virgil M. Brand, collection widely dispersed from 1932
Cumberland Clark, Sotheby, 22-23 January 1914, lot 58 - "unpublished, not pierced, and very fine" - £10.0.0 (Spink)
Murdoch II, Sotheby, 8-13 June 1903, lot 68 - "m.m. castle and helmet on obv., ...extremely fine, unpublished and very rare" - £8.0.0 -



# AN EXCEPTIONAL CHARLES II TWO-GUINEAS



8(x2)

**Charles II** (1660-85), Two-Guineas, 1681, second laureate head right, with rounded truncation, *rev.* crowned shields cruciform, sceptres in angles (MCE 45; S.3335), *tiny metal flaw on obverse, some faint hairlines, retaining much brilliance, a lustrous good extremely fine, very rare thus,* in NGC 'Waterbird' holder, graded MS63 (Cert. #4861772-001)

£20,000-25,000

PROVENANCE: Spink auction 223, 26-27 March 2014, lot 1660





10

Charles II (1660-85), Guinea, 1683, fourth laureate bust right, *rev.* crowned shields cruciform, sceptres in angles (MCE 88; S.3344), a metal flaw on the reverse, pale yellow tone, with PCGS ticket, graded AU58 (Cert. #05886858)

£2,500-3,000



Charles II (1660-85), Half-Guinea, 1670, first laureate bust right, *rev.* crowned shields cruciform, sceptres in angles (MCE 93; S.3347), *lustrous pale gold*, in NGC 'Waterbird'

holder, graded MS62 (Cert. #4883512-008)

£2,000-2,500



11 (x1.5)

11 Chairright S.330

**Charles II** (1660-85), Halfcrown, 1678 TRICESIMO, fourth laureate and draped bust right, *rev.* crowned shields cruciform, Cs in angles, six strings to harp (ESC 478 (480) R4; S.3367), *toned, only fine/good fine, but rare, 11-20 known*, in NGC 'Waterbird' holder, graded F15 (Cert. #4861777-006)

£200-300



13



James II (1685-88), Guinea, 1687, second laureate bust left, *rev.* crowned shields cruciform, sceptres in angles (MCE 1687; S.3402), in NGC 'Waterbird' holder, with PCGS ticket, graded AU58 (Cert. #84073057)

£7,000-9,000



James II (1685-88), Guinea, 1688, second laureate bust left, rev. crowned shields cruciform, sceptres in angles (MCE 130; S.3402), once lightly wiped, otherwise lustrous with further residual brilliance, about extremely fine for issue

£8,000-10,000



# THE SUPERB PROOF HALFCROWN 1687 FROM THE DR. CARTER COLLECTION



14



14(x2)

14

James II (1685-88), proof Halfcrown, 1687 TERTIO, second laureate and draped bust left, *rev.* crowned shields cruciform (ESC 758 ~"*not traced*"~ (501) R6; S.3409), *lightly toned and with reflective fields, as struck and of the highest rarity, perhaps even unique, this example being the only one to appear on the market in living memory*, in NGC 'Waterbird' holder, graded PF63 (Cert. #4861773-001)

£20,000-25,000

PROVENANCE:

E R Jackson-Kent, Glendining, 26 May 1965, lot 83 - "*in beautiful condition, probably a proof, very rare*" - £360.0.0 Dr. E C Carter, collection purchased by Baldwin, 1950







William and Mary (1689-94), Two-Guineas, 1693, conjoined busts right, rev. crowned shield (MCE 145; S.3424), a delightful example with reflective fields and pale red toning, good extremely fine, in NGC 'Waterbird' holder, graded MS61+ (Cert. #4632934-001)

£15,000-20,000





William III (1694-1702), Guinea, 1698, second laureate bust right, rev. second reverse with large date and lettering, crowned shields cruciform, sceptres in angles, small lions in English shield, six strings to Irish harp (MCE 180; Schneider 498-99; S.3462), some hairlines, light die breaks and adjustment marks, about extremely fine and with much lustre, scarce

£4,000-5,000

## PROVENANCE: Slaney II, Spink auction 229, 14 May 2015, lot 381 Purchased from Baldwin, 1943, for £8





16

William III (1694-1702), Half-Guinea, 1696, laureate bust right, elephant and castle below, rev. crowned shields cruciform, sceptres in angles, early harp (MCE 191; S.3467; Schneider 516), lustrous and with a hint of tone, in NGC 'Waterbird' holder, graded AU58 (Cert. #2056552-114)

One other graded by NGC (AU50) and none graded by PCGS

£2,500-3,000



# THE FINEST GRADED GEORGE I TWO-GUINEAS 1726





 $18\;(x2)$ 

George I (1714-27), Two-Guineas, 1726, laureate head right, *rev.* crowned shields cruciform, sceptres in angles (MCE 244; Schneider 543; S.3627), *practically as struck, a magnificent example, with brilliant fields, very rare thus*, in NGC 'Waterbird' holder, graded MS64+ (Cert. #4658641-001)

£25,000-30,000

The finest example examined and graded by NGC









19

George I (1714-27), Halfcrown, 1726 D.TERTIO, laureate and draped bust right, *rev.* crowned shields cruciform, small roses and plumes in angles, six strings to harp (ESC 1559 (593) - R5; S.3644), *minor flecking on obverse, fine, extremely rare, the key date of series*, in NGC 'Waterbird' holder, graded VG8 (Cert. #4861777-010)

PROVENANCE:

Pywell-Phillips, Spink auction 257, 30-31 October 2018, lot 266
M Kaufman collection (via Rasmussen, list 5, Summer 2003, no. 196 - £1,750)
H Samuel, Spink auction 109, 4 July 1995, lot 265 - £1,000 (Kaufman)
Glendining, 2 October 1985, lot 114 - £700
Glendining and Baldwin, 30 October 1974, lot 187 (house commission)
SCMB, January 1968, no. 4070 - £160.0.0
SCMB, January 1963, no. 7509 - £60.0.0
"Jacobs", Glendining, 4 October 1962, lot 82 - £50.0.0 (Seaby)
J C S Rashleigh III, Glendining, 10-11 June 1953, lot 363
T B Clarke-Thornhill, Glendining, 24-28 May 1937, lot 665

£3,000-4,000



# A SUPERB PROOF PATTERN GUINEA 1763, UNLISTED AND POSSIBLY UNIQUE





20(x2)

George III (1760-1820), proof pattern Guinea, 1763, by Richard Yeo, GEORGIVS. III. DEI. GRATIA., laureate bust right, hair on left shoulder, rounded truncation, top laurel leaf of wreath to right of stop, *rev.* M.B.F. ET.H.REX.F.D.B.ET.L.D.S.R.I.A.T.ET.E., crowned garnished shield, edge plain, 7.91g (cf. W&R 87, *but different obverse die*), *an unrecorded variety and a great rarity, possibly unique*, in NGC 'Waterbird' holder, graded PF63 CAMEO (Cert. #4861777-007)

£30,000-40,000

PROVENANCE: Spink auction 96, 31 March 1993, lot 242

The portrait and lettering are the same as used for the Yeo pattern Guinea listed in Wilson and Rasmussen, no. 87, but they are positioned slightly differently. The top leaf of the laurel wreath points to the right of the stop between III and DEI, whereas in the example illustrated in W&R the top of the leaf points to the stop. It is not known why it was necessary to produce a second die with the slightly different positioned portrait. Although this coin passed through Spink in 1993, the difference was only noticed recently. The coin illustrated in WR is the Douglas-Morris (101) and Selig (1114) example.





g21 George III (1760-1820), 'military' Guinea, 1813, laureate head right, *rev.* crowned shield in Garter (MCE 404; S.3730), *lustrous and attractive*, in NGC 'Waterbird' holder, graded MS63+ (Cert. #3497710-003)

£4,000-5,000





22

George III (1760-1820), Half-Guinea, 1775, third laureate bust right, rev. crowned shield (MCE 416; S.3733), lustrous, very rare, in NGC 'Waterbird' holder, graded MS63 (Cert. #4632935-006), in a recent Sovereign Rarities auction an AU58 specimen sold for £16,000 hammer

£15,000-18,000







23 (x1.5)

George III (1760-1820), Penny, 1797, laureate and draped bust right, ten leaves in wreath, *rev.* Britannia seated left (Peck 1132; S.3777), *with much lustre, scarce thus*, in NGC 'Waterbird' holder, graded MS63RB (Cert. #4861777-009)

£500-600



## THE UNIQUE 1808 PENNY FROM THE MATTHEW BOULTON COLLECTION



24



24(x2)

George III (1760-1820), Penny, 1808, laureate and draped bust right, date below, *rev.* Britannia seated left, edge grained in a deep groove (BMC (Peck) 1346, cf. pl. 28 KP40 - this coin; S.3780 - UNIQUE), a magnificent and unique striking with an exemplary provenance, uncirculated, the obverse brilliantly so, in NGC 'Waterbird' holder, graded MS65BN (Cert. #4861781-002)

£80,000-100,000

PROVENANCE:

24

SNC, September 1985, no. 5912 - 'dark tone, UNIQUE, virtually mint state' - £15,000 C W Peck, collection dispersed through SNC from 1969

Dr E C Carter, collection purchased by Baldwin, 1950

**M P W Boulton**, Sotheby, 1-2 April 1912, lot 136 (part) - 'Proofs, by Küchler (3), of the Penny, 1806 (unbronzed), 1807 (bronzed), and 1808 (unbronzed): all with grained edges, extremely fine, and the last an extremely rare and unpublished date' - £12.10.0 (Spink)

The reverse is from an ordinary current 1807 die. As the last figure of the date shows no sign of alteration from a 6 or a 7, a new working die must have been specially made, and this would explain the proof-like surface of the obverse. It is in red mint condition, and although, like the current pennies, it has the edge deeply grooved and the rev.  $\downarrow$ , it is unlikely that it was issued for currency. weight 292.8gr.





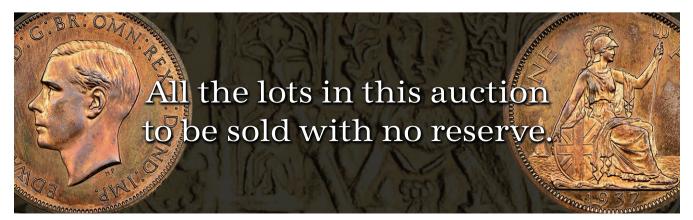




25

**George III** (1760-1820), proof Halfcrown, 1816, GEORGIUS III DEI GRATIA, large laureate head right, *rev.* BRITANNIARUM REX FID: DEF:, crowned shield in Garter, plain edge (Davies 50; ESC 2088 (615) R2; S.3788), *lustrous, some hairlines and tiny marks in the obverse field but brilliant uncirculated, very rare*, in NGC 'Waterbird' holder, graded PF63 (Cert. #4861777-011)

£4,000-5,000









26 (x2)

26

**George IV** (1820-30), pattern Halfcrown, 1822, by B. Pistrucci and W. Wyon, laureate head left, *rev.* similar to second currency reverse, crowned shield in Garter, straight line below St George, similar to the regular issue but the whole design is smaller, edge grained, 14.05g (ESC 2381 (650) R7), *a few faint marks but uncirculated and of medallic like quality, a great rarity, believed to be one of only three examples,* in NGC 'Waterbird' holder, graded PF64 (Cert. #4861773-002)

£20,000-30,000

PIN

PROVENANCE:
'Jacobs', Glendining, 4 October 1962, lot 168 - £85.0.0 (Spink)
SNC, April 1929, no. 86589 - "unique - F.D.C - £15.10.0"
Hamilton-Smith III, Glendining, 23-25 May 1927, lot 525 - 'brilliant and unique' - £12.10.0 (Spink)
Purchased Spink, £13.4.0
'Nobleman' {Philipp von Ferrary de La Renotière}, 27-31 March 1922, lot 169 - 'unique with this edge, two others known with plain edges' - £12.0.0 (Spink)

Corpus: i) National Museum of Scotland, *edge milled* - (Accession: 1911.506.732); Major Lindsay-Carnegie bequest, 1911; Murdoch (Part III, Sotheby, 15-19 March 1904, lot 407 - £20.10.0 - Lincoln); Egmont-Bieber (Sotheby, 13-18 May 1889, lot 536 - £40.0.0)

ii) This coin, edge milled

iii) Montagu, edge plain, (Spink and Son, October 1890, no. 960) (£52.10.0); Brice, collection purchased by Montagu, (1887); John Marshall (Sotheby, 13.8.1875, lot 169) - £9.0.0 (Webster)

A potential earlier provenance for one of the above is to be found in the William Forster dispersal (28 May 1868, lot 269), wherein a Crown and Halfcrown of 1822 were offered together. The surrounding lots testify to the strength and depth of Forster's collection of Hanoverian proof and pattern coins, so it is somewhat of a mystery that such a coin, if indeed the listing is to be believed, was not noted at the time.







27 (x1.5)

George IV (1820-30), Halfcrown, 1823, laureate head left, *rev.* crowned shield with heavier garnishing (Davies 173; ESC 2364 (633) R3; S.3807), *old scratches in obverse field, otherwise about very fine, very rare* 

£3,000-4,000



28

27

William IV (1830-37), proof Shilling, 1835, round top to 3, bare head right, *rev.* crown above value in wreath, grained edge (ESC 2493 (1272) - R6; S.3835), *attractive tone overlying brilliant surfaces, much as struck, and of the highest rarity*, in NGC 'Waterbird' holder, graded PF62 (Cert. #4861773-003)

£2,500-3,000





William IV (1830-37), gold pattern Groat, 1836, 3.38g, 12h, bare head right, *rev.* Britannia seated right dividing value 4-P, date below, plain edge (ESC 2529 (1928) R5; WR 271), *extremely rare, only 5-10 known*, in NGC 'Waterbird' holder, graded PF62 CAMEO (Cert. #4861777-013)

£6,000-8,000



30



30 (x1.5)

30

Victoria (1837-1901), Halfcrown, 1839, young head left, with two plain fillets binding hair, W.W. incuse on truncation, date below, *rev.* crowned shield in wreath, milled edge (Davies 562; ESC 2711 (672) - R4; S.3885), *toned, near extremely fine, and extremely rare for this date as a currency issue, this example probably one of the finest extant*, in NGC 'Waterbird' holder, graded AU53 (Cert. #4861777-014)

£3,000-4,000

PROVENANCE:

**Colin Adams**, Spink auction 177, 1 December 2005, lot 655 Spink Numismatic Circular, March 1980, no. 2272



31 (x1.5)

31

Victoria (1837-1901), Halfcrown, 1841, young head left, no initials on truncation, *rev.* crowned shield in wreath (Davies 564; ESC 2716 (674) R3; S.3888), *once lightly wiped, otherwise very fine, an extremely rare date* 

£1,800-2,200



32 (x1.5)

32

Victoria (1837-1901), Florin, 1854, crowned Gothic bust left, *rev.* crowned shields cruciform, emblems in angles (ESC 2829 (811a) R3; S.3891), *peripheral tone overlying lustrous and on occasion residually brilliant surfaces, very rare*, in NGC 'Waterbird' holder, graded AU58 (Cert. #4861777-016)

£1,500-2,000

PROVENANCE: Wallis, DNW auction 83, 30 September 2009, lot 3446



33

Victoria (1837-1901), Florin, 1863, 'Gothic' crowned bust left, *rev.* crowned shields cruciform, emblems in angles, the die axis is 45, which is very unusual for these coins (ESC 2850 (822) R3; S.3891), *very rare with the rotated die axis error*, in NGC 'Waterbird' holder, graded MINT ERROR - XF40 (Cert. #4861773-004)

## £3,000-3,500

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Victoria (1837-1901), Florin, 1864, heavy flan, 14.66g, 'Gothic' crowned bust left, *rev.* crowned shields cruciform, emblems in angles, die no. 32 (ESC 2855 (824A) R4; S.3892), *practically uncirculated and with much lustre, very rare, fewer than 20 examples known, and this presumably the finest*, in NGC 'Waterbird' holder, graded MS65 (Cert. #4861777-017)

cf. Spink Numismatic Circular, April 1979, no. 3950

£4,000-4,500



35

36

Victoria (1837-1901), Shilling, 1850 over 49, second young head left, *rev.* crown over value in wreath (ESC 2998 (1297) R4; S.3904), *very fine, rare*, in NGC 'Waterbird' holder, graded XF40 (Cert. #4861777-018)

£2,000-3,000



36 (x2)

Victoria (1837-1901), Shilling, 1850, second young head left, *rev.* crown over value in wreath (ESC 2996 (1296) R3; S.3904), *lightly toned, rare*, in NGC 'Waterbird' holder, graded XF45 (Cert. #4861773-005)

£1,200-1,500



### THE WATERBIRD COLLECTION OF CHOICE NUMISMATIC RARITIES



37

Victoria (1837-1901), Shilling, 1850, second young head left, *rev.* crown over value in wreath (ESC 2996 (1296) R3; S.3904), *rare*, in NGC 'Waterbird' holder, graded XF45 (Cert. #4861777-019)

£1,200-1,500



38

Victoria (1837-1901), Shilling, 1851, second young head left, *rev.* crown over value in wreath (ESC 2999 (1298) R2; S.3904), *rare*, in NGC 'Waterbird' holder, graded MS64 (Cert. #4628997-006)

£500-600



39

Victoria (1837-1901), Shilling, 1854, the 4 struck over a higher 4, or an inverted 1, second young head left, *rev.* crown over value in wreath (ESC 3005 (1302A) R4; S.3904), attractive cabinet tone over lustrous surfaces, some practically as struck save only for the odd contact mark, extremely rare, in NGC 'Waterbird' holder, graded MS64 (Cert. #4628997-009)

£2,500-3,000

PROVENANCE: Cheshire collection, Goldberg auction 31, 30 May 2005, lot 2787





40 (x2)

Victoria (1837-1901), Shilling, 1863 over 1, second young head left, rev. crown over value in wreath (ESC 3023 (1311A) R4; S.3904), some rub to high points, otherwise a pleasant tone overlying quite lustrous surfaces, near extremely fine, rare, in NGC 'Waterbird' holder, graded AU58 (Cert. #4861773-006)

£800-1,000



41 (x2)

41

40

Victoria (1837-1901), Shilling, 1879, die 13, 8 of date over 6, obverse die Davies 5 (Davies -; ESC -; S.3906A 'uncertain to exist as a normal coin or proof'), only fair to fine but extremely rare, not listed in two of the standard references, and listed as 'uncertain' in the third, in NGC 'Waterbird' holder, graded G6 (Cert. #4861777-020)

£200-300

Although unpublished in the main catalogues, this unusual variety is the subject of an article by Harold Mountain in SNC May 1983, p.119. '...It would appear that the overstriking of '6' over '8' on the die found on the 1879 shilling is unique in that it is a blundered restoration of the second figure of the date. The idea that there could have been any other reason than to restore the figure '8' is unthinkable. The appearance of this coin does much to substantiate the contention of Dyer and Gaspar that such 'overdates' are blunders in repairing rather than attempts to revise existing dates.'







42 (x2)

Victoria (1837-1901), Sixpence, 1893, crowned bust left, *rev.* crowned value in wreath (ESC 3284 (1761) RR3; S.3929), *some light scratches on obverse, otherwise extremely fine or better, very rare* 

DNW auction 114, 18 September 2013, lot 1564

£2,000-2,500



Victoria (1837-1901), proof Groat, 1837, 6h, 1.96g, young head left, rev. Britannia seated right, edge grained (ESC 3318 (1929A) R6; S.3913), about extremely fine, with much residual brilliance to fields, a great rarity from a very small mintage from which it is estimated only six or seven pieces have survived - this being considered the best, in NGC 'Waterbird' holder, graded PF58 (Cert. #4861777-022)

£6,000-8,000

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42

43

PROVENANCE:

PROVENANCE:

E R Lax collection, purchased from Baldwin, 1971





44

45

Victoria (1837-1901), Groat, 1857 mule with the obverse of the Threepence, young head left, *rev.* Britannia seated right, edge grained (ESC 3355 (1955) R6; S.3913), *brilliant mint state and a great rarity, perhaps the finest specimen available to commerce, only two others known to be on the market and both in inferior condition*, in NGC 'Waterbird' holder, graded PF65 (Cert. #4861777-021)

£2,000-3,000







45 (x1.5)

Victoria (1837-1901), Penny, 1843, young head left, *rev*. Britannia seated right, colon after REG (Peck 1486; S.3948), *very rare*, in NGC 'Waterbird' holder, graded MS62BN (Cert. #4632935-005)

£1,500-2,000







**46** 

Victoria (1837-1901), Penny, 1849, young head left, rev. Britannia seated right (Peck 1497; S.3948), the odd carbon spot, otherwise as struck, with virtually full mint lustre and residual colour, very rare, especially in this grade, in NGC 'Waterbird' holder, graded MS64BN (Cert. #4861777-024)

£3,000-4,000

**48** 





Victoria (1837-1901), Penny, 1853, ornamental trident, young head left, rev. Britannia seated right (Peck 1500; S.3948), choice uncirculated, with practically full mint colour, in NGC 'Waterbird' holder, graded MS64RB (Cert. #4861777-025)

£200-250



Victoria (1837-1901), Penny, 1854, plain trident, young head left, rev. Britannia seated right (Peck 1506; S.3948), uncirculated, with virtually full mint colour, in NGC 'Waterbird' holder, graded MS64RB (Cert. #4861777-019)

£200-250









Victoria (1837-1901), Penny, 1856, ornamental trident, young head left, *rev.* Britannia seated right (Peck 1512; S.3948), *tone to highest points, otherwise with much mint colour extant, very rare*, in NGC 'Waterbird' holder, graded MS63RB (Cert. #4861777-027)

£1,000-1,200

PROVENANCE:

49

Pywell-Phillips, Spink auction 257, 30-31 October 2018, lot 800







50 (x1.5)

Victoria (1837-1901), Penny, 1860 over 59, young head left, *rev.* Britannia seated left (Peck 1521; S.3948), *extremely rare*, in NGC 'Waterbird' holder, graded MS63BN (Cert. #4861777-028)

£3,000-4,000

PROVENANCE: Gregory, Baldwin's auction 47, 29 September 2006, lot 291









51 (x1.5)

Victoria (1837-1901), Penny, 1863, die no. 4, laureate and draped bust left, *rev.* Britannia seated right (Freeman 47, dies 6+G; Peck 1657 [EXR]; S.3954), *very good with all major details clear, very rare*, in NGC 'Waterbird' holder, graded VG10BN (Cert. #4861777-029)

£1,800-2,000



52

51

Victoria (1837-1901), Penny, 1869, laureate and draped bust left, *rev.* Britannia seated right (Peck 1795 -R; S.3954), *slight scuff on reverse otherwise extremely fine, rare,* in NGC 'Waterbird' holder, graded AU58BN (Cert. #4861777-030)

£1,200-1,500



# ONE OF THE FINEST PROOF COPPER HALFPENNIES OF 1860



53



 $53\;(x2)$ 

Victoria (1837-1901), proof copper Halfpenny, 1860, young head left, *rev.* Britannia seated right (Peck 1552; S.3949), *traces of brilliance and original lustre, extremely rare*, in NGC 'Waterbird' holder, graded PF65BN (Cert. #4861777-031)

£6,000-8,000

PROVENANCE:

53

Spink Numismatic Circular, February 1992, no. 268

Nicholson collection, 'The finest to come on the market in the last 30 years'.





54 (x1.5)

**54** 

55

PROVENANCE:

Glendining, 27 June 1979, lot 247

Victoria (1837-1901), Halfpenny, 1862, letter A, laureate and draped bust left, *rev*. Britannia seated right, letter A added to left of lighthouse (Freeman 290A dies 7+G; Peck -; S.3956), *near very fine, extremely rare*, in NGC 'Waterbird' holder, graded VF35BN (Cert. #4861777-032)

£600-800



55 (x1.5)

**Victoria** (1837-1901), Halfpenny, 1862, letter C, laureate and draped bust left, *rev.* Britannia seated right, letter C in relief on rock to left of lighthouse (Freeman 288A dies 7+F; Peck -; S.3956), *some surface marks and scratches, good fine, very rare, rated R17 by Freeman which indicates a maximum of only five examples believed to exist* 

£1,000-1,200



Victoria (1837-1901), copper Farthing, 1844, young head left, *rev.* Britannia seated right (Peck 1565; S.3950), *rubbed on high points and the odd contact mark, otherwise extremely fine, a key date of series, rare thus,* in NGC 'Waterbird' holder, graded AU58BN (Cert. #4861777-034)

£600-800

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57

Victoria (1837-1901), copper Farthing, 1860, young head left, *rev.* Britannia seated right (Peck 1588; S.3950), *good extremely fine, with some residual prooflike brilliance, very rare, the key date in series,* in NGC 'Waterbird' holder, graded MS63BN (Cert. #4861777-035)

£5,500-6,500



58



58 (x1.5)

Edward VII (1901-10), Halfcrown, 1905, bare head right, rev. crowned shield in Garter (ESC 3571 (750) R2; S.3980), light golden olive toning, a pleasing extremely fine, the reverse better and lustrous, the key date, rare in this state, in NGC 'Waterbird' holder, graded AU58 (Cert. #4861777-036)

£5,000-6,000





59

Edward VII (1901-10), Halfcrown, 1909, bare head right, rev. crowned shield in Garter (ESC 3575 (754) R; S.3980), a choice example, about uncirculated, albeit with striking softness on highest points, in NGC 'Waterbird' holder, graded MS62 (Cert. #4377387-003)

£200-250



60



60(x1.5)

George V (1910-36), Halfcrown, 1930, bare head left, *rev.* shield (ESC 3739 (779) R2; S.44037), *good extremely fine, rare*, in NGC 'Waterbird' holder, graded MS62 (Cert. #4861773-007)

£250-300





62

George V (1910-36), Florin, 1932, bare head left, *rev.* four crowned shields in saltire, sceptres in angles (ESC 3789 (952) R; S.4038), *some surface friction, otherwise extremely fine, scarce*, in NGC 'Waterbird' holder, graded AU58 (Cert. #4861773-008)

£300-350



62 (x1.5)

George V (1910-36), Penny, 1922, with reverse of 1927, bare head left, *rev.* Britannia seated right, shorter thumb (F.192A, dies 3+C {R18}; Peck -; S.4051), *a few bumps to rim, otherwise fair, extremely rare*, in NGC 'Waterbird' holder, graded VG10BN (Cert. #4861777-037)

PROVENANCE: Spink auction 231, 22-23 September 2015, lot 613 £1,500-2,000



# THE FAMOUS PATTERN PENNY FOR THE PROPOSED COINAGE OF EDWARD VIII



63





Edward VIII (January-December 1936), pattern proof Penny, 1937, EDWARDVS VIII D: G: BR: OMN: REX F: D: IND: IMP:, bare head left, *rev.* ONE PENNY, Britannia seated right, date below (Gordiano P3a, and pp. 253 this coin; F.216; BMC {Peck} 2367 [EXR]; S.4063), *brilliant though unevenly toned, practically FDC, and of the highest rarity, one of only two thought available to commerce*, in NGC 'Waterbird' holder, graded PF63+ RB (Cert. #4861781-001)

£60,000-80,000

PROVENANCE: Rasmussen, FPL 5, Summer 2003, no. 398

[March 1985], A footnote in Spink 46 reads: "....At the time of writing, the Penny belongs to a private UK collector" Bowers & Ruddy, ANA Auction, 21-26 August 1978, lot 2929, first offered individually, and then together [lot 2934], but reserve price not met in either case Richard Lobel {as set}

David Bowers, offered privately {as set}, August 1975 This coin originated from a six-coin part set (Crown, Halfcrown, Shilling, Sixpence, Penny and Farthing). The Sixpence from this set passed via this auction house (Spink 46, 9 October 1985, lot 821), before reappearing in another London saleroom in September 2010, and eventually selling for £34,000 (HP). This same coin recently resurfaced in Japan (Auction World 15, 19 January 2019, lot 3731), now encapsulated by PCGS and also graded PR63+.

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64 (x1.5)

**g64 Cook Islands, Elizabeth II** (1952-), gold Maundy set, 2002, on the Golden Jubilee, crowned bust right, *rev.* value, plain edge, 8.7g of 0.999 fine gold (cf. KM PS25 listed in silver but not in gold), *brilliant uncirculated*, in NGC 'Waterbird' holders, graded PF69 ULTRA CAMEO {4d-2d} and PF68 ULTRA CAMEO {1d}, with case of issue and certificate (4)

£200-250



g65



Isle of Man, Elizabeth II (1952-), proof gold Five Ounce '5-Crown', 1990, on the 150 anniversary of the Penny Black, crowned bust right, *rev.* the Penny Black, total weight 155.51g of 9.999 fine gold (Southall, Coins of the Isle of Man -; KM 1501), *mint blackened, otherwise uncirculated, rare, a proposed mintage of 199, but reportedly only 99 struck*, in NGC 'Waterbird' holder, graded PF67 ULTRA CAMEO, with case of issue and certificate of authenticity

£4,500-5,500

# THE END OF THE SALE



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Croatia, Fascis



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All Corporate	cards regardless of or	igin and <b>(</b>	Consumer debi	t and credit cards issu	ed outside	the EU are su	bject to a fee of 2%
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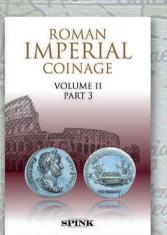
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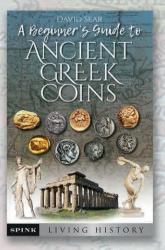
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You are strongly advised to examine personally any goods in which you are interested, before the auction takes place. Condition reports are usually available on request. We provide no guarantee to you other than in relation to Forgeries, as set out in clause 5.13 of these Terms and Conditions.

# 3.2 Catalogue descriptions

- 3.2.1 Statements by us in the catalogue or condition report, or made orally or in writing elsewhere, regarding the authorship, origin, date, age, size, medium, attribution, genuineness, provenance, condition or estimated selling price of any Lot are merely statements of opinion, and are not to be relied on as statements of definitive fact. Catalogue and web illustrations are for guidance only, and should not be relied on either to determine the tone or colour of any item. No lot shall be rejected on the grounds of inaccurate reproduction. No lot illustrated in the catalogue and online shall be rejected on the grounds of cancellation, centring, margins, perforation or other characteristics apparent from the illustration. Estimates of the selling price should not be relied on as a statement that this price is either the price at which the Lot will sell or its value for any other purpose.
- 3.2.2 Many items are of an age or nature which precludes their being in perfect condition and some descriptions in the catalogue or given by way of condition report make reference to damage and/or restoration. We provide this information for guidance only and the absence of such a reference does not imply that an item is free from defects or restoration nor does a reference to particular defects imply the absence of any others.
- **3.2.3** Other than as set out in clause 5.13, and in the absence of fraud, neither the Seller nor we, nor any of our employees or agents, are responsible for the correctness of any statement as to the authorship, origin, date, age, attribution, genuineness or provenance of any Lot nor for any other errors of description or for any faults or defects in any Lot. Every person interested should exercise and rely on his own judgment as to such matters.

# 3.3 Your Responsibility

You are responsible for satisfying yourself as to the condition of the goods and the matters referred to in the catalogue description.

- 3.4 Extensions Stamps only
  - 3.4.1 If you wish to obtain an expert opinion or Certificate of Authenticity on any Lot (other than a mixed Lot or Lot containing undescribed stamps) you must notify us in writing not less than forty-eight hours before the time fixed for the commencement of the first session of the sale. If accepted by us, such request shall have the same effect as notice of an intention to question the genuineness or description of the Lot for the purposes of clause 5.13 (Refund in the case of Forgery) of these Terms and Conditions and the provisions of clause 5.13 (Refund in the case of Forgery) shall apply accordingly.
  - 3.4.2 Notice of a request for an expert opinion or Certificate of Authenticity must give the reason why such opinion is required and specify the identity of your proposed expert which will be subject to agreement by us. We reserve the right, at our discretion, to refuse a request for an expert opinion or Certificate of Authenticity including (without limitation) where the proposed expert is not known to us.

- **3.4.3** If we accept a request for an expert opinion or Certificate of Authenticity we will submit the Lot to the Expert Committee. You acknowledge and accept that the length of time taken by an Expert Committee to reach an opinion will vary depending on the circumstances and in any event is beyond our control.
- 3.4.4 We will not accept a request for an extension on account of condition. Any Lot described in the catalogue as having faults or defects may not be returned even if an expert opinion or Certificate of Authenticity cites other faults or defects not included in the catalogue description, other than in the case of a Forgery.
- 3.4.5 Should Spink accept a request for an extension under the foregoing provisions of this paragraph, the fact may be stated by the Auctioneer from the rostrum prior to the sale of the Lot.
- 3.4.6 It should be noted that any stamp accompanied by a Certificate of Authenticity is sold on the basis of that Certificate only and not on the basis of any other description or warranty as to authenticity. No request for an extension will be accepted on such a stamp and the return of such a stamp will not be accepted.
- 3.4.7 If you receive any correspondence from the Expert Committee in relation to the Lot, including but not limited to a Certificate of Authenticity, you must provide us with copies of such correspondence no later than 7 days after you receive such correspondence.

# 4 AT THE SALE

# 4.1 Refusal of admission

Our sales usually take place on our own premises or premises over which we have control for the sale, and we have the right, exercisable at our complete discretion, to refuse admission to the premises or attendance at an auction.

# 4.2 Registration before bidding

All bidders must be registered either by completing a registration form or creating an account online. Please be aware that we usually require buyers to present identification before making a bid at auction, undergo a credit check or provide a trade reference.

If you have not bid successfully with Spink in the past, or you are registering with us for the first time, we reserve the right to require a deposit of up to 50% of the amount you intend to spend. Such deposit will be deducted from your invoice should you be successful. If you are unsuccessful at auction, your deposit will be returned by the same means it was paid to Spink.

Some lots may be designated, prior to the auction, as "Premium Lots", which means a deposit may be required before placing a bid on the item for sale. Information will be posted on our website in such an event.

# 4.3 Bidding as Principal

When making a bid (whether such bids are made in person or by way of telephone bids operated by Spink, commission or online or email bids), you will be deemed to be acting as principal and will be accepting personal liability, unless it has been agreed in writing, at the time of registration, that you are acting as agent on behalf of a third party buyer acceptable to us.

# 4.4 Commission Bids

If you give us instructions to bid on your behalf, by using the form provided in our catalogues or via our website, we shall use reasonable endeavours to do so, provided these instructions are received not later than 24 hours before the auction. If we receive commission bids on a particular Lot for identical amounts, and at auction these bids are the highest bids for the Lot, it will be sold to the person whose bid was received first. Commission bids are undertaken subject to other commitments at the time of the sale, and the conduct of the auction may be such that we are unable to bid as requested. Since this is undertaken as a free service to prospective buyers on the terms stated, we cannot accept liability for failure to make a commission bid. You should therefore always attend personally if you wish to be certain of bidding.

#### **On-line Bidding** 45

We offer internet services as a convenience to our clients. We will not be responsible for errors or failures to execute bids placed on the internet, including, without limitation, errors or failures caused by (i) a loss of internet connection by either party for whatever reason; (ii) a breakdown or problems with the online bidding software and/or (iii) a breakdown or problems with your internet connection, computer or system. Execution of on-line internet bids on www.spink.com and Spink Live is a free service undertaken subject to other commitments at the time of the auction and we do not accept liability for failing to execute an online internet bid or for errors or omissions in connection with this activity.

#### Telephone Bids 4.6

If you make arrangements with us not less than 24 hours before the sale, we shall use reasonable endeavours to contact you to enable you to participate in bidding by telephone, but in no circumstances will we be liable to either the Seller or you as a result of failure to do so.

#### 4.7**Currency Converter**

At some auctions, a currency converter will be operated, based on the one month forward rates of exchange quoted to us by Barclays Bank Plc or any other appropriate rate determined by us, at opening on the date of the auction. Bidding will take place in a currency determined by us, which is usually sterling for auctions held in London. The currency converter is not always reliable, and errors may occur beyond our control either in the accuracy of the Lot number displayed on the converter, or the foreign currency equivalent of sterling bids. We shall not be liable to you for any loss suffered as a result of you following the currency converter.

#### 4.8 Video images

At some auctions there will be a video screen. Mistakes may occur in its operation, and we cannot be liable to you regarding either the correspondence of the image to the Lot being sold or the quality of the image as a reproduction of the original.

#### 4.9 **Bidding Increments**

Bidding generally opens below the low estimate and advances in the following order although the auctioneer may vary the bidding increments during the course of the auction. The normal bidding increments are

cremento are.	
Up to £100	by £5
£100 to £300	by £10
£300 to £600	£320-£350-£380-£400 etc.
£600 to £1,000	by £50
£1,000 to £3,000	by £100
£3,000 to £6,000	£3,200-£3,500-£3,800-£4,000 etc.
£6,000 to £10,000	by £500
£10,000 to £20,000	by £1,000
£20,000 and up	Auctioneer's discretion
dation on her Carta Ir	

- 4.10 **Bidding by Spink** 4.10.1 We reserve the right to bid on Lots on the Seller's behalf up to the amount of the Reserve (if any), which will never be above the low estimate printed in the auction catalogue.
  - 4.10.2 The Spink Group reserves the right to bid on and purchase Lots as principal
  - 4.10.3 Lots with this symbol  $(\supseteq)$  indicate that a party has provided Spink with an irrevocable bid on the lot that will be executed during the sale at a value that ensures that the lot will sell. The irrevocable bidder, who may bid in excess of the irrevocable bid, will be compensated based on his bid in the event he or she is not the successful bidder or may receive a fixed fee in the event he or she is the successful bidder. If the irrevocable bidder is the successful bidder, the fixed fee for providing the irrevocable bid may be netted against the irrevocable bidder's obligation to pay the full purchase price for the lot. If the irrevocable bid is not secured until after the printing of the auction catalogue, a pre-sale announcement will be made indicating that there is an irrevocable bid on the lot.

If you are interested in placing an irrevocable bid in an auction, please contact us at chairmanoffice@spink.com. Typically, only some of the lots with an estimate, which must exceed £100,000 or equivalent in other currencies are open to irrevocable bids.

# 4.11 The Auctioneer's Discretion

The auctioneer has the right at his absolute discretion to refuse any bid to advance the bidding in such manner as he may decide to withdraw or divide any Lot, to combine any two or more Lots and, in the case of error or dispute, to put an item up for bidding again.

# 4.12 Successful Bid

Subject to the auctioneer's discretion, the striking of his hammer marks the acceptance of the highest bid, provided always that such bid is higher than the Reserve (where applicable), and the conclusion of a contract for sale between you and the Seller.

# 4.13 After Sale Arrangements

If you enter into any private sale agreements for any Lot with the Seller within 60 days of the auction, we, as exclusive agents of the Seller reserve the right to charge you the applicable Buyer's Premium in accordance with these Terms and Conditions, and the Seller a commission in accordance with the terms of the Seller's agreement.

# 4.14 Return of Lot

4.14.1 Once your bid has been accepted for a Lot then you are liable to pay for that Lot in accordance with these Terms and Conditions. If there are any problems with a Lot then you must notify us within 7 days of receipt of the Lot, specifying the nature of the problem. We may then request that the Lot is returned to us for inspection. Save as set out in clause 5.13, the cancellation of the sale of any Lot and the refund of the corresponding purchase price is entirely at our sole discretion. We will not normally exercise that discretion in the Lot is returned to use the sale of the corresponding to the sole of the corresponding to the sole of the corresponding the sole of the corresponding the sole of the corresponding to the sole of the corresponding the sole of the correspondence of the sole of the correspondence of the sole of the correspondence of the correspondence of the correspondence of the sole of the correspondence of the Lot is not received by us in the same condition that it was in at the auction date.

4.14.2 No lot may be returned on account of condition if the condition was stated by a third party grading company (including, but not limited to PCGS, NGC, ANACS, ICG, PMG, WBG, Legacy Currency Grading).

#### AFTER THE AUCTION 5

#### Buyer's Premium and other charges 5.1

In addition to the Hammer Price, you must pay us the Buyer's Premium at a rate of 20% of the final Hammer price of each lot, postage charge and a fee for paying by card.

#### 52 Value Added Tax

Other than in respect of Zero-rated Lots (o) VAT is chargeable on the Other than in respect of Zero-rated Lots (o) VAT is chargeable on the Hammer price and the Buyer's premium of daggered ( $\dagger$ ) and ( $\Omega$ ) lots at the standard rate (currently 20%), and on lots marked (x) at the reduced rate (currently 5% on the Hammer price and 20% on the Buyer's premium). VAT on Margin scheme lots (identified by the absence of any VAT symbol next to the lot number) is payable at 20% on the Buyer's premium only.

#### VAT Refunds 5.3

- General
  - 5.3.1 As we remain liable to account for VAT on all Lots unless they have been exported outside the EU within 3 months of the date of sale, you will generally be asked to deposit all amounts of VAT invoiced. However, if a Spink nominated shipper is instructed, then any refundable VAT will not be collected. In all other cases credits will be made when proof of export is provided. If you export the Lot yourself you must obtain shipping documents from the Shipping Department for which a charge of £50 will be made.
  - 5.3.2 If you export the Lot you must return the valid proof of export certificate to us within 3 months of the date of sale. If you fail to return the proof of export certificate to us within such period and you have not already accounted to us for the VAT, you will be liable to us for the full amount of the VAT due on such Lot and we shall be entitled to invoice you for this sum.
  - 5.3.3 To apply for a refund of any VAT paid, the proof of export certificate must be sent to our Shipping Department clearly marked 'VAT Refund' within 3 months of the date of sale. No payment will be made where the total amount of VAT refundable is less than  $\pounds 50$ and Spink will charge £50 for each refund processed.

# VAT Refunds - Buyers from within the EU

- 5.3.4 VAT refunds are available on the Hammer Price and Buyer's Premium of Daggered (†) and Investment Gold (g) Lots. You must certify that you are registered for VAT in another EU country and that the Lot is to be removed from the United Kingdom within 3 months of the date of sale.
- 5.3.5 Where an EU buyer purchases a Lot on which import VAT has been charged, no refund of VAT is available from us. It may be possible to apply directly for a refund on form VAT 65 to HM Revenue & Customs Overeseas Repayment Section, Londonderry.

# VAT Refunds - Buyers from outside the EU

- 5.3.6 Where a Lot is included within the Auctioneers' Margin Scheme and evidence of export from the EU is produced within 3 months of the date of sale, the VAT on Buyer's Premium may be refunded.
- 5.3.7 Where the Lot is marked as a Daggered (†) Lot the VAT charged on the Hammer Price may be refunded where evidence of export from the EU is produced within 3 months of the date of sale. A refund of VAT charged on the Buyer's Premium can also be made on receipt of proof of business as a collectibles dealer.
- 5.3.8 Where the Lot is marked as an Omega  $(\Omega)$  Lot or an Import VAT (x) Lot and evidence of export from the EU is produced within 3 months of the date of sale, the VAT charged on both the Hammer Price and Buyer's Premium may be refunded. Where required, we can advise you on how to export such Lots as a specific form of export evidence is required. Where we advise you on the export of the Lots, please be aware that the ultimate responsibility in respect of obtaining a valid proof of export certificate will lie with you and we will not be responsible for your failure to obtain such , certificate.
- 5.3.9 Lot marked as Investment Gold (g) is exempt from VAT on Hammer price. A refund of VAT charged on the Buyer's Premium can be made on receipt of proof of business as a collectibles dealer and where evidence of export from the EU is produced within 3 months of the date of sale
- 5.4 Payment
  - 5.4.1 You must provide us with your full name and permanent address and, if so requested, details of the bank from which any payments to us will be made. You must pay the full amount due (comprising the Hammer Price, the Buyer's Premium and any applicable VAT) within seven days after the date of the sale. This applies even if you wish to export the Lot and an export licence is (or may be) required.
  - 5.4.2 You will not acquire title to the Lot until all amounts due have been paid in full. This includes instances where special arrangements were made for release of Lot prior to full settlement.5.4.3 Payment should be made in sterling by one of the following
  - methods:
    - Direct bank transfer to our account details of which are set out on the invoice. All bank charges shall be met by you. Please ensure that your client number is noted on the transfer.
    - By cheque or bank draft made payable to Spink and Son Ltd (ii)by cheque of bank draft made payable to spink and son Ltd and sent to Spink at 69 Southampton Row, Bloomsbury, London WC1B 4ET. Please note that the processing charges for payments made by cheques or bank drafts drawn on a non-U.K bank shall be met by you. Please ensure that the remittance slip printed at the bottom of the invoice is enclosed with your payment.

- (iii) By Visa or Mastercard. All Corporate cards regardless of origin and Consumer debit and credit cards issued outside the EU are subject to a fee of 2%. For all card payments there are limits to the amounts we will accept depending on the type of card being used and whether or not the cardholder is present.
- 5.4.4 Payments should be made by the registered buyer and not by third parties, unless it has been agreed at the time of registration that you are acting as an agent on behalf of a third party.

#### 5.5 Invoices

Invoices may consist of one or more pages and will show: Zero rated Lots (o); no symbol Lots sold under the Auctioneers' Margin Scheme; Lots marked (g) special scheme Investment Gold; Daggered Lots (†), imported Lots marked (x) and  $(\Omega)$ , (e) Lots with Zero rated hammer for EU VAT registered buyers.

# Collection of Purchases

- 5.6.1 Unless specifically agreed to the contrary, we shall retain lots purchased until all amounts due to us, or to the Spink Group, have been paid in full. Buyers will be required to pay for their lots when they wish to take possession of the same, which must be within 7 days of the date of the sale, unless prior arrangements have been made with Spink. Without prior agreement, lots will not be released until cleared funds are received with regard to payments made by cheque.
- 5.6.2 Unless we notify you to the contrary, items retained by us will be covered in accordance with our policy which is available for inspection at our offices from the date of sale for a period of seven days or until the time of collection, whichever is sooner. After seven days or from the time of collection, whichever is the earlier, the Lot will be entirely at your risk.
- 5.6.3 Our policy will not cover and we are unable to accept responsibility for damage caused by woodworm, changes in atmospheric conditions or acts of terrorism.

#### 57 Notification

We are not able to notify successful bidders by telephone. While Invoices are sent out by email or mail after the auction we do not accept responsibility for notifying you of the result of your bid. You are requested to contact us by telephone or in person as soon as possible after the auction to obtain details of the outcome of your bids to avoid incurring charges for late payment.

# 5.8

- Packing and handling5.8.1 We shall use all reasonable endeavours to take care when handling and packing a purchased Lot but remind you that after seven days or from the time of collection, whichever is sooner, the Lot is entirely at your risk. Our postage charges are set out at the back of the catalogue
- 5.8.2 It is the responsibility of the Buyer to be aware of any Import Duties that may be incurred upon importation to the final destination. Spink will not accept return of any package in order to avoid these duties. The onus is also on the Buyer to be aware of any Customs import restrictions that prohibit the importation of certain collectibles. Spink will not accept return of the Lot(s) under these circumstances. Spink will not accept responsibility for Lot(s) seized or destroyed by Customs.
- 5.8.3 If the Buyer requires delivery of the Lot to an address other than the invoice address this will be carried out at the discretion of Spink.

#### 59 Recommended packers and shippers

If required our shipping department may arrange shipment as your agent. Although we may suggest carriers if specifically requested, our suggestions are made on the basis of our general experience of such parties in the past and we are not responsible to any person to whom we have made a recommendation for the acts or omissions of the third parties concerned

# 5.10 Remedies for non-payment or failure to collect purchases

- 5.10.1 If you fail to make payment within seven days of your stipulated payment date set out in your invoice, we shall be entitled to exercise one or more of the following rights or remedies:
  - 5.10.1.1 to charge interest at the rate of 2% per month compound interest, calculated on a daily basis, from the date the full amount is due;
  - 5.10.1.2 to set off against any amounts which the Spink Group may owe you in any other transaction the outstanding amount remaining unpaid by you;
  - 5.10.1.3 we may keep hold of all or some of your Lots or other property in the possession of the Spink Group until you have paid all the amounts you owe us or the Spink Group, even if the unpaid amounts do not relate to those Lots or other property. following fourteen days' notice to you of the amount outstanding and remaining unpaid, the Spink Group shall have the right to arrange the sale of such Lots or other property. We shall apply the proceeds in discharge of the amount outstanding to us or the Spink Group, and pay any balance to you;
  - 5.10.1.4 where several amounts are owed by you to the Spink Group in respect of different transactions, to apply any amount paid to discharge any amount owed in respect of any particular transaction, whether or not you so direct;
  - 5.10.1.5 to reject at any future auction any bids made by you or on your behalf or obtain a deposit from you before accepting any bids.
- 5.10.2 If you fail to make payment within thirty-five days, we shall in addition be entitled
  - 5.10.2.1 to cancel the sale of the Lot or any other item sold to you at the same or any other auction;

- 5.10.2.2 to arrange a resale of the Lot, publicly or privately, and, if this results in a lower price being obtained, claim the balance from you together with all reasonable costs including a 20% soller's commission, expenses, damages, legal fees, commissions and premiums of whatever kind associated with both sales or otherwise, incurred in connection with your failure to make payment;
- 5.10.2.3 when reselling the Lot, place a notice in our catalogue stating that you successfully purchased the Lot at auction but have subsequently failed to pay the Hammer Price of the Lot; or 5.10.2.4 take any other appropriate action as we deem fit.
- 5.10.3 If you fail to collect within fourteen days after the sale, whether
  - or not payment has been made, you will be required 5.10.3.1 to pay a storage charge of  $\pounds 2$  per item per day plus any
  - additional handling cost that may apply. 5.10.3.2 you will not be entitled to collect the Lot until all outstanding charges are met, together with payment of all other amounts due to us.
- 5.11 Use of Default Information

If you fail to make payment for a Lot in accordance with these Terms and Conditions:

- 5.11.1 we reserve the right to refuse you the right to make bids for any future auction irrespective of whether previous defaults have been settled; and
- you acknowledge that we may (as necessary for our legitimate interests those of other auctioneers and live bidding platforms in 5.11.2 referencing customers and avoiding customer defaults) disclose details of such default to other auctioneers and live bidding platforms, which will include your name, address, nature of the default and the date of the default.

Auctioneers or live bidding platforms who receive details of the default may rely on such information when deciding whether to enter into a transaction with you in the future.

- 5.12 Export Licence
  - 5.12.1 If required we can, at our discretion, advise you on the detailed provisions of the export licensing regulations. Where we advise you in relation to export licensing regulations the ultimate responsibility in respect of any export will lie with you and we will not be responsible for your failure to apply for any necessary licences.
  - 5.12.2 If the Lot is going to be hand carried by you, you may be required to produce a valid export licence to us or sign a waiver document stating that a licence will be applied for.
  - 5.12.3 You should always check whether an export licence is required before exporting. Export licences are usually obtained within two or three weeks but delays can occur.
  - 5.12.4 Unless otherwise agreed by us in writing, the fact that you wish to apply for an export licence does not affect your obligation to make payment within seven days nor our right to charge interest on late payment.
  - 5.12.5 If you request that we apply for an export licence on your behalf, we shall be entitled to recover from you our disbursements and out of pocket expenses in relation to such application, together with any relevant VAT.
  - 5.12.6 We will not be obliged to rescind a sale nor to refund any interest or other expenses incurred by you where payment is made by you despite the fact that an export licence is required.

# 5.13 Refund in the case of Forgery

- 5.13.1 A sale will be cancelled, and the amount paid refunded to you if a Lot (other than a miscellaneous item not described in the catalogue) sold by us proves to have been a Forgery. We shall not however be obliged to refund any amounts if either (a) the catalogue description or saleroom notice at the auction date corresponded to the generally accepted opinion of scholars or avport at that time or fivily indicated that there use a condition of the same set. experts at that time, or fairly indicated that there was a conflict of opinions, or (b) it can be demonstrated that the Lot is a Forgery only by means of either a scientific process not generally accepted for use until after publication of the catalogue or a process which at the date of the auction was unreasonably expensive or impracticable or likely to have caused damage to the Lot. Furthermore, you should note that this refund can be obtained only if the following conditions are met:
  - 5.13.1.1 you must notify us in writing, within seven days of the receipt of the Lot(s), that in your view the Lot concerned is a Forgery;
  - 5.13.1.2 you must then return the item to us within fourteen days from receipt of the Lot(s), in the same condition as at the auction date; and
  - 5.13.1.3 as soon as possible following return of the Lot, you must produce evidence satisfactory to us that the Lot is a Forgery and that you are able to transfer good title to us, free from any third party claims.
  - 5.13.1.4 you must provide to us all evidence obtained by you that a Lot is a Forgery no later than 7 days after you receive such evidence.
- 5.13.2 In no circumstances shall we be required to pay you any more than the amount paid by you for the Lot concerned and you shall have no claim for interest.
- 5.13.3 The benefit of this guarantee is not capable of being transferred, and is solely for the benefit of the person to whom the and who, since the sale, has remained the owner of the Lot without disposing of any interest in it to any third party.
- 5.13.4 We shall be entitled to rely on any scientific or other process to establish that the Lot is not a Forgery, whether or not such process was used or in use at the date of the auction.

# 6 LIABILITY

Nothing in these Terms and Conditions limits or excludes our liability for: 6.1 death or personal injury resulting from negligence; or

6.2 any damage or liability incurred by you as a result of our fraud or fraudulent misrepresentation.

# 7 USE OF YOUR PERSONAL INFORMATION

- 7.1 We will use the personal information you provide to us as set out in our privacy notice (available at https://spink.com/privacy-policy) and in particular to:
  - 7.1.1 process the bids you make on Lots (whether successful or otherwise) and other auction related services we provide;
  - 7.1.2 process your payment relating to a successful purchase of a Lot;7.1.3 arrange for delivery of any Lot you purchase, which will include passing your details to shipping providers and, on overseas deliveries, to customs where they make enquiries regarding the Lot;
  - 7.1.4 inform you about similar products or services that we provide, but you may stop receiving these at any time by contacting us.
- 7.2 In accordance with clause 4.2, we may pass your information to credit reference agencies in order to obtain credit checks from them, and they may keep a record of any search that they do.
- 7.3 In accordance with clause 5.11, where you default on making payment for a Lot in accordance with these terms and conditions we may disclose details of such default to other auctioneers and live bidding platforms.
- 7.4 We are also working closely with third parties (including for example, other auctioneers and live bidding platforms) and may receive information about you from them.
- 7.5 Where you provide us with personal information about other individuals, you must ensure that your provision of that information is compliant with applicable data protection law.

# 8 COPYRIGHT

- 8.1 We shall have the right (on a non-exclusive basis) to photograph, video or otherwise produce an image of the Lot. All rights in such an image will belong to us, and we shall have the right to use it in whatever way we see fit.
- 8.2 The copyright in all images, illustrations and written material relating to a Lot is and shall remain at all times our property and we shall have the right to use it in whatever way we see fit. You shall not use or allow anyone else to use such images, illustrations or written material without our prior written consent.

# 9 VAT

You shall give us all relevant information about your VAT status and that of the Lot to ensure that the correct information is printed in the catalogues. Once printed, the information cannot be changed. If we incur any unforeseen cost or expense as a result of the information being incorrect, you will reimburse to us on demand the full amount incurred.

### 10 NOTICES

All notices given under these Terms and Conditions may be served personally, sent by 1st class post, or faxed to the address given to the sender by the other party. Any notice sent by post will be deemed to have been received on the second working day after posting or, if the addressee is overseas, on the fifth working day after posting. Any notice sent by fax or served personally will be deemed to be delivered on the first working day following despatch.

# 11 ADDITIONAL PROVISIONS

The following provisions of this clause 11 shall apply only if you are acting for the purposes of your business.

# 11.1 Limitation of Liability

Subject to clause 6, we shall not be liable, whether in tort (including for negligence) or breach of statutory duty, contract, misrepresentation or otherwise for any:

- 11.1.1 loss of profits, loss of business, depletion of goodwill and/or similar losses, loss of anticipated savings, loss of goods, loss of contract, loss of use, loss of corruption of data or information; or
- 11.1.2 any special, indirect, consequential or pure economic loss, costs, damages, charges or expenses.

# 11.2 Severability

If any part of these Terms and Condition is found by any court to be invalid, illegal or unenforceable, that part may be discounted and the rest of the conditions shall continue to be valid and enforceable to the fullest extent permitted by law.

# 11.3 Force majeure

We shall have no liability to you if we are prevented from, or delayed in performing, our obligations under these Terms and Conditions or from carrying on our business by acts, events, omissions or accidents beyond our reasonable control, including (without limitation) strikes, lock-outs or other industrial disputes (whether involving our workforce or the workforce of any other party), failure of a utility service or transport network, act of God, war, riot, civil commotion, malicious damage, compliance with any law or governmental order, rule, regulation or direction, accident, breakdown of plant or machinery, fire, flood, storm or default of suppliers or subcontractors.

# 11.4 Waiver

- 11.4.1 A waiver of any right under these Terms and Conditions is only effective if it is in writing and it applies only to the circumstances for which it is given. No failure or delay by a party in exercising any right or remedy under these Terms and Conditions or by law shall constitute a waiver of that (or any other) right or remedy, nor preclude or restrict its further exercise. No single or partial exercise of such right or remedy shall preclude or restrict the further exercise of that (or any other) right or remedy.
- 11.4.2 Unless specifically provided otherwise, rights arising under these Terms and Conditions are cumulative and do not exclude rights provided by law.

11.5 Law and Jurisdiction

- 11.5.1 These Terms and Conditions and any dispute or claim arising out of or in connection with them or their subject matter, shall be governed by, and construed in accordance with, the law of England and Wales.
- 11.5.2 The parties irrevocably agree that the courts of England and Wales shall have exclusive jurisdiction to settle any dispute or claim that arises out of, or in connection with, Terms and Conditions or their subject matter.

# Postal Charges

Prices for all items including postage and packaging

Invoice Value	UK	EU	Rest of the World
Up to £1,500	£12	£18	£25
Up to £10,000	£20	£40	£50
Above £10,001	£30	£60	£75

Shipments of more than 2kg or volumetric measurement of more than 2kg have to be sent by courier. Certain countries may incur extra charge when courier services are required by our insurance policy. For lots sent by courier please contact Auctionteam@spink.com for calculation of any further relevant cost in addition to the above charges.

# Value Added Tax (VAT)

# Charging of (VAT) at Auction

The information shown on this page sets out the way in which Spink intends to account for VAT.

# i. Auctioneers' Margin Scheme

- Where possible, we will offer Lots for sale under the Auctioneers' Margin Scheme. Such Lots can be identified by the absence of any VAT symbol next to the Lot number in the catalogue and will not be subject to VAT on the Hammer Price.
- 2. Where Lots are sold using the Auctioneers' Margin Scheme to UK VAT-registered businesses, the VAT on Buyers' Premium is not recoverable as input tax. Upon request on sale day, we will issue invoices that show VAT separately on both the Hammer Price and the Buyer's Premium. This will enable VAT-registered businesses to recover the VAT charged as input tax, subject to the normal rules for recovering input tax.

# ii. Zero-Rated Lots

Limited Categories of goods, such as books, are Zero-rated (o) for VAT in the United Kingdom. Such Lots are offered under the Auctioneers' Margin Scheme. In these circumstances no VAT will be added to the Buyer's premium.

# iii. Daggered Lots

Lots which are Daggered (†) in the catalogue are subject to VAT at 20% on both the Hammer Price and the Buyer's Premium.

# iv. Imported and Omega Lots

Imported mit Onlega 1005 Lots which are marked (x) in the catalogue are subject to VAT at 5% on the Hammer price plus 20% on the Buyer's premium. Lots which bear the Omega symbol ( $\Omega$ ) are subject to VAT at 20% on the Hammer Price and on the Buyer's Premium. This VAT is payable on items imported from outside the EU. In these cases we have used a temporary importation procedure, which in effect means that the point of importation is deferred until the Lot has been sold. At this point the Buyer is treated as the importer and is liable to pay the import VAT due. We will collect the VAT from you and pay it to HM Customs and Excise on your behalf.

## v. Investment Gold Lots

Lots marked (g) in the catalogue are exempt from VAT on the Hammer Price and are subject to VAT at 20% on the Buyer's Premium. A refund of VAT charged on the Buyer's Premium can also be made on receipt of proof of business as a collectibles dealer outside of the EU.



# SALE CALENDAR 2019/2020

# STAMPS

STAMPS					
17 September	The 'Bartica' Collection of British Guiana Ship Type Issues	London	19039		
17 September	The 'Lionheart' Collection of Great Britain and British Empire - Part X	London	19042		
18 September	The Camping Collection of Great Britain	London	19043		
26 September	The Iconic Inverted Jenny Unique Centerline Block of Four	New York	170		
26 September	The Philatelic Collector's Series Sale	New York	171		
22-23 October	The Philatelic Collectors' Series Sale	London	19045		
2 November	The Treaty Ports of the Shanghai Postal System - Part III	Hong Kong	19056		
2/3 November	The Philatelic Collectors' Series Sale	Hong Kong	CSS43		
6-18 November	Stamps and Covers of France and French Colonies e-Auction	London	19046		
13 November	The 'Rochambeau' Collection of France	London	19047		
13-14 November	Dr. Edward Grabowski Postal History Collection of French Colonies	London	19048		
13 November	The Freshwater Collection	New York	172		
	r The Woodside Booklets e-Auction	London	19057		
5 December	The Woodside Booklets	London	19058		
11 January	The Treaty Ports of the Shanghai Postal System - Part IV	Hong Kong	20018		
11/12 January	Fine Stamps of China and Hong Kong	Hong Kong	CSS46		
29 January	The 'Lionheart' Collection of Great Britain and British Empire - Part XI	London	20011		
30/31 January	The Philatelic Collectors' Series Sale	London	20010		
Coins					
			300/0		
19-30 September	World Gold and Silver Coins e-Auction	London	19060		
24 September	British, Indian and Islamic Coins: Autumn Auction	London	19006		
24 September	The Waterbird Collection of English Rarities	London	19040		
18/21/22 August	The Numismatic Collectors' Series Sale	Hong Kong	CSS41		
14-24 October	Estates & Collections e-Auction	New York	351		
4-14 November	Coins and Commemorative Medals e-Auction	New York	353		
4/5 January	Banknotes, Bonds & Shares and Coins of China and Hong Kong	Hong Kong	CSS45		
19 January	The Numismatic Collector's Series at NY INC, Grand Hyatt	New York	355		
20-30 January	Coins and Medals e-Auction	New York	356		
BANKNOTES					
			00013		
18/21/22 August	The Numismatic Collectors' Series Sale	Hong Kong	CSS41		
10-20 September	US & World Banknotes e-Auction	New York	349		
2 October	The Ibrahim Salem Collection of British Commonwealth - Part Two	London	19088		
2/3 October	World Banknotes	London	19024		
9/10 October	The Lou Manzi and A. J. Simms Collections of British Banknotes & other properties - Part II		19038		
4/5 January	Banknotes, Bonds & Shares and Coins of China and Hong Kong	Hong Kong	CSS45		
17 January	World Banknotes at NY INC, Grand Hyatt	New York	354		
19 January	The Numismatic Collector's Series at NY INC, Grand Hyatt	New York	355		
MEDALS					
	Outon Descriptions and Medale	London	10002		
27/28 November	Orders, Decorations and Medals	London Hong Kong	19003		
4/5 January	Banknotes, Bonds & Shares and Coins of China and Hong Kong	New York	CSS45 355		
19 January	The Numismatic Collector's Series at NY INC, Grand Hyatt	New TOFK	333		
Bonds & Shares					
			250		
4-11 October	The Wenning Collection of US Stocks and Bonds e-Auction	New York	350		
11-29 October	Bonds and Share Certificates of the World e-Auction	London	19019		
4/5 January	Banknotes, Bonds & Shares and Coins of China and Hong Kong	Hong Kong			
19 January	The Numismatic Collector's Series at NY INC, Grand Hyatt	New York	355		
HISTORICAL DOCUMENTS					
9 July	Historical Documents, Postal History and Autographs	London	19022		
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LIFESTYLE COLLECTABLES					
31 May	An Evening of Great Whiskies	Hong Kong	SFW31		
October	Handbags and Accessories	Hong Kong	SHA03		
The above sale dates are subject to change					

Spink offers the following services:

VALUATIONS FOR INSURANCE AND PROBATE FOR INDIVIDUAL ITERS OR WHOLE COLLECTIONS –
 – SALES ON A COMMISSION BASIS EITHER OF INDIVIDUAL PIECES OR WHOLE COLLECTIONS –















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